

Contents

Series editor's preface *XV*

Preface *XVII*

About the Volume Editors *IX*

List of Contributors *XXI*

Part I: Fundamentals *1*

1	Fundamental Theory of Resonant MEMS Devices	3
	<i>Stephen M. Heinrich and Isabelle Dufour</i>	
1.1	Introduction	3
1.2	Nomenclature	4
1.3	Single-Degree-of-Freedom (SDOF) Systems	5
1.3.1	Free Vibration	6
1.3.2	Harmonically Forced Vibration	8
1.3.3	Contributions to Quality Factor from Multiple Sources	13
1.4	Continuous Systems Modeling: Microcantilever Beam Example	14
1.4.1	Modeling Assumptions	15
1.4.2	Boundary Value Problem for a Vibrating Microcantilever	16
1.4.3	Free-Vibration Response of Microcantilever	17
1.4.4	Steady-State Response of a Harmonically Excited Microcantilever	19
1.5	Formulas for Undamped Natural Frequencies	22
1.5.1	Simple Deformations (Axial, Bending, Twisting) of 1D Structural Members: Cantilevers and Doubly Clamped Members ("Bridges")	23
1.5.1.1	Axial Vibrations (Along x-Axis)	23
1.5.1.2	Torsional Vibrations (Based on $h \ll b$) (Twist About x-Axis)	24
1.5.1.3	Flexural (Bending) Vibrations	24
1.5.2	Transverse Deflection of 2D Structures: Circular and Square Plates with Free and Clamped Supports	25
1.5.3	Transverse Deflection of 1D Membrane Structures ("Strings")	25

1.5.4	Transverse Deflection of 2D Membrane Structures: Circular and Square Membranes under Uniform Tension and Supported along Periphery	26
1.5.5	In-Plane Deformation of Slender Circular Rings	26
1.5.5.1	Extensional Modes	26
1.5.5.2	In-Plane Bending Modes	26
1.6	Summary	27
	Acknowledgment	27
	References	27
2	Frequency Response of Cantilever Beams Immersed in Viscous Fluids	29
	<i>Cornelis Anthony van Eysden and John Elie Sader</i>	
2.1	Introduction	29
2.2	Low Order Modes	30
2.2.1	Flexural Oscillation	30
2.2.2	Torsional Oscillation	36
2.2.3	In-Plane Flexural Oscillation	37
2.2.4	Extensional Oscillation	37
2.3	Arbitrary Mode Order	38
2.3.1	Incompressible Flows	38
2.3.2	Compressible Flows	46
2.3.2.1	Scaling Analysis	47
2.3.2.2	Numerical Results	48
	References	51
3	Damping in Resonant MEMS	55
	<i>Shirin Ghaffari and Thomas William Kenny</i>	
3.1	Introduction	55
3.2	Air Damping	56
3.3	Surface Damping	59
3.4	Anchor Damping	61
3.5	Electrical Damping	63
3.6	Thermoelastic Dissipation (TED)	64
3.7	Akhiezer Effect (AKE)	66
	References	69
4	Parametrically Excited Micro- and Nanosystems	73
	<i>Jeffrey F. Rhoads, Congzhong Guo, and Gary K. Fedder</i>	
4.1	Introduction	73
4.2	Sources of Parametric Excitation in MEMS and NEMS	74
4.2.1	Parametric Excitation via Electrostatic Transduction	75
4.2.2	Other Sources of Parametric Excitation	77
4.3	Modeling the Underlying Dynamics – Variants of the Mathieu Equation	77

4.4	Perturbation Analysis	79
4.5	Linear, Steady-State Behaviors	80
4.6	Sources of Nonlinearity and Nonlinear Steady-State Behaviors	81
4.7	Complex Dynamics in Parametrically Excited Micro/Nanosystems	84
4.8	Combined Parametric and Direct Excitations	85
4.9	Select Applications	85
4.9.1	Resonant Mass Sensing	85
4.9.2	Inertial Sensing	86
4.9.3	Micromirror Actuation	87
4.9.4	Bifurcation Control	88
4.10	Some Parting Thoughts	89
	Acknowledgment	89
	References	89
5	Finite Element Modeling of Resonators	97
	<i>Reza Abdolvand, Jonathan Gonzales, and Gavin Ho</i>	
5.1	Introduction to Finite Element Analysis	97
5.1.1	Mathematical Fundamentals	97
5.1.1.1	Static Problems	98
5.1.1.2	Dynamic Problems (Modal Analysis)	100
5.1.2	Practical Implementation	101
5.1.2.1	Set Up	102
5.1.2.2	Processing	103
5.1.2.3	Post-processing	103
5.2	Application of FEA in MEMS Resonator Design	104
5.2.1	Modal Analysis	104
5.2.1.1	Mode Shape Analysis for Design Optimization	104
5.2.1.2	Modeling Process-Induced Variation	108
5.2.2	Loss Analysis	110
5.2.2.1	Anchor Loss	110
5.2.2.2	Thermoelastic Damping	112
5.2.3	Frequency Response Analysis	113
5.2.3.1	Spurious Mode Identification and Rejection	113
5.2.3.2	Filter Design	115
5.3	Summary	116
	References	116
	Part II: Implementation	119
6	Capacitive Resonators	121
	<i>Gary K. Fedder</i>	
6.1	Introduction	121
6.2	Capacitive Transduction	122
6.3	Electromechanical Actuation	123

