

## Volume 1 Contents

Preface for Volumes 1–3 XV

Introduction: Definitions of Catalysis XXI

### Volume 1

<b>1</b>	<b>From Catalysis to Lewis Base Catalysis with Highlights from 1806 to 1970</b>	<b>1</b>
	<i>Edwin Vedejs</i>	
1.1	Introduction	1
1.2	Catalysis	1
1.2.1	Berzelius Defines Catalysis	2
1.2.2	Early Proposals for Intermediates in Catalytic Reactions	2
1.3	Progress with Catalysis in Organic Chemistry	3
1.4	Ostwald's Redefinition of Catalysis	5
1.4.1	The Evolution of Ostwald's Views and Their Subsequent Refinement	5
1.4.2	Sabatier and "Temporary Compounds" in Heterogeneous Catalysis	6
1.4.3	A Curious Tangent: The Radiation Hypothesis for Catalysis	6
1.5	The First Example of Lewis Base Catalysis	7
1.6	The Road to Mechanistic Comprehension; Multistage Catalysis by Lewis Base	9
1.6.1	The Knoevenagel Condensation	9
1.6.2	Lapworth's Breakthrough; Benzoin Revisited	11
1.7	An Uneven Path to a Unifying Concept	12
1.7.1	Halide Catalysis	12
1.7.2	Ambident Nucleophile Intermediates in Halide-Catalyzed Rearrangements	14
1.7.3	The First Recognition of Lewis Base Catalysis	14
1.8	Amine Catalysis	17
1.8.1	Amine-Catalyzed Decarboxylation	17
1.8.2	The Thiamine Story: Amine Catalysis Is Slower Than <i>N</i> -Heterocyclic Carbene Catalysis	18
1.8.3	Amine Activation of Anhydrides	20
1.8.3.1	Early Examples of Anhydride Activation	20
1.8.3.2	Gold and Jefferson: The First Mechanistic Study	20
1.8.4	Model Systems as Probes of Enzyme Function	21
1.8.4.1	Bender's Summary of "Nucleophilic" Catalysis	21
1.8.4.2	Acetyl Phosphate Hydrolysis	23

1.8.5	Miscellaneous Examples of Lewis Base Catalysis	24
1.8.5.1	Dakin–West Reaction	24
1.8.5.2	Miscellaneous Catalytic Applications of Neutral and Anionic Lewis Bases	25
1.9	Summary	26
	Acknowledgement	27
	References	27

## Section I Principles 31

<b>2</b>	<b>Principles, Definitions, Terminology, and Orbital Analysis of Lewis Base–Lewis Acid Interactions Leading to Catalysis</b>	<b>33</b>
	<i>Scott E. Denmark and Gregory L. Beutner</i>	
2.1	Introduction	33
2.2	Lewis Definitions of Valence and the Chemical Bond	34
2.2.1	The “Rule of Two”	34
2.2.2	Electronic Theory of Acids and Bases	35
2.3	Extensions, Expansions of, and Objections to the Lewis Definitions	35
2.4	Interpretation of the Lewis Definitions in the Idiom of Molecular Orbital Theory and Quantum Mechanics	38
2.4.1	The Mulliken Definition	38
2.4.2	The Lewis–Mulliken–Jensen Definition	39
2.5	Defining Lewis Base Catalysis	40
2.5.1	Why “Lewis Base Catalysis” and Not “Nucleophilic Catalysis”	42
2.5.2	Lewis Base Catalysis or Ligand-Accelerated Catalysis?	42
2.5.3	Classification of Interactions Involved in Lewis Base Catalysis	43
2.5.3.1	Catalysis by Nucleophilic Addition: $n \rightarrow \pi^*$ Interactions	43
2.5.4	Catalysis by Polarization: $n \rightarrow \sigma^*$ and $n \rightarrow n^*$ Interactions	44
2.6	Theoretical Analysis of the Geometrical and Electronic Consequences of Lewis Acid–Lewis Base Interactions	44
2.6.1	Valence Bond Analysis	44
2.6.2	Perturbation Molecular Orbital Theory Analysis	45
2.6.3	Gutmann Analysis	46
2.6.4	Hypervalent Bonding Analysis	49
2.6.5	Natural Bond Orbital (NBO) Analysis	51
2.7	Summary	51
	References	52
<b>3</b>	<b>Thermodynamic Treatments of Lewis Basicity</b>	<b>55</b>
	<i>Jean-François Gal</i>	
3.1	Introduction	55
3.2	Basic Thermodynamics for the Study of Lewis Acid–Base Interactions	56
3.3	Scales of Lewis Affinity and Basicity	58
3.3.1	Reference Lewis Acids: Experimental Considerations	58
3.3.2	Enthalpy (Affinity) versus Gibbs Energy (Basicity) as a Measure of Lewis Acid–Base Interactions	61

