

Index

a

- abbreviated new drug applications
 - (ANDAs) 350, 351, 456–458, 466, 469, 470, 473, 485, 486, 491
- abbreviated new drug approval (ANDA)
 - 47, 351, 453, 458, 461, 467–469, 486–489
- acoustic emission 224–226
- active pharmaceutical ingredients (APIs)
 - 1, 45, 325, 451, 482
 - characterization 68
 - chemical purity 505
 - crystallization strategy profiling
 - high-throughput (HT) crystallization method 74
 - organic CSP methods 74
 - screening types 75
 - and solid state
 - generics 483
 - proprietary 483
 - target 512
- aerodynamic particle size distribution (APSD) 335
- aerosolization performance 335
- agglomerates 29, 192, 194–196, 225, 256, 308–314
- aggregates 29, 192, 222, 509
- agitation 294, 296, 304–305, 311–313, 317, 424
- ALCOA+ principles 401
- Alizarin polymorphs 154
- Allotrope Data Format (ADF) 410
- allotropic forms 24
- alternative non-proprietary crystal form 501
- alternative proprietary crystal form 501
- alternative salts 502
- American Society for Testing and Materials (ASTM) 100, 409
- amlodipine besylate 337, 346, 428–429
- amorphous form 47, 67, 74, 307, 326, 343, 347, 349, 464, 466, 467, 502, 515
- amorphous material 22, 72, 73, 84, 94, 95, 110, 118, 121, 122, 134, 141–143, 503, 515, 517
- amorphous solid dispersions 343–344, 349, 503
- analyst reports and company financial reports 492
- analytic code 373, 375, 376, 379, 383
- analytic database system 373–375, 378
- analytic transfer tool 375–376
- Analytical Information Markup Language (AnIML) 409–410
- anhydride (AH) 26, 30, 31, 154, 228, 252, 256, 333, 334, 337–339, 346, 347, 376, 424–426, 503
- anhydrous Aripiprazole crystals C, 435
- ANSI/ISA-88 408
- ansolvate 16, 26–28, 154, 385
- Anti-Stokes shifts 167
- antibody-drug conjugates (ADCs) 451
- Apotex's PHC anhydrate tablets 425
- Application Lifecycle Management (ALM) 413

- Aripiprazole 435, 441
assessing validity of a patent 445–446
Atom Pair Distribution Function 110
atomic force microscopy (AFM) 91,
101–102
(ATR)-UV/Vis spectroscopy 219
attenuated total reflectance (ATR)
152–153, 219, 257, 281
avalanche photodiode (APD) 276
Avicel® RC-591 334
Axitinib 506
- b**
backscattered electrons (BSE) 96, 97,
100, 103
bake-on siliconization of cartridges 130
barium sulfate particles 284
BCS class 1 drugs 459
BCS class 2 drugs 459
BCS class 3 drugs 459, 460
Beer–Lambert relation 218, 219
Beer–Lambert’s law 258, 259
benchtop diffractometers 115
berberine chloride 338
bioavailability 46, 185, 196, 240, 314,
326, 331, 435, 457–459, 461, 464,
467–469, 495, 496, 508, 517
bioequivalence (BE) 86, 351, 454–458,
458–460, 462, 467–469, 475, 482
biological drugs (BLAs) 486, 491
Biologics Control Act in 1902 449
biopharmaceutical classification scheme
(BCS) 327, 331, 332, 342, 351,
458–460, 465, 467, 469, 495, 503,
507–509, 511, 517, 518
biowaivers 458–460
bispecific antibodies 451
blending 188, 206, 226, 345
Boltzmann transport equation 275, 276
Bragg’s law 109, 117, 229
Breakthrough Therapies 453
bright-field microscopy 92–93
British Pharmacopeia (BP) 337, 450
Brownian motion 187, 188
- c**
cable less connections 407
caffeine 231, 236, 338, 339, 345, 346, 348,
473
caffeine citrate 473
CALCIPOTRIOL monohydrate 444
Cambridge Structural Database (CSD)
61–62, 118
candidate profiling phase (CP-phase) 69,
73–77
Candidate Selection (CS) 329, 367, 485
CAR-T-cells 451
carbamazepine, (CBZ) 154, 155, 159,
161, 223, 224, 235, 251, 333, 338,
346, 348
carbamazepine–malonic acid cocrystals
154, 155
carbamazepine polymorphic system
159–162, 235
carboxymethylcellulose sodium 334
cefdinir 427
celecoxib 333
cell-therapies 451
Chemistry, Manufacturing and Control
(CMC) 2, 69, 78, 85, 86, 367–370,
387, 390, 391
chloropropamide 231, 347, 348
chord length distribution (CLD)
222–224, 254, 255, 260–262
claims 47, 56, 58, 61, 171, 421, 422, 427,
428, 432, 433, 435, 441–445, 460,
461, 489–500
cloud point 222, 296, 297, 300
CMC project management 367–368
co-crystals 2, 25, 26, 110, 472–474, 502
regulatory framework 471–475
cohesion-adhesion balance (CAB) 336
Common Technical Document (CTD)
20, 466, 467
communication with CROs 381–382
computed tomography (CT) 203–210
 μ CT 203
computer system validation (CSV) 144,
412
confocal microscopy 95