6.3.1	Electromechanical Force Derivation	123
6.3.2	Voltage Dependent Force Components	124
6.4	Capacitive Sensing and Motional Capacitor Topologies	127
6.4.1	Parallel-Moving Plates	127
6.4.2	Perpendicular Moving Plates	129
6.4.3	Electrostatic Spring Softening and Snap-In	132
6.4.4	Angular Moving Plates	134
6.5	Electrical Isolation	135
6.6	Capacitive Resonator Circuit Models	136
6.7	Capacitive Interfaces	138
6.7.1	Transimpedance Amplifier	138
6.7.2	High-Impedance Voltage Detection	142
6.7.3	Switched-Capacitor Detection	142
6.8	Conclusion	143
	Acknowledgment	144
	References	144
7	Piezoelectric Resonant MEMS	147
	<i>Gianluca Piazza</i>	
7.1	Introduction to Piezoelectric Resonant MEMS	147
7.2	Fundamentals of Piezoelectricity and Piezoelectric Resonators	149
7.3	Thin Film Piezoelectric Materials for Resonant MEMS	152
7.4	Equivalent Electrical Circuit of Piezoelectric Resonant MEMS	153
7.4.1	One-Port Piezoelectric Resonators	156
7.4.2	Two-Port Piezoelectric Resonators	157
7.4.3	Resonator Figure of Merit	158
7.5	Examples of Piezoelectric Resonant MEMS: Vibrations in Beams, Membranes, and Plates	158
7.5.1	Flexural Vibrations	159
7.5.2	Width-Extensional Vibrations	163
7.5.3	Thickness-Extensional and Shear Vibrations	166
7.6	Conclusions	168
	References	169
8	Electrothermal Excitation of Resonant MEMS	173
	<i>Oliver Brand and Siavash Pourkamali</i>	
8.1	Basic Principles	173
8.1.1	Fundamental Equations for Electro-Thermo-Mechanical Transduction	173
8.1.2	Time Constants and Frequency Dependencies	175
8.2	Actuator Implementations	178
8.2.1	Thin-Film/Surface Actuators	179
8.2.2	Bulk Actuators	184
8.3	Piezoresistive Sensing	185
8.3.1	Fundamental Equations for Piezoresistive Sensing	185

8.3.2	Piezoresistor Implementations	187
8.3.3	Self-Sustained Thermal-Piezoresistive Oscillators	189
8.4	Modeling and Optimization of Single-Port Thermal-Piezoresistive Resonators	193
8.4.1	Thermo-Electro-Mechanical Modeling	193
8.4.2	Resonator Equivalent Electrical Circuit and Optimization	195
8.5	Examples of Thermally Actuated Resonant MEMS	197
	References	199
9	Nanoelectromechanical Systems (NEMS)	203
	<i>Liviu Nicu, Vaida Auzelyte, Luis Guillermo Villanueva, Nuria Barniol, Francesc Perez-Murano, Warner J. Venstra, Herre S. J. van der Zant, Gabriel Abadal, Veronica Savu, and Jürgen Brugger</i>	
9.1	Introduction	203
9.1.1	Fundamental Studies	203
9.1.2	Transduction at the Nanoscale	206
9.1.3	Materials, Fabrication, and System Integration	208
9.1.4	Electronics	211
9.1.5	Nonlinear MEMS/NEMS Applications	212
9.2	Carbon-Based NEMS	215
9.3	Toward Functional Bio-NEMS	219
9.3.1	NEMS-Based Energy Harvesting: an Emerging Field	220
9.4	Summary and Outlook	222
	References	224
10	Organic Resonant MEMS Devices	233
	<i>Sylvan Schmid</i>	
10.1	Introduction	233
10.2	Device Designs	235
10.2.1	Conductive Polymer with Electrostatic Actuation	235
10.2.2	Dielectric Polymer with Polarization Force Actuation	236
10.2.3	Superparamagnetic Nanoparticle Composite with Magnetic Actuation	238
10.2.4	Metallized Polymer with Lorentz Force Actuation	239
10.3	Quality Factor of Polymeric Micromechanical Resonators	242
10.3.1	Quality Factor in Viscous Environment	242
10.3.2	Quality Factor of Relaxed Resonators in Vacuum	242
10.3.3	Quality Factor of Unrelaxed Resonators in Vacuum	243
10.4	Applications	247
10.4.1	Humidity Sensor	247
10.4.2	Vibrational Energy Harvesting	252
10.4.3	Artificial Cochlea	253
	References	256

