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# Introduction

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Of the final products of the combustion of carbon-based fossil fuels, carbon dioxide (CO<sub>2</sub>) has the highest oxidation state and is known as the major cause of global warming. Annual CO<sub>2</sub> emissions from anthropogenic activity in 2018 were approximately 33.1 Gton, an increase of 1.7% compared with 2017 [1]. Since the Industrial Revolution, two trillion tons of CO<sub>2</sub> have accumulated in the atmosphere, and the current atmospheric concentration of CO<sub>2</sub> has reached an unprecedented level of over 400 ppm (Figure 1.1) [2]. The anthropogenic emission of CO<sub>2</sub> is associated with energy consumption, i.e. the combustion of carbon-based fossil fuels, which currently account for around 85% of the world's energy.

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According to the Paris Agreement of the United Nations, an overall limit on total cumulative  $CO_2$  emissions is crucial for our future development [3, 4]. According to the 2°C scenario, further cumulative emissions should be limited to below one trillion ton of  $CO_2$ . The spread of renewable energy (35%), advances in energy conservation (40%), and carbon capture and sequestration (CCS) technologies (14%) are sure to contribute to addressing the problem (Figure 1.2) [3]. However, it is clear that these methods will not completely solve the issues arising from the vast quantities of emitted  $CO_2$ . In 2017, the International Energy Agency (IEA) presented the Energy Technology Perspectives (Beyond 2 °C Scenario: B2DS), which placed a much greater emphasis on the role of  $CO_2$  utilization for reducing emissions [3]. Indeed, in the next decade, we will still rely on carbon-based products for fuels, polymers, commodity chemicals, cosmetics, detergents, and fabrics in modern life. If these chemicals were to be derived from  $CO_2$  instead of fossil oils, a sustainable carbon cycle will be possible.

# 1.1 Direct Use of CO<sub>2</sub>

Apart from chemical applications, already today,  $CO_2$  is used directly in enhanced oil recovery (EOR), beverage carbonation, food processing (e.g. coffee decaffeination and



Figure 1.1 Atmospheric CO<sub>2</sub> concentration at Mauna Loa Observatory. Source: Data from National Oceanic and Atmospheric Administration, Global Monitoring Laboratory [2].



Figure 1.2 IEA 2 °C Scenario (2DS) in Energy Technology Perspectives 2017. Source: Data from Market-driven future potential of Bio-CC(U)S [3].

drinking water abstraction), welding, as a cleaning agent for textiles, and as a solvent in the electronics industry [5]. These approaches are commercially viable. In particular, 70–80 Mton of  $CO_2$  is consumed for EOR in the oil sector. Although such direct utilization of  $CO_2$  addresses a significant amount of  $CO_2$  emissions, these topics are beyond the scope of this book.

#### Chemicals from CO<sub>2</sub> as a Feedstock 1.2

 $CO_2$  has been recognized as an inexpensive and abundant industrial C1 carbon source. The various chemicals that can be produced by CO<sub>2</sub> conversion are shown in Table 1.1 [6]. The largest chemical use of CO2 is in the production of urea from ammonia. However, since a

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Chemical	Scale of production/ton
Anthropogenic CO <sub>2</sub> emissions (2018)	33100000000
Urea [7]	181 000 000
Diphenyl carbonate (Asahi Kasei Process) [8]	1070000
Salicylic acid	90 000
Cyclic carbonate	80 000
Polypropylene carbonate	76000
Acetylsalicylic acid	16000
Methanol (CRI process) [9]	4000

 Table 1.1
 Chemicals produced commercially from CO2.

Source: From Omae [6]. © 2012 Elsevier.

huge amount of  $CO_2$  is emitted during methane steam reforming to supply  $H_2$ , urea production does not contribute to carbon sequestration at present.