3.4	Lewis Acidity and Lewis Basicity: Thermodynamic Scales	62
3.4.1	Solvents and Simple Functionalities	62
3.4.2	Methyl Cation Affinities	68
3.4.3	Lewis Base with Binding Sites Containing Heavier Pnictogen and Chalcogen Elements	72
3.5	Quantum Chemical Tools	74
3.6	Conclusion and Overview	75
3.7	Summary	76
	List of Abbreviations	77
	Acknowledgment	78
	References	78

#### **4 Quantitative Treatments of Nucleophilicity and Carbon Lewis Basicity 85**

*Sami Lakhdar*

4.1	Introduction	85
4.2	Nucleophilicity	85
4.2.1	The Swain–Scott and Edwards Approaches	85
4.2.2	The Ritchie Equation	87
4.2.3	The Mayr Equation	89
4.3	Lewis Basicity	91
4.4	Nucleofugality	93
4.5	Selected Applications	95
4.5.1	Pyridines and Derivatives	95
4.5.2	Tertiary Amines	99
4.5.3	Isothiourea Derivatives	101
4.5.4	Phosphines and Phosphites	102
4.5.5	<i>N</i> -Heterocyclic Carbenes	104
4.5.6	Chiral Enamines	107
4.6	Conclusion	113
4.7	Summary	113
	List of Abbreviations	113
	Acknowledgments	114
	References	114

### **Section II Mechanism and Lewis Base Catalysis: Nucleophilicity Is Only Part of the Story 119**

#### **5 Anhydride Activation by 4-Dialkylaminopyridines and Analogs ( $n \rightarrow \pi^*$ ) 121**

*Raman Tandon and Hendrik Zipse*

5.1	Historical Background	121
5.2	Mechanistic Considerations	121
5.3	Catalyst Structure and Variation	124
5.4	The Influence of Reaction Conditions	130
5.5	The Influence of Acyl Donors	132
5.6	The Influence of Substrate Structure	136

