

Contents

Preface to the Second Edition *XI*

Preface *XIII*

1	Principles of Semiconductor Physics	1
1.1	Crystal Structure	1
1.2	Energy Levels in Solids	3
1.3	Optical Properties	8
1.4	Density of States and Carrier Concentrations	11
1.4.1	Intrinsic Semiconductors	14
1.4.2	Doped Semiconductors	15
1.5	Carrier Transport Phenomena	17
1.6	Excitation and Recombination of Charge Carriers	19
1.7	Fermi Levels under Nonequilibrium Conditions	21
2	Semiconductor Surfaces and Solid–Solid Junctions	23
2.1	Metal and Semiconductor Surfaces in a Vacuum	23
2.2	Metal–Semiconductor Contacts (Schottky Junctions)	26
2.2.1	Barrier Heights	26
2.2.2	Majority Carrier Transfer Processes	31
2.2.3	Minority Carrier Transfer Processes	35
2.3	p–n Junctions	38
2.4	Ohmic Contacts	41
2.5	Photovoltages and Photocurrents	42
2.6	Surface Recombination	46
3	Electrochemical Systems	49
3.1	Electrolytes	49
3.1.1	Ion Transport in Solutions	49
3.1.2	Interaction between Ions and Solvent	52
3.2	Potentials and Thermodynamics of Electrochemical Cells	53
3.2.1	Chemical and Electrochemical Potentials	53
3.2.2	Cell Voltages	56

3.2.3	Reference Potentials	59
3.2.4	Standard Potential and Fermi Level of Redox Systems	60
4	Experimental Techniques	65
4.1	Electrode Preparation	65
4.2	Current–Voltage Measurements	65
4.2.1	Voltametry	65
4.2.2	Photocurrent Measurements	67
4.2.3	Rotating Ring Disk Electrodes	68
4.2.4	Scanning Electrochemical Microscopy (SECM)	69
4.3	Measurements of Surface Recombination and Minority Carrier Injection	70
4.4	Impedance Measurements	72
4.4.1	Basic Rules and Techniques	72
4.4.2	Evaluation of Impedance Spectra	74
4.4.3	Intensity Modulated Photocurrent Spectroscopy (IMPS)	78
4.5	Surface Conductivity Measurement	80
4.6	Flash Photolysis Investigations	82
4.7	Surface Science Techniques	82
4.7.1	Spectroscopic Methods	83
4.7.2	<i>In situ</i> Surface Microscopy (STM and AFM)	85
5	Solid–Liquid Interface	89
5.1	Structure of the Interface and Adsorption	89
5.2	Charge and Potential Distribution at the Interface	91
5.2.1	The Helmholtz Double Layer	92
5.2.2	Gouy Layer in the Electrolyte	93
5.2.3	Space Charge Layer in the Semiconductor	94
5.2.4	Charge Distribution in Surface States	101
5.3	Analysis of the Potential Distribution	102
5.3.1	Germanium Electrodes	102
5.3.2	Silicon Electrodes	109
5.3.3	Compound Semiconductor Electrodes	111
5.3.4	Flatband Potential and Position of Energy Bands at the Interface	114
5.3.5	Unpinning of Energy Bands during Illumination	118
5.4	Modification of Semiconductor Surfaces	123
6	Electron Transfer Theories	127
6.1	The Theory of Marcus	127
6.1.1	Electron Transfer in Homogeneous Solutions	127
6.1.2	The Reorganization Energy	132
6.1.3	Adiabatic and Nonadiabatic Reactions	134
6.1.4	Electron Transfer Processes at Electrodes	134
6.2	The Gerischer Model	138
6.2.1	Energy States in Solution	138