- consecutive scattering events 275
 content uniformity 158, 162, 168, 169, 171, 172, 176, 185, 192, 314, 334, 337, 342, 346, 455, 456
 tests 168
 continuous crystallisation 251, 265, 290
 contract development and manufacturing organizations (CDMOs) 8, 32, 367, 381
 contract research organizations (CROs) 32, 367, 381–382, 492
 Cooperative Patent Classification (CPC) 54–59
 core development project team (CDPT) 370
 CRISPR-CAS 451
 critical process parameters (CPP) 33, 35, 302, 316, 344
 critical quality attributes (CQAs) 302, 336, 337, 344
 cross-linked poly(acrylic) acid 338
 crystal form control 512–513
 crystal forms discovery
 analytical focus 507
 irreproducibility of procedures 506
 late appearing polymorphs 506
 pragmatic questions 504–505
 stage 503
 unpredictability 503–504
 crystal structure prediction (CSP) 33, 74, 329, 330
 methods 329
 crystal-medium interfacial tension 333
 crystalline material 11, 21, 72, 74, 81, 82, 86, 94, 120–122, 124, 231, 234, 239, 441, 442, 472, 473
 crystalline paroxetine hydrochloride hemihydrate 425
 crystalline posaconazole 347
 crystallisation processes selection
 process exploration 300–302
 process outline evaluation 299–300
 process outline selection 298–299
 crystallization 1, 11, 23, 31
 laboratory 2–3
 of impurities and intermediates 389
 processes 387–388
 Crystallography Open Database 61, 62, 118
 cyclic DSC measurements 134, 136, 138, 139
 cyclodextrins 332
 cytochrome P 450 (CYP450) 50
- d**
- DailyMed 491–492
 dark-field microscopy 93
 data exclusivity 481, 485, 486, 489–490, 492
 data mining algorithms 9
 Decision “Kristallformen” German Federal Court 443
 Decision Point (DP) 11, 12, 20, 291, 325–328, 330–332, 335–337, 344–346, 349–351, 367, 369, 370
 Decision T 1555/12 dated April 29, 2015 435, 441
 Decision T 2114/13 dated October 12, 2016 442
 Decision T 2397/12 dated March 12, 2018 442–443
 Decision T 246/15 dated November 13, 2018 442–443
 Decision T 777/08 of May 24, 2011 435
 Decision X ZR 110/16 dated August 7, 2018 444–445
 Decision X ZR 58/08 dated March 15, 2011 443–444
 Decision X ZR 98/09 dated May 15, 2012 444
 dehydrated form or anhydrate 26
 delivered dose uniformity (DDU) 335
 dependent light scattering 277–280
 Design of Experiment (DoE) 176, 177, 180, 260, 284–285, 301, 344, 346, 388
 designations and code assignment 371–373
 desolvate or desolvated form 26
 Deutsche Arzneibuch (DAB) 450

- Developability Classification System (DCS) 327, 331
- diethylene glycol 449
- differential scanning calorimetry (DSC)
- cyclic measurements 134
 - detection limit of 134
 - evaluation 132–134
 - fast scanning calorimetry 134
 - heat flux 131
 - modulated DSC, 134
 - power compensation 131
 - sample preparation and measuring parameters
 - gas atmosphere 132
 - heating 132
 - sample pan 132
 - sample weights for 132
- diffusion coefficient 221, 271, 333
- digitalization
- application 18–20
 - fully digitalized infrastructure 20–21
 - in laboratories
 - Ad hoc analysis 401–402
 - centralized archiving 401
 - data integrity requirements 400–401
 - data value 402–403
 - Increasing throughput 400
 - IT systems 403–406
 - repeatability 400
 - staff expectation 398–400 - pre-requisites-technology and people 13–15
- Directive 2001/83/EC [29] 461
- Directive 2001/83/EC, Article 10(1) 457
- dispersed primary particles 29
- disrupted crystal 515, 516
- dissolution 335
- profiles 2, 158, 185, 209, 457, 509
 - rate 29, 70, 72, 85, 102, 196, 216, 332, 333, 335, 337, 342, 349, 351, 452, 455, 456, 467, 496, 509
- documentation 13, 36, 180, 316, 317, 366, 378–381, 383, 386, 388, 392, 405, 410, 413–416
- downstream processes 289, 301, 302, 308, 314, 315, 379, 389
- drug development and drug product processing
- blending 188, 206, 226, 345
 - co-crystal formation/complexation 328
 - control strategy 349–350
 - different stages 328
 - film coating 348
 - formulation development 330–332
 - galenical tetrahedron 325–326
 - granulation 346–347
 - hydrate formation 328
 - manufacturability (processability) 326
 - particle size reduction 345
 - pharmaceutical profiling 328–330
 - regulatory submissions 350–353
 - salt disproportionation 328
 - solid form screening 326
 - structured development approach 325
 - tablet compression 347–348
- Drug Master Files (DMFs) 481, 491
- drug polymorphs 332
- The Drug Price Competition and Patent Term Restoration Act 485
- drug product bioavailability (BA) 326, 328–335, 337, 342, 343, 349, 351, 458, 467, 468, 508
- drug product intermediates 185, 472
- drug product performance testing 466, 469
- drug product stability and manufacturability 331
- dry granulation 336, 346, 347
- drying 308–309, 311–313, 338–339, 346–347
- dry powder inhalers (DPIs) 97, 335, 336
- dynamic light scattering (DLS) 188–189, 221, 273, 274
- dynamic solubility measurement 296, 297
- Dynamic Vapour Sorption (DVS) experiments 23, 27, 77, 373, 377, 383, 385, 387, 465, 515

e

electron microscopy
SEM 96–100
TEM 100
electronic lab/laboratory notebooks
(ELNs) 13, 14, 17, 372, 378, 381,
386, 392, 398, 403, 404
documents 379–380
electronic laboratory journal 378, 381,
392
electrospinning 343
electrozone sensing equipment 187
elemental impurities 450
enabling formulations 452
energy dispersive X-ray spectrometry
(EDS) 96–100
Enterprise Resource Planning (ERP) 20,
403, 405, 406
equilibrium solubility 297–298, 329, 332,
335, 508
measurement 297–298
escitalopram oxalate 473
Espacenet 56–59
essential similarity 482, 490, 520
Ethernet adapters 407
European Federation of Pharmaceutical
Industries and Associations
(EFPIA) 7
European Medicines Agency (EMA) 26,
46, 145, 205, 350, 351, 450,
454–457, 474, 475, 492
European Patent Convention (EPC) 432,
433
European Patent Office (EPO) 54, 431
Decision T 1555/12 dated April 29, 2015
435, 441
Decision T 2114/13 dated October 12,
2016 442
Decision T 2397/12 dated March 12,
2018 442
Decision T 246/15 dated November 13,
2018 442–443
Decision T 777/08 of May 24, 2011,
435