- 5.7 Summary 141  
List of Abbreviations 142  
References 142
- 6 Mechanistic Understanding of Proline Analogs and Related Protic Lewis Bases ( $n \rightarrow \pi^*$ ) 145**  
*Alan Armstrong and Paul Dingwall*
- 6.1 Proline Catalysis: Overview 145
- 6.1.1 The Limitations of Proline as a Catalyst 146
- 6.2 Mechanism of the Proline-Catalyzed Aldol Reaction 147
- 6.2.1 The Hajos–Parrish–Eder–Sauer–Wiechert (HPESW) Reaction 148
- 6.2.2 The Houk–List Model 150
- 6.2.2.1 A General Catalytic Cycle 150
- 6.2.2.2 The Role of Enamine Intermediates 152
- 6.2.2.3 Rationalizing the Origins of Stereoselectivity 153
- 6.2.2.4 Advances in Computational Chemistry 156
- 6.2.3 An Alternative to the Houk–List Model: The Seebach–Eschenmoser Model 158
- 6.2.4 Water as an Additive 159
- 6.2.4.1 Effect of Other Additives 161
- 6.3 Mechanism of the Proline-Catalyzed  $\alpha$ -Amination and  $\alpha$ -Aminoxylation Reactions 161
- 6.3.1 Protic Additives 161
- 6.3.2 Basic Additives and Proline Salts 166
- 6.4 The Proline-Mediated Conjugate Addition Reaction 170
- 6.4.1 Peptidic Proline Analog 174
- 6.5 Modified Proline Derivatives 175
- 6.5.1 Proline Tetrazole 176
- 6.5.2 The Houk–List Model and Proline Analogs 179
- 6.5.2.1 Cyclopropane-Fused Proline 179
- 6.5.2.2  $\beta$ -Proline Analogs 180
- 6.5.2.3 Constrained Bicycle Proline Analogs 182
- 6.5.2.4 Pyrrolidine Ring Conformation and  $NCH^{\delta+} \cdots O^{\delta-}$  Interactions 182
- 6.5.2.5 Mannich Reaction: Designed Anti-Selective Catalyst 182
- 6.5.3 Proline Analogs and Additives 183
- 6.5.3.1  $\alpha$ -Methyl Proline and Triethylamine in the  $\alpha$ -Alkylation Reaction 183
- 6.5.3.2 (2*S*,5*S*)-Pyrrolidine-2,5-Dicarboxylic Acid and Triethylamine 185
- 6.6 Concluding Remarks 186  
List of Abbreviations 187  
References 187
- 7 Mechanistic Options for the Morita–Baylis–Hillman Reaction ( $n \rightarrow \pi^*$ ) 191**  
*Márcia S. Santos, José Tiago M. Correia, Ana Paula L. Batista, Manoel T. Rodrigues Jr., Ataulpa A. C. Braga, Marcos N. Eberlin, and Fernando Coelho*
- 7.1 The Morita–Baylis–Hillman Reaction: An Overview 191
- 7.2 Kinetic Studies Applied to aza-Morita–Baylis–Hillman Reaction 195
- 7.2.1 Early Studies of Isaacs and Hill 195
- 7.2.2 Bode and Kaye’s Kinetic Investigations 197

- 7.2.3 McQuade Kinetic Investigations: Proposal of a More Complex MBH Mechanism in Aprotic Solvents 198
- 7.2.4 Aggarwal Kinetic Studies: Proposal of an Autocatalytic Mechanism 201
- 7.2.5 Kinetic Studies Related to aza-Morita-Baylis-Hillman Reaction 203
- 7.3 Theoretical Calculations Applied to MBH Reaction 208
  - 7.3.1 Theoretical Methodologies 208
  - 7.3.2 MBH Reactions 210
  - 7.3.3 aza-MBH Reactions 212
  - 7.3.4 Cocatalysts 215
    - 7.3.4.1 Thiourea 215
    - 7.3.4.2 Proline 216
  - 7.3.5 Summary 217
- 7.4 Mass Spectrometry Aid the Understanding of the Morita-Baylis-Hillman Reaction 217
  - 7.4.1 Early Mass Spectrometry Studies of the MBH Reaction 217
  - 7.4.2 Dualistic Nature of the Mechanism of the MBH Reaction 218
  - 7.4.3 Cocatalyst Effect in the MBH Reaction 219
  - 7.4.4 aza-MBH – Mechanistic Investigations 223
- 7.5 Classical and Nonclassical Methods for Mechanistic Studies Associated with the Morita-Baylis-Hillman Reaction: Which Is the Correct Pathway of This Reaction? 226
  - Acknowledgments 228
  - List of Abbreviations 228
  - References 229
- 8 Mechanism of C–Si Bond Cleavage Using Lewis Bases ( $n \rightarrow \sigma^*$ ) 233**  
*Hans J. Reich*
  - 8.1 Introduction 233
  - 8.2 Mechanistic Issues 235
    - 8.2.1 Fluoride Initiation 236
    - 8.2.2 Chain-Carrying Species? 237
    - 8.2.3 Siliconate Intermediates? 238
    - 8.2.4 Hypervalent Silicon 240
    - 8.2.5 Siliconates as Lewis Acids 244
    - 8.2.6 Reactivity of Siliconates and Carbanions 245
  - 8.3 Alkylation 247
    - 8.3.1 Cleavage of Alkylsilanes Bearing S and Si Groups 248
    - 8.3.2 Cleavage of Alkylsilanes Bearing Halogens 250
    - 8.3.3 Trifluoromethylation 251
  - 8.4 Benzoylation 253
  - 8.5 Allylation 255
  - 8.6 Allenylation/Propargylation 260
  - 8.7 Alkynylation 261
  - 8.8 Arylation 262
  - 8.9 Vinylation 263
  - 8.10 Cyanation 264
    - 8.10.1 Hydrogen Cyanide Reactions 265
    - 8.10.2 Cyanosiliconates 265