The catalytic copolymerization of  $CO_2$  with epoxides, which provides a thermodynamic driving force due to the strained three-membered ring, is the most prominent example of the synthesis of  $CO_2$ -based polymers without formal reduction of the carbon oxidation state. Another example, the manufacture of diphenyl carbonate from ethylene oxide, bisphenol A, and  $CO_2$  instead of phosgene was developed beginning in 1977 by Asahi Kasei Chemical Corporation to address environmental and safety issues. The first commercial facility started operation in 2002 [8]. This process produces high-quality polycarbonate and high-purity monoethylene glycol in high yields without waste or wastewater. In addition, the phosgene-free process emits approximately 2.32 ton/ton<sub>PC</sub> less  $CO_2$  than the phosgene process according to life-cycle assessment (LCA). Diphenyl carbonate has a large market (3.6 Mton in 2016) for use in automotive parts and accessories, glazing, and medical devices. The phosgene-free technology has already been licensed to Taiwan, South Korea, Saudi Arabia, China, and Russia.

Since 2011, in Iceland, carbon recycling international (CRI) operated the first commercial plant for methanol production from  $CO_2$  via syngas by the reverse water-gas shift (rWGS) reaction (George Olah Renewable Methanol Plant) [9]. At present, more than five million liters of methanol per year is produced using low-cost electricity and high-concentration  $CO_2$  in the flue gas from an adjacent geothermal power plant. It should be noted that this technology is at present only viable in Iceland; however, if there is a surplus of green electricity in the future from an excess of renewable energy, then this process will be attractive at other places, too.

Notably, the amount of  $CO_2$  utilized by all these approaches, including urea and carbonate production, is very small compared with the magnitude of anthropogenic emissions. Therefore,  $CO_2$  conversion into chemicals is unlikely to significantly reduce emissions. Comparatively, it should be noted that fuels are produced and consumed on a much larger scale than these chemicals.

# **1.3** Application and Market Studies of CO<sub>2</sub> Hydrogenation Products

Hydrogenation of  $CO_2$  could be an efficient option for developing more environmentfriendly products as alternatives to fossil-based ones. In terms of practicality, the distribution infrastructure of carbon-based chemicals is well established. However, their manufacturing is currently several times more expensive than their conventionally produced counterparts, mainly due to the costs associated with H<sub>2</sub> production. Some of the key features of  $CO_2$  hydrogenation products and conventional fuels are given in Table 1.2.

# 1.3.1 Formic Acid/Formate

Formic acid is the first carboxylic acid and is naturally occurring produced by ants, bees, and some plants. In 2016, the global production of formic acid was 1.02 Mton [10]. The general production process of formic acid involves the formal carbonylation of water in a two-step synthesis via methyl formate. Formic acid and its salts (formate) are valuable chemical products used for silage and animal feed (27%), leather and tanning (22%), pharmaceuticals and food chemicals (14%), textile (9%), natural rubber (7%), and drilling fluids (4%) [11]. Recently, formic acid has been recognized as a promising liquid organic H<sub>2</sub> carrier (LOHC) because of its low toxicity, low combustibility, stability, environmental friend-liness, and 4.4 wt% (53 gl<sup>-1</sup>) H<sub>2</sub> content [12–14]. In addition, compressed hydrogen gas can be supplied only by heating of formic acid using catalysts as a chemical compressor [15]. Therefore, advances in the efficient production of formic acid/formates may eventually lead to their large-scale use as LOHCs (see Chapter 10).

## 1.3.2 Methanol

Methanol, the industrial production of which is mainly from syngas, is in high global demand as a fuel and bulk chemical (Figure 1.3) [17]. One ton of methanol produced by the

Compound	Energy density (GJ m <sup>-3</sup> )	Approx. price per energy (US\$/GJ)	Boiling point/ melting point (°C)	lgnition point/ flash point (°C)	Vapor pressure at 25 °C (kPa)
Methanol	15.8	15	64.55/-97.68	470/15 (open)	16.9
Formic acid	6.3	100	100.56/8.27	520/59 (open)	43.1
Natural gas (CH <sub>4</sub> )	8.1 (20 MPa)	2	-161/-183	537/-188	147 (15°C)
Gasoline	34.5	30	17-220/≤-40	300/≤-43	50–93 (37.8 °C)
Diesel oil	36.3	23	140-400/-29 to -18	250/40-70	≤0.35 (37.8 °C)
Hydrogen	5.1 (70 MPa)	120	-252.87/-259.14	500-571/	$1.65 \times 10^{5}$

 Table 1.2
 Characteristics of various energy vectors.