11	Devices with Embedded Channels 261
	<i>Thomas P. Burg</i>
11.1	Introduction 261
11.2	Theory 263
11.2.1	Effects of Fluid Density and Flow 263
11.2.2	Effects of Viscosity on the Quality Factor 267
11.2.3	Effect of Surface Reactions 269
11.2.4	Single Particle Measurements 271
11.3	Device Technology 273
11.3.1	Fabrication 273
11.3.2	Packaging Considerations 275
11.4	Applications 279
11.4.1	Measurements of Fluid Density and Mass Flow 279
11.4.2	Single Particle and Single Cell Measurements 279
11.4.3	Surface-Based Measurements 280
11.5	Conclusion 282
	References 283
12	Hermetic Packaging for Resonant MEMS 287
	<i>Matthew William Messana, Andrew Bradley Graham, and Thomas William Kenny</i>
12.1	Introduction 287
12.2	Overview of Packaging Types 289
12.3	Die-Level Vacuum-Can Packaging 291
12.4	Wafer Bonding for Device Packaging 293
12.5	Thin Film Encapsulation-Based Packaging 296
12.6	Getters 298
12.7	The “Stanford epi-Seal Process” for Packaging of MEMS Resonators 299
12.8	Conclusion 302
	References 302
13	Compensation, Tuning, and Trimming of MEMS Resonators 305
	<i>Roozbeh Tabrizian and Farrokh Ayazi</i>
13.1	Introduction 306
13.2	Compensation Techniques in MEMS Resonators 306
13.2.1	Compensation for Thermal Effects 306
13.2.1.1	Engineering the Geometry 307
13.2.1.2	Doping 307
13.2.1.3	Composite Resonators 309
13.2.2	Compensation for Manufacturing Uncertainties 313
13.2.3	Compensation and Control of Quality Factor 315
13.2.4	Compensation for Polarization Voltage 317
13.3	Tuning Methods in MEMS Resonators 317
13.3.1	Device Level Tuning 317

- 13.3.1.1 Electrostatic Tuning 318
- 13.3.1.2 Thermal Tuning 318
- 13.3.1.3 Piezoelectric Tuning 319
- 13.3.2 System-Level Tuning 320
- 13.4 Trimming Methods 321
- References 322

Part III: Application 327

- 14 MEMS Inertial Sensors 329**
Diego Emilio Serrano and Farrokh Ayazi
 - 14.1 Introduction 329
 - 14.2 Accelerometers 329
 - 14.2.1 Principles of Operation 330
 - 14.2.2 Quasi-Static Accelerometers 331
 - 14.2.2.1 Squeeze-Film Damping 332
 - 14.2.2.2 Electromechanical Transduction in Accelerometers 333
 - 14.2.2.3 Mechanical Noise in Accelerometers 334
 - 14.2.3 Resonant Accelerometers 334
 - 14.2.3.1 Electrostatic Spring-Softening 335
 - 14.2.3.2 Acceleration Sensitivity in Resonant Accelerometers 336
 - 14.3 Gyroscopes 336
 - 14.3.1 Principles of Operation 337
 - 14.3.1.1 Vibratory Gyroscopes 337
 - 14.3.1.1.1 Mode-Split versus Mode-Matched Gyroscopes 339
 - 14.3.1.2 Bulk-Acoustic Wave (BAW) Gyroscopes 341
 - 14.3.1.2.1 Angular Gain 342
 - 14.3.1.2.2 Zero-Rate Output 343
 - 14.3.1.2.3 ZRO Cancelation 345
 - 14.3.1.2.4 Electromechanical Transduction in Gyroscopes 345
 - 14.3.1.2.5 Electrostatic Mode Matching and Mode Alignment 346
 - 14.3.2 Mechanical Noise in Mode-Matched Gyroscopes 347
 - 14.4 Multi-degree-of-Freedom Inertial Measurement Units 348
 - 14.4.1 System-in-Package IMUs 348
 - 14.4.2 Single-Die IMUs 349
 - 14.4.3 Future Trends in Sensor Integration 351
 - References 352
- 15 Resonant MEMS Chemical Sensors 355**
Luke A. Beardslee, Oliver Brand, and Fabien Josse
 - 15.1 Introduction 355
 - 15.2 Modeling of Resonant Microcantilever Chemical Sensors 357
 - 15.2.1 Generalized Resonant Frequency 360
 - 15.3 Effects of Chemical Analyte Sorption into the Coating 361