- 8.10.3 Mechanism of Cyanosilylation Reactions 268
- 8.10.4 Enantioselective Cyanosilylations 270
- 8.10.5 Silylcyanation of Epoxides 274
- 8.11 Summary 275
- List of Abbreviations 275
- References 275
- 9 Bifunctional Lewis Base Catalysis with Dual Activation of  $X_3Si-Nu$  and  $C=O$  ( $n \rightarrow \sigma^*$ ) 281**  
*Jiping Fu, Shinji Fujimori, and Scott E. Denmark*
- 9.1 Addition of Allyltrichlorosilanes to Aldehydes 281
- 9.1.1 Introduction 281
- 9.1.2 Lewis Base-Promoted Allylation Reactions 282
- 9.1.3 Chiral Phosphoramidate-Catalyzed Allylation Reactions 283
- 9.1.4 Mechanistic Investigations 283
- 9.1.5 Design and Optimization of Bisphosphoramidate Catalysts 286
- 9.1.6 Synthetic Applications 292
- 9.2 Aldol Additions of Trichlorosilyl Enol Ethers Derived from Ketones, Aldehydes, and Esters 293
- 9.2.1 Background 293
- 9.2.2 Mechanistic Investigations 294
- 9.2.3 Substrate Scope 297
- 9.2.3.1 Trichlorosilyl Ketene Acetals 297
- 9.2.3.2 Trichlorosilyl Enol Ethers Derived from Aldehydes 301
- 9.2.3.3 Aldol Addition of Methyl Ketone-Derived Enol Ethers 306
- 9.2.3.4 Aldol Addition of Cyclic Enol Ethers, Acyclic Ethyl Ketone-Derived Enol Ethers 313
- 9.2.3.5 Aldol Addition of Enol Ethers Derived from Chiral Methyl Ketones 321
- 9.2.3.6 Aldol Additions of Enol Ethers Derived from Chiral Ethyl Ketones 326
- 9.2.4 Recent Developments 333
- 9.2.5 Summary 335
- List of Abbreviations 335
- References 336
- 10 Bifunctional Lewis Base Catalysis with Dual Activation of  $R-M$  and  $C=O$  ( $n \rightarrow \sigma^*$ ) 339**  
*Manabu Hatano and Kazuaki Ishihara*
- 10.1 Introduction 339
- 10.2 Activation of  $C-Zn$  and Related  $C-Mg$  by a Simple Lewis Base 340
- 10.2.1 Structures of  $R_2Zn$  and  $R_2Mg$  340
- 10.2.2 Simple Lewis Base Binding to  $R_2Zn$  and  $R_2Mg$  341
- 10.3 Lewis Base-Activated  $C-Zn + C=O$  Reactions 342
- 10.3.1 Stoichiometric Activation of  $RLi$ ,  $RMgX$ , and  $R_2Zn$  with Chiral Ligands 342
- 10.3.2 Chiral Amino Alcohol-Catalyzed, Enantioselective Diethylzinc Addition 343
- 10.3.3 Noyori's Chiral Amino Alcohol, (-)-DAIB 344
- 10.4 Role of Dimeric Organozinc Species 345
- 10.4.1 Origin of Catalyst Efficiency 345
- 10.4.2 Amplification of Chirality 346
- 10.4.3 Reaction Pathway and Transition States 348

- 10.5 Scope of Carbonyl Substrates in Catalytic Asymmetric Organozinc Addition Reaction 350
  - 10.5.1 Organozinc Reagents and Titanium Isopropoxide 350
  - 10.5.2 Advances in Catalytic, Enantioselective Organozinc Addition to Aldehydes 353
  - 10.5.3 Advances in Catalytic, Enantioselective Organozinc Addition to Ketones 358
  - 10.5.4 Advances in Catalytic, Enantioselective Addition of Grignard Reagents 367
  - 10.6 Anionic Lewis Base Activation in Mg(II) and Zn(II) Ate Complexes 372
    - 10.6.1 Stoichiometric Alkyl Addition Reaction to Ketones with Mg(II) and Zn(II) Ate Complexes 372
    - 10.6.2 Catalytic Alkyl Addition Reaction to Ketones with Zn(II) Ate Complexes 375
  - 10.7 Summary 382
    - List of Abbreviations 383
    - References 383
  