**Figure 1.3** Global methanol demand in 2018. Source: Data from Global methanol demand (Methanol Institute) [16].

established process consumes 37.5 GJ of natural gas and emits 1.49 ton of CO<sub>2</sub> [18]. In 2018, the global production of methanol was approximately 91.7 Mton, and since 2015, its production has grown by approximately 16% [16]. Approximately 26% and 8% of the methanol produced worldwide is consumed to produce formaldehyde and acetic acid, respectively, as the conventional demands. Methanol can be used as a fuel for internal combustion engines and fuel cells because it has a comparably high-octane number of 113 and a density approximately half that of gasoline. In addition, methanol can be transformed into gasoline through the methanol-to-gasoline (MTG) process developed by Mobil in the 1970s [19]. Another growing market for methanol is the production of light olefins (i.e. ethylene  $(152 \text{ Mton yr}^{-1})$  and propylene  $(103 \text{ Mton yr}^{-1})$  in 2017), [20] which are monomer feed-stocks for polyethylene and polypropylene as basic products of the plastics industry [21]. The concept of a so-called methanol economy was independently proposed by Olah and Asinger due to the chemical's promising characteristics for use as an energy vector and chemical feedstock [22–24]. Therefore, the production of methanol by CO<sub>2</sub> conversion is regarded as an attractive and potentially profitable route for CO<sub>2</sub> utilization.

#### 1.3.3 Methanation

 $CO_2$  methanation, also known as the Sabatier process, affords methane by the exothermic reaction of  $CO_2$  with  $H_2$ . The commercial methanation of  $CO_2$  is performed at 300–550 °C and above 5 bar. Most  $CO_2$  methanation processes are considered to be a linear combination of rWGS and CO methanation. The process is expected to be a power-to-gas concept for converting renewable electrical energy into methane as chemical energy. In other words, the main goal of methanation is the intermediate storage of renewable electricity in methane as an energy carrier. Since fossil-based natural gas is a common fuel, there would be easy access to existing infrastructure.

Due to the significant interest in  $CO_2$  methanation, the first pilot plant capable of producing  $0.5 \text{ Nm}^3 \text{ h}^{-1}$  of synthetic natural gas was built in Japan [25]. In terms of commercial

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installations, Audi has an operational  $CO_2$  methanation facility (max.  $325 \text{ Nm}^3 \text{ h}^{-1}$ ) using renewable H<sub>2</sub> (max.  $1300 \text{ Nm}^3 \text{ h}^{-1}$ ) from electrolysis (max. 6.0 MW) in Germany [26, 27].

### 1.3.4 Energy Storage

The two most growing renewable energy sources, solar and wind, are intermittent and thus provide highly fluctuating electrical energy. In addition, the region's best suited areas for the production of renewable energy are often far from consumption areas, i.e. cities. These cause the two key problems of storage and transport. Certainly, electrical energy is an effective way to transfer energy within 1000 km and can be stored in batteries. However, low-cost solutions for the large-scale storage and long-range transport of electrical energy must be developed to improve energy security and balance energy prices.

The transformation of excess renewable energy into chemical energy by converting  $CO_2$  is one promising option.  $CO_2$ -based compounds, such as methane, methanol and formic acid, can store energy as gas or liquids with comparably high-energy densities. Especially liquids can be easily transported and release energy as  $H_2$  or electricity through oxidation and fuel cells when there is a greater demand. In other words,  $CO_2$  can act as an energy vector between electrical and chemical energy. Recently, the electroreduction of  $CO_2$  to chemical fuels has been receiving increasing attention because it allows for the direct use of renewable electricity without conversion to high-cost  $H_2$  by water electrolysis (see Chapter 9). Much more  $CO_2$  is in demand as a feedstock for fuels than for chemicals and mineralization. In addition, related photo-catalytic processes gain more and more interest.