polymorph 436–440

European patents
economic factors 432–433
European Patent Office 431–432
polymorph screening 434–435
protection of polymorphs and solid
forms 433–434
Unitary Patent 433
European Pharmacopoeia (EP) 145, 155,
180, 205, 450, 469, 471
European Public Assessment Reports
(EPARs) 492
European Union (EU) 145, 176, 316,
423, 454, 455, 457, 458, 460,
461–463, 475, 489, 490, 492, 502
Excel sheets 15
experiment code 372, 373, 378
exploratory crystallization experiments
400mg-crystallization experiments
84–85
Form VI and citric acid compound
Form 86–87
HT-screening setup 83
XRPD data 81
extemporaneously prepared oral
suspensions 334
extended defects 216
extended European search report (EESR)
431
extractables 450

f

fast scanning calorimetry 134, 145
Fasted State Simulated Intestinal Fluid
(FaSSiF) 70, 77, 86
Febuxostat 442
Fed State Simulated Intestinal Fluid
(FeSSiF) 70, 77, 86
Federal Food, Drug and Cosmetic Act
449, 460, 461
Federal Patent Court and the German
Federal Supreme Court
Decision “Kristallformen” German
Federal Court 443

- Federal Patent Court and the German Federal Supreme Court (*contd.*)
- Decision X ZR 110/16 dated August 7, 2018 444–445
 - Decision X ZR 58/08 dated March 15, 2011 443–444
 - Decision X ZR 98/09 dated May 15, 2012 444
- Feret's diameter 186
- field of vibrational spectroscopy 165
- film coating 102, 348
- filtration 295, 308–311
- filtration process 16, 17
- fluconazole 472
- fluid bed granulation 346
- fluorescence microscope 95–96
- focal plane array detectors (FPA) 156–157
- Focused Beam Reflectance Measurements (FBRM) 222–223, 237–238, 254–257, 260, 281, 391
- Food and Drug Administration (FDA) 46, 145, 205, 249, 342, 350–351, 401, 424, 428, 449–450, 454–460, 466, 468–470, 473–475, 486–491
- Fraunhofer model 190
- free patent databases
- Espacenet 58–59
 - Google Patents 57–58
 - Lens.org 60–61
 - Patentscope 59–60
- freedom to operate (FTO) 37, 48, 52, 487–488, 499, 501, 503, 519
- freeze-dry microscope 96
- Freundlich–Ostwald equation 333
- FTIR microscopy 156, 162
- fully digitalized infrastructure 20–21
- fundamental lab processes 382
- g**
- galenical tetrahedron 325–326, 330
 - Gartner IT Glossary 13–14, 398
 - generic commercial success 522–523
 - generic drug development
 - formulation development 496–497
 - stability question 497–498
- generic exclusivity 486
- generic solid state development
- additional factors 509
 - alternative non-proprietary crystal form 501
 - alternative proprietary crystal form 501
 - alternative salts 502
 - amorphous form 502–503
 - amorphous solid dispersions 503
 - analytic focus 514–515
 - API target 511–512
 - cocrystals 502
 - crystal form control 512–513
 - crystal forms discovery 503–507
 - impact on formulation 517–518
 - intellectual property 519
 - lot-to-lot variability 513–514
 - micronized crystalline APIs 516
 - morphology 509
 - particle size and morphology 513
 - polymorph patent landscape 499
 - polymorphic purity 512
 - process development 510
 - solid state stability 509, 517
 - solubility 508–509
 - solubility curves 510–511
 - tetrahedron principle and consistency 516
 - thermodynamic stability relationships 510
 - generics 481–483, 490, 494, 502, 506, 520
 - genotoxic impurities 450
 - german DESTATIS GENESIS-online database 7
 - global substance codes 372
 - glyceride-based lipid vehicles 342
 - good manufacturing practice (GMP) 119, 145, 316–317, 367–368, 405, 449–450, 475
 - Google Patents 56–58
 - Google Patents search 52, 57

- granulation 188, 205–206, 226, 252–253, 255, 336–339, 346–348, 351, 391, 475, 498, 517, 520–521
griseofulvin 339, 348
- h***
Hatch–Waxman Act 485
heat flux DSC, 131–132
heat of fusion rule 139–140
heat of transition rule 139
hemihydrate (HH) 338, 346, 424–426, 490
heptane 329
herbicide 443
high frequency amplifier (HFA) 276
high permeability 459
high pressure liquid chromatography (HPLC) 23, 28, 158, 168–172, 301, 373, 375, 377, 383–384
high solubility 389, 459, 465
high-throughput (HT) crystallization method 74
high-throughput screening (HTS) 328, 385–387, 451
highly soluble API powder 514
hot-melt extrusion (HME) 252, 343, 452, 466, 475
hybrid application 461–462
hydrate 25–27
 formation 328
 solid forms 26–28
hydrophilic co-solvents 342
hydroxypropyl cellulose 338
hygroscopicity 27–28, 78, 216, 326, 331, 435, 452, 465, 468, 508–509, 514, 518
hypromellose 338–339
hypromellose acetate succinate 339
- i***
ibuprofen solid state polymorphism 50–51
imatinib mesylate 491
in situ analysis, monitoring solid state processes
- benefits 236–240
classification of 217
crystallisation from solution
 light scattering 221–224
crystallisation kinetics from solution
 infrared spectroscopy (IR) 219–221
 UV-Vis spectroscopy 218–219
extrinsic solid properties
 acoustic emission 224–226
 thermography 226–228
intrinsic solid properties
 Raman spectroscopy 232–236
 X-ray diffraction 228–232
PAT techniques 241–242
solid material 215–216
solid products 216
solution-phase processes 218
inelastic scattering 166, 232–233
infrared (IR) microscopy
 imaging methods, drug development
 measurement setups 157–158
 spatial resolution 156–157
infrared (IR) spectroscopy 219, 470
absorption of 151
case studies
 carbamazepine polymorphic system 159, 161–162
 NIR-IR-mapping component detection 158–160
drug substances
 analysis and reporting 153–154
 ATR 152–153
 carbamazepine–malonic acid cocrystals 154–155
 identification method 155–156
 IR microscopy and imaging 153
 Oxatomide 154
 quantification methods 156
 transmission mode 152
innovative/ethical product 482
intellectual property 13, 35–36, 46–47, 54, 57, 421, 443, 453–454, 487, 490, 519–520
International Confederation for Thermal Analysis and Calorimetry 129