- 11 **The Corey–Bakshi–Shibata Reduction: Mechanistic and Synthetic Considerations – Bifunctional Lewis Base Catalysis with Dual Activation** 387  
*Christopher J. Helal and Matthew P. Meyer*
  - 11.1 Introduction 387
  - 11.2 The Catalytic Cycle 389
    - 11.2.1 The Active Reductant 389
    - 11.2.2 Catalyst Regeneration 390
    - 11.2.3 Modes of Catalysis 391
  - 11.3 Mechanism 393
    - 11.3.1 Selectivity Studies 395
      - 11.3.1.1 Temperature Dependence of Enantioselectivity 395
      - 11.3.1.2 Solvent Effects upon Selectivity 396
      - 11.3.1.3 Stoichiometry of Catalyst–Reductant Complex versus Substrate 397
    - 11.3.2 Isotope Effect Studies 398
      - 11.3.2.1 Carbon-13 Isotope Effects 398
      - 11.3.2.2 Deuterium Isotope Effects 402
    - 11.3.3 Transition Structures 409
      - 11.3.3.1 Electrostatic Considerations 410
      - 11.3.3.2 Localization of Steric Repulsion 411
      - 11.3.3.3 A Conformationally Flexible Catalyst 415
  - 11.4 Applications of the CBS Reduction in Organic Synthesis 416
    - 11.4.1 Chiral Synthons Preparation 417
      - 11.4.1.1 Oxygen-Containing Ketones 417
      - 11.4.1.2 Sulfur-Containing Ketones 419
      - 11.4.1.3 Nitrogen-Containing Ketones 421
      - 11.4.1.4 Allenyl Ketones 421
      - 11.4.1.5 Trichloromethyl Ketones 422
      - 11.4.1.6 Organometallic Ketones 424
    - 11.4.2 Desymmetrization of *meso*-Dicarbonyl Substrates 426
      - 11.4.2.1 Imidazolone Desymmetrization 426
      - 11.4.2.2 Imide Desymmetrization: Biotin Synthesis 428
      - 11.4.2.3 *meso*-1,4-Cyclohexyl-Dione Desymmetrization 429

11.4.2.4	Estrone Methyl Ether Synthesis	429
11.4.3	Resolution of Racemic Carbonyl Substrates	432
11.4.3.1	Biaryl Systems	433
11.4.3.2	Oxazolidinones	434
11.4.3.3	Nucleoside Analogs	436
11.4.4	Dicarbonyl Reductions	437
11.4.4.1	Cyclic 1,3-Diketones	438
11.4.4.2	Spiro-1,3-Diketones	439
11.4.4.3	1,4-Diketones	440
11.4.5	Bioactive Compound Synthesis	441
11.4.5.1	Heteroaryl Alkyl Ketones	441
11.4.5.2	Heteroaryl Aryl Ketones	444
11.4.5.3	Piperidin-4-ene-3-one	445
11.4.5.4	Silyl Ketones	446
11.4.5.5	Natural Product Synthesis	447
11.4.6	Large-Scale Synthesis	450
11.4.7	Summary	452
	References	453