15.3.1	Resonant Frequency	361
15.3.2	Quality Factor	363
15.4	Figures of Merit	364
15.5	Chemically Sensitive Layers	368
15.6	Packaging	371
15.7	Gas-Phase Chemical Sensors	374
15.8	Liquid-Phase Chemical Sensors	377
15.8.1	Cantilevers	379
15.8.2	Microdisk Resonators	380
15.8.3	Acoustic Wave Sensors	381
15.8.4	Resonators with Encapsulated Channels	383
	References	383
16	Biosensors	391
	<i>Blake N. Johnson and Raj Mutharasan</i>	
16.1	Introduction	391
16.2	Design Considerations: Length Scale, Geometry, and Materials	392
16.2.1	Fabrication Materials	392
16.2.2	Single-Layer Geometry	402
16.2.3	Multi-Layer Geometry	403
16.2.4	Length Scales	403
16.3	Surface Functionalization: Preparation, Passivation, and Bio-recognition	404
16.3.1	Antibody-Based Bio-recognition	405
16.3.2	Nucleic Acid-Based Bio-recognition	405
16.3.3	Alternative Bio-recognition Agents	407
16.4	Biosensing Application Formats	408
16.4.1	Dip-Dry-Measure Method	408
16.4.2	Continuous Flow Method	408
16.5	Application Case Studies	409
16.5.1	Whole Cells: Pathogens and Parasites	409
16.5.1.1	Foodborne Pathogen: <i>Escherichia coli</i> O157:H7	409
16.5.1.2	Foodborne Pathogen: <i>Listeria monocytogenes</i>	411
16.5.1.3	Waterborne Parasite: <i>Cryptosporidium parvum</i>	413
16.5.1.4	Waterborne Parasite: <i>Giardia lamblia</i>	413
16.5.2	Proteins: Biomarkers and Toxins	414
16.5.2.1	Prostate Cancer Biomarker: Prostate Specific Antigen	414
16.5.2.2	Prostate Cancer Biomarker: Alpha-methylacyl-CoA Racemase (AMACR)	414
16.5.2.3	Toxin in Source Water: Microcystin	415
16.5.2.4	Toxin in Food Matrices: <i>Staphylococcal enterotoxin B</i>	415
16.5.3	Virus	416
16.5.4	Nucleic Acids: Biomarkers and Genes Associated with Toxin Production	416

- 16.5.4.1 RNA-Based Biomarkers: MicroRNA 416
- 16.5.4.2 Gene Signature of a Virus 417
- 16.5.4.3 Toxin-Associated Genes for Pathogen Detection without DNA Amplification 417
- 16.6 Conclusions and Future Trends 418
 - Acknowledgment 419
 - References 419
- 17 Fluid Property Sensors 427**
Erwin K. Reichel, Martin Heinisch, and Bernhard Jakoby
 - 17.1 Introduction 427
 - 17.2 Definition of Fluid Properties 429
 - 17.2.1 Rheological Properties 429
 - 17.2.2 Time-Harmonic Deformation 431
 - 17.2.3 Classical Methods for Measuring Fluid Properties 431
 - 17.2.4 Miniaturized Rheometers 432
 - 17.3 Resonator Sensors 433
 - 17.3.1 Excitation and Readout 433
 - 17.3.2 Eigenmode Decomposition 433
 - 17.3.3 Electrical Equivalent Circuit 434
 - 17.3.4 Damping 435
 - 17.3.5 Fluid-Structure Interaction 436
 - 17.4 Examples of Resonant Sensors for Fluid Properties 438
 - 17.4.1 Microacoustic Devices 440
 - 17.4.2 MEMS Devices 441
 - 17.4.2.1 Cantilever Devices 441
 - 17.4.2.2 U-Shaped Cantilevers 445
 - 17.4.2.3 Tuning Forks 445
 - 17.4.2.4 Doubly-Clamped Beam Devices 445
 - 17.4.2.5 In-Plane Resonators 445
 - 17.4.2.6 Other Principles 445
 - 17.4.3 Comparison 446
 - 17.5 Conclusions 446
 - References 446
- 18 Energy Harvesting Devices 451**
Stephen P. Beeby
 - 18.1 Introduction 451
 - 18.2 Generic Harvester Structures 452
 - 18.2.1 Inertial Energy Harvesters 453
 - 18.2.2 Direct Force Energy Harvesters 456
 - 18.2.3 Broadband Energy Harvesters 457
 - 18.2.4 Frequency Conversion 460
 - 18.3 MEMS Energy Harvester Transduction Mechanisms 461
 - 18.3.1 Piezoelectric Transduction 462

18.3.2	Electromagnetic Transduction	464
18.3.3	Electrostatic Transduction	465
18.3.4	Other Transducer Materials	467
18.4	Review and Comparison of MEMS Energy Harvesting Devices	468
18.5	Conclusions	471
	References	472
	Index	475