- International Council of Harmonization (ICH) 28, 76, 103, 120, 145, 155–156, 158, 180, 196, 290, 293, 302, 316, 325, 328, 344, 349–350, 367, 378, 450, 463–464, 466–467, 469
- international nonproprietary name (INN) 372
- International Patent Classification (IPC) 54, 59
- international patent system (PCT) 36, 490, 500
- International Requirements Engineering Board (IREP) 413
- International Tables of Crystallography 22
- investigational new drugs (INDs) 456–457
- IT modernization 14
- Itraconazole–Soluplus solid dispersion 348
- j**
- Jablonski Energy Diagram 166
- Japanese Pharmacopoeia (JP) 450
- k**
- key starting materials (KSMs) 487–488, 521
- kinetic stability 292, 307, 497, 508–509
- Kinetisol® technology 343
- Knoevenagel condensation 232–233, 236–237, 239
- knowledge gathering process 45–46
- l**
- Lab Execution Systems (LES) 404
- laboratory codes 372, 383, 392
- Laboratory Information Management Systems (LIMSS) 17, 20, 372, 376, 381, 392, 398, 403–404
- laboratory IT systems
- data analysis and reporting 405–406
 - data exchange
 - adapters 407
- Allotrope Data Format 410
- AnIML 409–410
- ANSI/ISA-88 Batch control (S-88) 408
- Common data formats 409
- file based communication 408
- OPC UA 408
- SiLA 408–409
- devices 403–404
- ERP 405
- hierarchical categories of 403
- implementation of
- Contextual inquiry 411
 - CSV 412
 - interaction room 411–412
 - IREP 413
 - realization 415
 - risk management 414
 - rollout 416
 - Specification 414
 - usability 414
 - verification 415–416
- Lab Data Systems 404–405
- LES 404
- SDMS 404
- lactose monohydrate 99, 283, 338
- laser diffraction 94, 98–99, 185, 188–199, 261, 300–301, 465
- laser direct infrared (LDIR) 158–159
- late appearing polymorphs 505–506
- leachables 450
- Lead Optimization phase (LO-phase) 68
- left-over crystal forms 503
- legal expenses 487
- Lens.org 60
- light scattering 110, 188–189, 197, 221–224, 236, 250, 273–279, 281, 285–286
- light-diffraction approach 186
- lipid formulation classification system (LFCS) 342
- lipid-based drug delivery systems (LBDDS) 342
- lipid-based formulations 339–343
- liquid dosage forms 332–333, 335

liquid formulations 332–335, 391
 local defects 216
 local substance codes 372
 lot-to-lot variability 513–514, 516
 low soluble APIs 515

m

machine learning 15, 259, 374, 392, 403
 magnesium stearate 339
 maleic acid 372, 472
 manual ELN review process 380–381
 manual screening 75, 384–385
 manufacturing classification system (MCS) 336
 market exclusivities 461, 486, 489–490
 Martin’s diameter 186
 material flow 371
 materials of construction (MoC) 294, 303–304
 materials science tetrahedron (MST) 325, 516
 mathematical formulas 33
 mechanochemical Knoevenagel condensation 233, 237, 239
 mechanochemistry 218, 231–232, 235
 mechanosynthesis of manganese phosphonate 239
 melting point (MP) 77–79, 84, 134, 139–140, 294, 331, 342–343, 402, 443, 452, 465, 470
 Metal Organic Framework (MOF) 111, 236
 metastable forms 85, 250, 255, 257, 265, 292, 304–308, 315, 328, 333, 376, 385, 496–498, 501, 508–509, 511–512, 517–518, 520
 meta-stable zone width (MSZW) 31, 296–297, 306–307
 metronidazole benzoate 334
 Micro X-Ray Computed Tomography (μCT)
 advantage 204
 definition 203
 pharmaceutical industry
 coating characterization 207–208

density distribution 205, 206
 foreign matter detection 210
 performance evaluation 209–210
 porosity determination 205
 porous characterization 205–206
 size, shape and dimensions and
 interfaces 207
 micro-project management 369–370
 microcrystalline cellulose (MCC) 334, 338–339, 346
 micronized crystalline APIs 514, 516
 microprecipitation 343
 microscopy