## Volume 2

### Section III Applications: Lewis Base Catalysis Involving an $n \rightarrow \pi^*$ Activation Step 457

12	<b>Chiral Lewis Base Activation of Acyl and Related Donors in Enantioselective Transformations (<math>n \rightarrow \pi^*</math>)</b>	459
	<i>James I. Murray, Zsofia Heckenast, and Alan C. Spivey</i>	
13	<b>Catalytic Generation of Ammonium Enolates and Related Tertiary Amine-Derived Intermediates: Applications, Mechanism, and Stereochemical Models (<math>n \rightarrow \pi^*</math>)</b>	527
	<i>Khoi N. Van, Louis C. Morrill, Andrew D. Smith, and Daniel Romo</i>	
14	<b>Morita–Baylis–Hillman, Vinylogous Morita–Baylis–Hillman, and Rauhut–Currier Reactions</b>	655
	<i>Allison M. Wensley, Nolan T. McDougal, and Scott E. Schaus</i>	
15	<b>Beyond the Morita–Baylis–Hilman Reaction (<math>n \rightarrow \pi^*</math>)</b>	715
	<i>Yi Chiao Fan and Ohyun Kwon</i>	
16	<b>Iminium Catalysis (<math>n \rightarrow \pi^*</math>)</b>	805
	<i>Aurélie Claraz, Juha H. Siitonen, and Petri M. Pihko</i>	
17	<b>Enamine-Mediated Catalysis (<math>n \rightarrow \pi^*</math>)</b>	857
	<i>John J. Murphy, Mattia Silvi, and Paolo Melchiorre</i>	



## Volume 3

## Section IVa Applications: Enhanced Nucleophilicity by Lewis Base Activation

 $(n \rightarrow \sigma^*, n \rightarrow n^*)$  903

- 18 Si—C—X and Si—C—EWG as Carbanion Equivalents under Lewis Base Activation ( $n \rightarrow \sigma^*$ ) 905  
*Ping Fang, Chang-Hua Ding, and Xue-Long Hou*
- 19 Activation of B—B and B—Si Bonds and Synthesis of Organoboron and Organosilicon Compounds through Lewis Base-Catalyzed Transformations ( $n \rightarrow n^*$ ) 967  
*Amir H. Hoveyda, Hao Wu, Suttipol Radomkit, Jeannette M. Garcia, Fredrik Haeffner, and Kang-sang Lee*

Section IVb Applications: Enhanced Electrophilicity and Dual Activation by Lewis Base Catalysis ( $n \rightarrow \sigma^*$ ) 1011

- 20 Lewis Base-Catalyzed Reactions of SiX<sub>3</sub>-Based Reagents with C=O, C=N ( $n \rightarrow \sigma^*$ ) 1013  
*Andrei V. Malkov and Pavel Kočovský*
- 21 Lewis Base-Catalyzed, Lewis Acid-Mediated Reactions ( $n \rightarrow \sigma^*$ ) 1039  
*Sergio Rossi and Scott E. Denmark*
- 22 Lewis Bases as Catalysts in the Reduction of Imines and Ketones with Silanes ( $n \rightarrow \sigma^*$ ) 1077  
*Pavel Kočovský and Andrei V. Malkov*
- 23 Reactions of Epoxides ( $n \rightarrow \sigma^*$ ) 1113  
*Tyler W. Wilson and Scott E. Denmark*

## Section V Lewis Base-Catalyzed Generation of Electrophilic Intermediates 1153

- 24 Lewis Base Catalysis: A Platform for Enantioselective Addition to Alkenes Using Group 16 and 17 Lewis Acids ( $n \rightarrow \sigma^*$ ) 1155  
*Dipannita Kalyani, David J.-P. Kornfilt, Matthew T. Burk, and Scott E. Denmark*

## Section VI Bifunctional (and Multifunctional) Catalysis 1213

- 25 Bifunctional and Synergistic Catalysis: Lewis Acid Catalysis and Lewis Base-Assisted Bond Polarization ( $n \rightarrow \sigma^*$ ) 1215  
*Won-jin Chung and Scott E. Denmark*
- 26 Bifunctional Catalysis with Lewis Base and X-H Sites That Facilitate Proton Transfer or Hydrogen Bonding ( $n \rightarrow \pi^*$ ) 1259  
*Curren T. Mbofana and Scott J. Miller*

**Section VII Carbenes: Lewis Base Catalysis Triggers Multiple Activation Pathways 1289**

**27 Catalysis with Stable Carbenes ( $n \rightarrow \pi^*$ ) 1291**

*Darrin M. Flanigan, Nicholas A. White, Kevin M. Oberg, and Tomislav Rovis*

**Summation 1351**

**Index 1355**