6.2.2	Electron Transfer	143
6.3	Quantum Mechanical Treatments of Electron Transfer Processes	145
6.3.1	Introductory Comments	146
6.3.2	Nonadiabatic Reactions	149
6.3.3	Adiabatic Reactions	156
6.4	The Problem of Deriving Rate Constants	165
6.5	Comparison of Theories	167
7	Charge Transfer Processes at the Semiconductor–Liquid Interface	169
7.1	Charge Transfer Processes at Metal Electrodes	169
7.1.1	Kinetics of Electron Transfer at the Metal–Liquid Interface	169
7.1.2	Diffusion-controlled Processes	178
7.1.3	Investigations of Redox Reactions by Linear Sweep Voltametry	182
7.1.4	Criteria for Reversible and Irreversible Reactions	183
7.2	Qualitative Description of Current–Potential Curves at Semiconductor Electrodes	185
7.3	One-step Redox Reactions	186
7.3.1	The Energetics of Charge Transfer Processes	186
7.3.2	Quantitative Derivation of Current–Potential Curves	189
7.3.3	Light-Induced Processes	194
7.3.4	Majority Carrier Reactions	198
7.3.5	Minority Carrier Reactions	211
7.3.6	Electron Transfer in the “Inverted Region”	222
7.4	The Quasi-Fermi-Level Concept	225
7.4.1	Basic Model	225
7.4.2	Application of the Concept to Photocurrents	229
7.4.3	Consequences for the Relation between Impedance and IMPS Spectra	233
7.4.4	Quasi-Fermi-Level Positions under High-Level Injections	237
7.5	Determination of the Reorganization Energy	240
7.6	Two-step Redox Processes	244
7.7	Photoluminescence and Electroluminescence	249
7.7.1	Kinetic Studies by Photoluminescence Measurement	250
7.7.2	Electroluminescence Induced by Minority Carrier Injection	255
7.8	Hot Carrier Processes	258
7.9	Catalysis of Electrode Reactions	262
8	Electrochemical Decomposition of Semiconductors	267
8.1	Anodic Dissolution Reactions	267
8.1.1	Germanium	267
8.1.2	Silicon	271
8.1.3	Compound Semiconductors	279
8.2	Cathodic Decomposition	283
8.3	Dissolution under Open Circuit Conditions	283
8.4	Energetics and Thermodynamics of Corrosion	285

8.5	Competition between Redox Reaction and Anodic Dissolution	288
8.6	Formation of Porous Semiconductor Surfaces	293
9	Photoreactions at Semiconductor Particles	295
9.1	Quantum Size Effects	295
9.1.1	Quantum Dots	296
9.1.2	Single Crystalline Quantum Films and Superlattices	303
9.1.3	Size Quantized Nanocrystalline Films	305
9.2	Charge Transfer Processes at Semiconductor Particles	306
9.2.1	Reactions in Suspensions and Colloidal Solutions	306
9.2.2	Photoelectron Emission	313
9.2.3	Comparison between Reactions at Semiconductor Particles and at Compact Electrodes	316
9.2.4	The Role of Surface Chemistry	317
9.2.5	Enhanced Redox Chemistry in Quantized Colloids	318
9.2.6	Reaction Routes at Small and Big Particles	322
9.2.7	Sandwich Formation between Different Particles and between Particle and Electrode	324
9.3	Charge Transfer Processes at Quantum Well Electrodes (MQW, SQW)	327
9.4	Photoelectrochemical Reactions at Nanocrystalline Semiconductor Layers	331
9.4.1	Impact Ionization and Carrier Multiplication	333
9.4.2	Hot Carrier Cooling and Exciton Multiplication in Quantum Dots	335
9.4.3	Multiple Exciton Collection in a Sensitized Photovoltaic System	340
10	Electron Transfer Processes between Excited Molecules and Semiconductor Electrodes	343
10.1	Energy Levels of Excited Molecules	343
10.2	Reactions at Semiconductor Electrodes	349
10.2.1	Spectra of Sensitized Photocurrents	349
10.2.2	Dye Molecules Adsorbed on the Electrode and in Solution	352
10.2.3	Potential Dependence of Sensitization Currents	356
10.2.4	Sensitization Processes at Semiconductor Surfaces Modified by Dye Monolayers	357
10.2.5	Quantum Efficiencies, Regeneration, and Supersensitization	364
10.2.6	Kinetics of Electron Transfer between Dye and Semiconductor Electrode	366
10.2.7	Sensitization Processes at Nanocrystalline Semiconductor Electrodes	370
10.3	Comparison with Reactions at Metal Electrodes	375
10.4	Production of Excited Molecules by Electron Transfer	376

11	Applications	379
11.1	Photoelectrochemical Solar Energy Conversion	379
11.1.1	Electrochemical Photovoltaic Cells	379
11.1.2	Photoelectrolysis	402
11.1.3	Photoreduction of CO ₂	424
11.2	Photocatalytic Processes	426
11.2.1	Photodegradation of Pollutants	427
11.2.2	Photocatalytic Reactions	429
11.2.3	Light-Induced Chemical Reactions	430
11.3	Etching of Semiconductors	431
11.4	Light-Induced Metal Deposition	433
	Appendices	437
A.1	List of Major Symbols	437
A.2	Physical Constants	440
A.3	Lattice Parameters of Semiconductors	440
A.4	Properties of Important Semiconductors	441
A.5	Effective Density of States and Intrinsic Carrier Densities	441
A.6	Major Redox Systems and Corresponding Standard Potentials	442
A.6.1	Aqueous Solutions	442
A.6.2	In Acetonitrile (vs Ag/AgCl)	442
A.7	Potentials of Reference Electrodes	443
	References	445
	Index	465