 AFM 101–102
 definition of 91
 electron 96–100
 in regulatory documents 103
 optical microscopy 91–96
 Mie model 191
 Mie theory 94, 190–191, 199, 277–279
 modulated DSC, 134, 141–143
 Molecular Complementarity (MC) 74
 Molecular Electrostatic Potential (MEP) 74
 monoclinic metazachlor 443–444
 30-month stay 486–487
 morphic forms 24–25, 452, 456, 463, 465–471
 motivations 4–6, 48, 365, 398–403, 444
 MRI Product Index 492
 multivariate methods 169, 173
 multivariate models 175, 181, 221
 Mutual Recognition Product Index 492

n

naproxen sodium 337–338, 346–347
 Natrosol suspension 70–73
 NCE-1 486–488
 near infrared (NIR) spectroscopy 157–160, 166, 220, 250, 252–254, 259, 347
 needle-like crystals 92, 336
 new active substances (NAS) 46, 115, 463, 475

- new chemical entities (NCEs) 47, 67–69, 75, 79, 367–368, 370, 457, 483, 486–489
 New Dosage Form (NDF) 486
 new drug applications (NDAs) 20, 151, 328, 350, 453, 456, 460–461, 485–486, 488–489, 491
 new drug approval (NDA) 453
 nitrofurantoin 337
 non-material sense, impact of 5
 non-solvated form 26–29
 non-speaking codes 371
 nonstoichiometric hydrate (NSH) 30–31, 346, 348
 Norvasc® 9, 428
 Noyes–Whitney equation 333, 335
 Nucynta® products 423
- O**
 olanzapine 338
 One Compound Report 79
 Open Platform Communications Unified Architecture (OPC UA) 281, 408
 optical coherence tomography (OCT) 203
 optical microscopy
 bright-field illumination 92–93
 confocal microscopy 95
 dark-field conditions 93
 fluorescence microscope 95–96
 freeze-dry microscope 96
 PLM 93–95
 thermal microscopy 95
 use of 92
 oral compounding 334
 oral liquid formulations 332
 oral solid dosage forms 169, 336, 482
 Orange Book (OB) 485–486, 489–491
 organic compounds 1, 24, 28, 55, 62, 97
 Organikum 1
 Orphan Drug Exclusivity (ODE) 486
 orthogonal signal correction (OSC) 260
 Ostwald ripening 280, 334
 Oxatomide 154
 oxygenation/deoxygenation of blood 277
- P**
 P1-approximation 275–276
 Palexia® 423
 paragraph IV (PIV) 486
 parallel experiment codes 372–373
 paroxetine hydrochloride (PHC) 424–426, 490
 Partially Automated Solubility Screening test (PASS) 329
 particle size 29
 cumulative distribution curves 186, 187
 DLS 188–189
 electrozone sensing equipment 187–188
 Feret's diameter 186
 laser diffraction 189
 Fraunhofer model 190–191
 inconsistent disconnected peaks 197–198
 instrumentation and measurement 189–190
 method validation 196–197
 Mie model 191
 repeatable artifact peaks 199
 sample dispersion 192–195
 sample representativeness and obscuration 195–196
 light-diffraction approach 186
 Martin's diameter 186
 microscopy techniques 188
 projected area diameter 186
 sedimentation methods 187
 sieving 188
 particle size and morphology 310–311, 314, 509, 513
 particle size distribution (PSD) 29, 71–72, 99, 110, 185, 187, 198–199, 222–224, 237, 271, 279, 295, 330–331, 334–337, 344, 349, 390–391, 495, 498, 508, 513–516
 particle size range 272, 274
 particle size reduction 301, 314–315, 345
 particle-sizing technologies 271–273, 300

- partition coefficient 68, 342
 patent
 assessing validity of 445–446
 claims 421
 definition 421
 patent prosecution 422
 professionals 446–447
 right to exclude 422
 rules and requirements for 421
 United States 422–429
 patent certification 486
 Patent Cooperation Treaty (PCT) 36, 490, 500
 patent owner's exclusive rights 432
 patent prosecution 422
 patentable subject matter 422
 Patentscope 56, 57, 59–60
 pay data sources 492–493
 Percus–Yevick approximation 278
 permeability 326, 329, 331, 342, 351, 459, 463, 468, 482
 personal dimension 5–6
 pharmaceutical alternatives 454–460
 pharmaceutical application 2, 10, 92, 116, 118, 120, 123, 171
 pharmaceutical development 3, 10, 32, 95–97, 100, 153, 325–328, 350, 366, 382, 398, 400, 463, 476
 Pharmaceutical Discussion Groups (PDGs) 450
 pharmaceutical equivalence 454–456, 458, 460
 pharmaceutical industry 2, 7–9, 31, 36, 91, 100, 107, 129, 162, 165, 185, 203–210, 251, 290, 308, 315, 325, 365, 397–416, 434, 449–453, 471, 476, 506
 pharmaceutical profiling 325, 328–330
 pharmaceutical salts 452
 bioequivalence 456–458
 biowaivers 458–460
 pharmaceutical alternatives 454–456
 pharmaceutical equivalence 454–456
 regulatory approval pathways in the European Union 461–463
 regulatory approval pathways in the United States 460–461
 therapeutic equivalence 458
 pharmaceutical solid state development 4–5
 pharmacopoeia, polymorphism in 469–471
 phase diagrams 11, 23, 79, 230, 298
 PHC hemihydrate 424–426
 Photon Density Wave (PDW) spectroscopy
 absorption and scattering properties 275
 experimental setup of 277
 optical emission and detection fibers 276
 P1-approximation 275
 particle size 277–279
 process analysis 282
 barium sulphate precipitation 284–285
 lactose crystallization 283–284
 sample versus process measurements 280–281
 technical implementation and data access 281–282
 time-dependent 275
 physical sample transfer 375
 plume geometry 335
 polarized light microscopy (PLM) 92–94
 polychromatic radiation 113–114
 polyethylene glycol 338–339
 polymorph patent landscape 499–500
 polymorph screening 56, 75, 325, 350, 423–424, 434–435, 446, 452, 488, 499, 501, 504
 polymorphic forms 11, 23–26, 30–32, 36, 46, 55–57, 70, 81, 84, 119, 153–154, 156–157, 159–162, 167, 173, 215–216, 220, 231, 235–236, 310, 349, 351, 368–370, 379, 385, 387, 390, 427, 429, 434, 441, 442, 445, 452, 467–468, 519, 521
 polymorphic landscape 23, 29–31, 47, 69, 74, 329