# 1.4 Supply of Materials

The  $CO_2$  hydrogenation approach requires  $H_2$ ,  $CO_2$ , and an energy supply. In particular, how much the energy-intensive hydrogenation process contributes to mitigating  $CO_2$  emissions will be dominated by the  $H_2$  source. Obviously,  $H_2$  must be produced with the help of a renewable electricity source such as water electrolysis and not from fossil fuels.

## 1.4.1 CO<sub>2</sub> Supply

Capture, purification, and transport of  $CO_2$  are essential for its utilization. Table 1.3 lists several large  $CO_2$  sources with their typical amounts and concentrations of  $CO_2$  as well as impurities. In present  $CO_2$  merchant market (approximately 230 Mton, US\$7.7 billion), the fermentation process (i.e. bioethanol production) and ammonia production, which provide close to 100%  $CO_2$ , are predominantly  $CO_2$  sources [5, 30]. The  $CO_2$  generated from ethanol fermentation commercially supplies roughly 270 000 ton of  $CO_2$  annually for EOR through pipeline from Kansas to Texas [28]. On the other hand, the production of electricity and heat accounts for 41% of global  $CO_2$  emissions (Figure 1.4), and the transport and industrial sectors account for an additional 25% and 19%, respectively [32]. However, suitable sources of  $CO_2$  for use in chemical transformation are limited. The gases contain various impurities, the separation of which is both energy and cost intensive. To supply  $CO_2$  of an

Source	Amount/Mton	CO <sub>2</sub> concentration/%	Impurities
Ethanol fermentation [28, 30]	50	99	EtOH, MeOH, H <sub>2</sub> O, H <sub>2</sub> S
Anhydrous ammonia	30	>95	NH <sub>3</sub> , CO, H <sub>2</sub> , H <sub>2</sub> O
Natural deposits	13	90-100	N <sub>2</sub> , O <sub>2</sub> , He
Power plants	4287	10-15	$N_2$ , $H_2O$ , $SO_x$ , $NO_x$ , $CO$
Steelmaking	266	18-20	$N_2$ , $SO_x$ , $NO_x$ , $O_2$
Cement production [31]	220	14-33	$SO_x$ , $NO_x$ , $O_2$
Atmosphere	3 200 000	0.04	$N_2$ , $O_2$ , $SO_x$ , $NO_x$

 Table 1.3
 Concentration of CO<sub>2</sub> and contaminants from various sources.

Source: Carbon Recycling International; Capturing and Utilizing CO<sub>2</sub> from Ethanol: Adding Economic Value and Jobs to Rural Economies and Communities While Reducing Emissions (2017); and Greenhouse Gas Inventory Data [9, 28, 29].



**Figure 1.4** CO<sub>2</sub> emissions from fuel combustion. Source: Data from IEA, CO<sub>2</sub> emissions from fuel combustion, 2020 [32].

appropriate quality for use in chemical conversion processes, capture and separation are required (Table 1.4) [33]. The most effective  $CO_2$  capture method as the current industrial standard is chemical absorption in an aqueous solution of an amine-based organic compound. However, the cost (35 US\$/ton) and energy consumption (2.5 GJ ton<sup>-1</sup>) of amine capture must still be reduced to provide economically viable routes from carbon dioxide to fuels [34, 35].

Recently, the direct capture of  $CO_2$  from ambient air, called direct air capture (DAC), has received increasing attention [36]. One of the advantages of DAC is that it can be located anywhere, because it is unnecessary for  $CO_2$  transport. However, from both engineering and chemistry views, there remains much room for improvements to the sorbents and processes. Additionally, thorough techno-economic analyses of DAC processes are necessary [37].