- polymorphic purity 251, 257, 496, 511–512
 - polymorphic stability
 - in dry conditions 497–498
 - in wet conditions (slurry) 498
 - polymorphism 2
 - allotropic forms 24
 - definition 24
 - modification 24–25
 - morphic form 25
 - morphology 24
 - in pharmacopoeias 469–471
 - polymorphs 433
 - regulatory approval for 463–469
 - population balance equation (PBE) 262–263, 265
 - portfolio management
 - analyst reports and company financial reports 492
 - business case 493
 - criteria for building a robust portfolio 494–495
 - criteria for identifying promising projects 493–494
 - data exclusivity 486, 489–490
 - European Union (EU) 489
 - legal expenses 487
 - 30-month stay 486–487
 - NCE-1 486
 - Orange Book (OB) 485–486
 - paragraph IV (PIV) 486
 - patent certification 486
 - pay data sources 492–493
 - project evaluation 491
 - Quality Target Project Profile (Q TPP) 493
 - risk management 487
 - salts and esters 490
 - starting material 487
 - strategy 484
 - think global, act local 490–491
 - timing 487–488
 - US FDA 489, 491
 - value 484–485
 - posaconazole 339, 347
 - potential critical material attributes (pCMA) 331, 344
 - potential critical process parameters (pCPP) 344
 - ovidone 338
 - powder X-ray diffraction (P-XRD) 83, 111, 120, 465
 - powder X-ray diffractometers 115
 - power compensation DSC, 131
 - preclinical formulations 70–73, 391
 - pre-CMC data 369
 - precipitation 11, 16, 23, 75, 218, 264, 280, 282, 284–285, 307–308, 332–333, 342–343, 452, 503, 509, 513
 - preferred orientation 114, 116–118, 124
 - pressure-induced transformations 339
 - pressurized metered dose inhalers (pMDIs) 334
 - probe fouling 280–281, 283
 - process analytical techniques (PAT) 19, 21, 210, 236–237, 240–242, 249, 251, 280–281, 284, 299–302, 308, 388–389
 - process-induced transformations (PIT) 167, 325, 328
 - product-specific guidances for generic drug development 491
 - project codes 372, 375
 - project evaluation 491–493
 - projected area diameter 186
 - proprietary products 482–483
 - pseudo-polymorphs 2, 452, 464, 469, 476
 - PubMed 48–51, 60, 491
 - purified Rifaximin α 445
 - Purple Book 491
- q**
- quality by design (QbD) 179, 249, 253, 325–326, 330
 - principles 35
 - Quality Risk Assessment (QRA) 316–317, 344

- quality target product profile (QTTPP) 325, 330–331, 336, 491, 493
- Quantum Cascade Laser (QCL) technology 158
- r**
- radiation 22, 91, 93, 96, 107, 109, 111–114, 121, 151, 157, 165, 203–204, 217, 219–222, 226, 229–230, 232–233
- Raman active 166, 174–175, 250
- Raman effect 165–167, 233
- Raman spectroscopy 154
- history of 165
 - objective 165
 - pharmaceutical purposes
 - fibre optic probes 167–173
 - TRS 167
 - Raman effect 166
 - solid form monitoring 250–252
- ranitidine hydrochloride (RHCl) 426–427
- rapidly dissolving 459–460
- recrystallized CBZ (REC) 223–224
- reference listed drug (RLD) 46, 351, 458, 468–469, 486–487, 491, 495–496, 499, 507–509, 517, 519
- reference medicinal product 457
- reflection geometry 113–115, 387
- refractive index (RI) 94, 152–153, 190, 222, 276, 279
- regulatory approval for polymorphs 463–469
- regulatory approval pathways in the European Union 461–463
- repeatable artifact peaks 199
- replica experiments and scale-up phase 387
- Resonant Acoustic Mixing 227
- Rietveld full pattern refinement 124
- Rietveld refinement 123–124
- Rifaximin 445
- right to exclude 422
- risk management 18, 32, 180, 316, 414, 416, 487, 499
- RNA drugs 451
- roller compaction 347
- route scouting process 389
- s**
- salt-cocrystal 9, 25–26, 81, 84, 329
- salts and esters 490
- same active substance 454, 456–457, 462, 502
- sample code 372–373, 379
- sample dispersion
- dry dispersion 194–195
 - wet dispersion 192–194
- saturation solubility 333, 384
- scale up and technology transfer process 390
- scale-up a crystallisation process
- accommodation
 - agitation 304–305
 - heat transfer 305
 - solid additions 305–306
 - solution addition 305
 - sonication 306
 - vessel size and MoC 304
 - agglomeration 314
 - drying 311–313
 - filtration and washing process 310, 311
 - isolation 309–311
 - parameters 303
 - particle size reduction
 - delumping 314
 - milling or micronisation 314
 - storage and Packing 315
 - risk of
 - amorphous forms 307–308
 - metastable forms 307
 - oiling and phase separations 308, 309
 - salt stoichiometry 308
 - scanning 13–14, 23, 53, 91, 158, 185, 219–220, 262
 - scanning electron microscope (SEM) 96–100, 103, 188, 199

- Scientific Data Management Systems (SDMS) 404–405
- secondary electron (SE) emission 96–97, 100
- sedimentation methods 187
- self-emulsifying drug delivery systems (SEDDS) 339
- self-microemulsifying drug delivery systems (SMEDDS) 339
- Serial Port (RS232) 407
- sieving 185–186, 188, 386
- silica 107, 110, 339, 343
- silicified microcrystalline cellulose 338
- single crystal X-ray diffraction 22, 110–112, 137, 465
- S.M.A.R.T. principles 29
- societal impact, fishing in foreign waters
- individuals impact 6–7
 - market impacts 7–9
 - motivation 4–5
 - personal dimension 5–6
 - professional data 9
- sodium naproxen 337
- soft gelatin capsule 342
- solid 13C-NMR 441
- solid dosage forms 152, 158–159, 167, 169, 185, 203–204, 206–207, 210, 332, 335–339
- solid form landscape 67, 136, 139, 145, 330
- thermal analysis 130
- solid form monitoring techniques
- advantages and challenges of 257–258
 - direct characterization techniques
 - NIR spectroscopy 252–253
 - Raman spectroscopy 250–252 - indirect monitoring tools
 - FBRM 254–255
 - in-process microscopy 256
 - solute concentration 256–257
- solid form screening 74–75, 79, 326
- solid form transformation modelling
- data-driven model for CLD-PSD prediction 260–262
 - multivariate data analysis 259–260
- polymorph transformation processes 262–265
- solid forms 433
- agglomerates 29
 - aggregates 29
 - amorphous material 22
 - ansolvent 26–28
 - crystal water, nomenclature of 27
 - crystalline material 21–22
 - crystallization 11–13, 23, 31–32
 - digitalization
 - application 18–20
 - filtration 16, 17
 - fully digitalized infrastructure 20–21
 - pre-requisites-technology and people 13–15
 - triangle of project management 18 - dispersed primary particles 29
 - diversity of 10
 - hydrate 26–28
 - in-silico techniques 32–35
 - intellectual property rights 35–36
 - multi-component compounds 25–26
 - non-solvated form 26–28
 - optimization of crystallization
 - processes 32 - organic solvents 26, 27
 - particle size 29
 - particle size distribution 29
 - polymorphic landscape 29–31
 - polymorphism 24–25
 - precipitation 23
 - simulation techniques 32–35
 - solid state properties 32
 - solid-liquid and solid-solid systems, phase diagram 23
 - synthesis procedure 13, 14
- solid forms on API scale-up
- crystallisation development process 290
 - crystallisation scale up 302–315
 - people and skill requirements 315
 - regulatory requirements
 - process documentation 316

- quality and manufacturability
 - framework 316
 - safety assessment 316
- small scale crystallisation development
 - crystallisation processes selection 298–302
 - form selection 291–293
 - process development 302
 - solvent selection 293–298
- solid fraction range 272–274
- solid material 11, 16, 31, 36, 69–72, 82–83, 86, 118, 215–216, 240, 242, 306
- solid products 216–217, 219–221, 240
- solid solutions 10, 343–344, 474
- solid state characterization techniques 1, 9, 91–103, 129–145, 151–162, 185–199, 228, 249
- solid state evaluation
 - early drug discovery phase 68–69
 - in research and development
 - API-crystallization strategy profiling 73–77
 - in pre-clinical formulations 70–73
 - screening types 76
 - knowledge management 79
 - selection criteria
 - physico-chemical parameters 78
 - solid state properties 77–78
- solid form properties
 - analytical characterization of 81
 - compound A 81
 - exploratory crystallization experiments 81–86
 - medicinal chemistry 80
- solid state laboratory processes 399–400
- solid state stability 291, 508–509, 513, 517
- solid-chem GmbH 8
- solid-state development 2–6, 12, 24, 46, 365–370, 373, 377, 382, 391–392, 411, 449–476, 483, 490, 495, 498–520
- solid-state forms
 - general regulatory considerations for pharmaceutical 453–454
 - to be used for drugs 451–453
- solid-state lab
 - high-throughput (HT) screening 385–387
 - initial testing 382–384
 - manual screening 384–385
 - replica experiments and scale-up phase 387
- solubility estimation 384
- solid-state project
 - Crystallography Open Database 62
 - CSD 61–62
 - knowledge gathering process 45
 - literature search
 - Boolean operators 49
 - ibuprofen solid state polymorphism 50–51
 - latest publications 51
 - PubMed 49
 - patent and intellectual property 47
 - patent search
 - classification 54–56
 - database 56–61
 - free patent databases 57–61
 - freedom to operate searches 52
 - landscape report 52
 - patent landscape report 52
 - patent map 52
 - reports 53
 - regulatory perspective 46
- solubility 508
 - curves 510–511
 - data report 379
 - enhanced formulations 339–344
 - estimation 384
- solubility and residual solid screening (SORESOS assay) 329
- solubility measurement
 - dynamic 296–297
 - equilibrium 297–298
- solution-mediated transformation 345–346, 498