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Table 1.4	CO <sub>2</sub> capture	technologies.
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Capture technology	Technical principle
Chemical absorption	Chemical reaction between CO <sub>2</sub> and absorbent by a temperature swing.
Physical absorption	Dissolution of $CO_2$ into a liquid, the efficiency of which depends on the solubility of $CO_2$ in the liquid.
Solid absorption	Absorption into solid absorbents, which include porous materials impregnated with amines for low-temperature separation or other solid absorbents for high-temperature separation.
Physical adsorption	Adsorption onto porous solids such as zeolites by a pressure or temperature swing.
Membrane separation	Permeation through a membrane with selective permeability for different gas species.

Source: Based on Styring [33].





### 1.4.2 Energy and H<sub>2</sub> Supply

Another consideration is the energy required to capture and convert  $CO_2$ , which must certainly be derived from renewable sources (Figure 1.5) [38]. If this energy comes from fossil oils, much more  $CO_2$  will be emitted than separated. Fortunately, the renewables now account for over 25% of global power output (hydro: 16%, wind: 5%, PV: 2%), [1] and the costs of PV and wind power become even lower than that of fossil fuels (natural gas and coal) (Figure 1.6) [39]. Thus, electricity from renewable sources can be converted into  $H_2$ by water electrolysis, which can be performed on an industrial scale. Nevertheless,  $H_2$  produced by electrolysis systems (2.5–6 US\$/kg<sub>H2</sub>) is at present more expensive than that from



Figure 1.6 Levelized cost of energy comparison: Renewable energy versus conventional generation. Source: Data from Lazard.com, Lazard's levelized cost of energy analysis [39].

current industrial production based on conventional fossil sources, like natural gas reforming and coal gasification (<1 US\$/kg H<sub>2</sub>) [40, 41].

# 1.5 Political Aspect: Tax

The future prospects for  $CO_2$  utilization on large scale will mainly depend on policy support. The carbon tax, a fee imposed on the burning of carbon-based fuels (coal, oil, gaso-line, and natural gas), is one policy for reducing the use of fossil fuels. To reduce  $CO_2$  emissions, as many as 29 countries have implemented carbon taxes as of 2019. Tax rates, including energy taxes, differed according to use and fuel type in 2017. For example, high tax rates are imposed on gasoline in every country, from  $y_i^{SOLL}$  in the United States to  $(y_i^{SOLL} - y_i^{IST})$  in the Netherlands [42]. On the other hand, there are also significant differences in tax rates for the industrial sector depending on the country (Figure 1.7). An increasing of the tax rates of carbon-based fuels seems to be necessary to motivate our societies to switch to clean energy.

# 1.6 Conclusion and Perspectives

 $CO_2$  utilization will play a crucial role in achieving the internationally agreed climate and energy goals. In particular, the conversion of  $CO_2$  to fuels and chemicals will be of significant importance. However, these technologies are still in their infancy, and the following issues require consideration and technological improvements:

- (i) Supply of  $H_2$  and power from renewables.
- (ii) Cost reduction, mainly for the supply of low-carbon  $H_2$ .
- (iii) Political support to shift from a fossil-based to CO<sub>2</sub>-based economy.
- (iv) Highly efficient catalysts to minimize energy usage for the valorization of CO<sub>2</sub>.



**Figure 1.7** Carbon tax rate per ton of emitted CO<sub>2</sub>. Source: Data from the Ministry of the Environment of Japan [42].

In addition, critical evaluation from an LCA perspective will be necessary.

In any case, the spread and expansion of renewable energy are essential, which, in turn, require energy storage and transport.  $CO_2$ -based fuels produced by  $CO_2$  hydrogenation will contribute to these needs. Therefore, further research into  $CO_2$  hydrogenation is necessary from a standpoint of both fundamental science and application. In this respect, we believe the focus of this book on the hydrogenation/electroreduction of  $CO_2$  to formic acid and methanol as chemicals and fuels using homogeneous and heterogeneous catalysts will be of interest to many scientists. It will serve as motivation for studying the development of catalysts for the hydrogenation of  $CO_2$  as a fuel and bulk chemical. In addition, the challenge of activating unreactive  $CO_2$  will stimulate the curiosity and creativity of chemists.

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