- solvent 25
 - mediated phase transformation 338
 - mediated transformations 339
- solvent selection
 - examples 293
 - solubility diagram 294–295
 - solubility measurement 295–296
 - solvent screening 293–294
 - sonication 197, 306
- space group 22, 62, 108, 110, 507
- space group symmetry 108–109
- speaking code 371, 373
- spray pattern 335
- sprints 415
- squalamine dilactate salt 442
- stability storage process 377–378
- Stability Testing of new Drug Substances and Products 28
- stakeholders 2, 12, 18, 20–21, 36, 366, 369, 406, 411, 414
- standard normal variate (SNV)
 - transformation 260
- standard operating procedures (SOP) 382, 416
- Standards and Trends in Solid State Characterization Techniques 129–146, 151–162, 249
- Standards in Lab Automation (SiLA) 408–410
- starting material 13, 71–72, 217, 233, 237, 383, 386, 487
- static light scattering (SLS) 188, 221, 223, 286
- Stokes signals 167
- structured product development 325
- substance requirement plan 368
- successful biostudy 520–521
- sulfaguanidine 338, 346
- supercritical fluid technology 343
- surfactants 193, 198, 332–334, 342–343, 520
- symmetry 21–22, 108–110, 216, 229
- t**
- tablet compression 346–348
- tapentadol hydrochloride 423–424
- tapping mode 101
- tasks and duties, solid state development 12
- telmisartan 465
- temperature cycling 302, 306
- tetrahedron principle and consistency 516
- texture effect 116–118, 121–123
- theophylline 253, 337–339, 346
- theophylline co-crystals 334
- therapeutic equivalence 454–455, 458, 460, 482, 485, 491
- thermal analysis
 - case studies
 - amorphous phases 141–142
 - polymorphic transitions 136–139
 - solvate structures 142–145
 - ultra-fast heating rates 139–141
 - definition 129
 - DSC 131–136
 - in drug development
 - compatibility studies 130
 - phase transitions 131
 - solid form landscape 130
 - quality and regulatory aspects 144–145
 - TGA 134
- thermal microscopy 95
- thermocouples 226–228
- thermodynamic stability 23, 497, 517
- thermodynamic stability relationships 510
- thermogravimetric analysis (TGA)
 - bottom-loading 134
 - evaluation 135–136
 - gas analysis 136
 - heating rates 136
 - of calcium oxalate monohydrate 142, 144
- sample preparation and measuring
 - parameters
 - gas atmosphere 135
 - heating rates 135
 - sample pan 135
 - sample weight 135

- side-loading 134
top-loading 134
thiamine hydrochloride 30, 338, 346, 348
three dimensional physical world 5
time-independent photon density 275–276
timely measurement 376–377
timing 481–485, 487–488, 490–491, 494, 500
tolbutamide 348
tolerable daily intake (TDI) 76
tramadol 170, 174
transmission electron microscopy (TEM) 96, 100, 273
transmission mode 114, 117, 119, 152, 157, 167, 219
transmission Raman spectroscopy (TRS)
 advantages 168
 business case 171–173
 definition 174
 evaluation phase
 feasibility 176–177
 pre-feasibility evaluation 174–176
 model development, calibration and validation 180
 parameter 171
 principles of 168–170
 regulatory assessment and guidelines 180–181
 risk analysis 179–180
 settings 177, 179–180
 terminology 174
triangle of project management 18
triclinic metazachlor 443–444
true molecular solution 332
triglyceride oil 342
type I lipid-based drug delivery systems 342
type IV LBDDS 342
- u**
ultra-fast heating rates 139–141
umbrella effect 116, 118
Unitary Patent 431, 433
United States patent law
- amlodipine besylate 428–429
cefdinir 427
paroxetine hydrochloride (PHC) 424–426
ranitidine hydrochloride (RHCl) 426–427
tapentadol hydrochloride 423–424
United States Pharmacopoeia (USP) 145, 180, 186, 195, 205, 337, 450, 459, 468–469, 471, 514
univariate calibration approach 250
Universal Series Bus (USB) 407
US Food and Drug Agency (FDA) 449, 489, 491
U.S. Patent and Trademark Office (USPTO) 54
UV-Vis spectroscopy 218–219, 237, 240, 242, 251
- v**
validation 155–156, 173–174, 180, 181, 196–197
Vardenafil hydrochloride 442–443
vector network analyzer (VNA) 276
very rapidly dissolving 459–460
vessels 304
 choice 230
 size and MoC 304
- w**
water insoluble surfactants 342
water soluble surfactants 342
water-soluble methylcellulose derivates 70
wet dispersion 192–194
wet granulation 206, 252–253, 255, 336–340, 348, 351, 475, 498, 517
 and drying 338–339, 346–347
wet-milling 223–224, 302, 306
workflow 2, 15, 17, 19, 302, 317, 398, 402, 406, 414
workflow management
 analytic database system 373–375
 analytic transfer tool 375–376
 CMC project management 367–368

- workflow management (*contd.*)
 communication with CROs 381–382
 crystallization processes 387–388
 dependencies 370–371
 designations and code assignment
 371–373
 documentation 378–379
 ELN documents 379–380
 fundamental lab processes 382
 goal 365
 material flow 371
 micro-project management 369–370
 physical sample transfer 375
 pre-CMC data 369
 solid-state lab 382–387
 stability storage process 377–378
 stakeholders 366–367
 substance requirement plan 368
 support processes 388–392
 timely measurement 376–377
- World Intellectual Property Organization (WIPO) 54, 56–57, 59–60,
 490
- Wurster process 346
- X**
- X-ray diffraction (XRD) 107
 advanced phase analysis 124
 crystal structure 108
 databases 118
 detector 115
 diffraction patterns 116–118
 diffraction peak 109–110
 diffractometer geometries 113
 benchtop diffractometers 115
 reflection geometry 113–114
- intrinsic solid properties
 Debye–Scherrer rings 228, 229
 ex situ and in situ analysis 231
 in situ and real time analysis 231,
 232
 sampling restrictions 230, 231
 structural analysis 228
 vessel choice 230
 X-ray sources 230
- measurement
 instrument calibration 118–119
 sample preparation 119
- peak asymmetry 115–116
- principle 107, 108
- qualitative phase analysis 119–120
 amorphous content 121–122
 phase identification 120–121
- quantification 122–123
 calibration curve 123
 internal standard addition 123
 Rietveld refinement 123–124
- space group symmetry 108–109
- structure determination 111–112
- X-ray sources 112–113
- X-ray powder diffraction (XRPD) 1, 16,
 19, 22, 28, 70–74, 77, 82–86,
 107–124, 137–138, 153–154, 156,
 162, 297, 300, 369, 373–375, 377,
 379, 383–387, 389, 427, 435, 441,
 445, 471, 507, 509, 512
- X-ray powder diffractogram (XRPD) 22,
 435, 515
- X-ray scattering technics 110
- Z**
- ZetaSizer™ 222

