

## Contents

### Preface *xiii*

<b>1</b>	<b>Research Background and Recent Progress of Perovskite Photovoltaics</b>	<b>1</b>
	<i>Tsutomu Miyasaka and Ajay K. Jena</i>	
1.1	Introduction	1
1.2	History of Halide Perovskite Photovoltaics	5
1.2.1	Discovery of the Perovskite Crystal Form	5
1.2.2	Discovery of Metal Halide Perovskites	6
1.2.3	Beginning of Halide Perovskite Photovoltaics	8
1.3	Semiconductor Properties of Organo-Lead Halide Perovskites	11
1.4	Working Principle of Perovskite Photovoltaics	15
1.5	Compositional Engineering for the Halide Perovskite Absorbers	18
1.6	Strategies to Stabilize Halide Perovskite Solar Cells	20
1.6.1	Bridging the Gap Between Efficiency and Stability	20
1.6.2	Enhancing Intrinsic Stability of Halide Perovskites	22
1.6.3	External and Environmental Stability	24
1.7	Progress of All inorganic and Lead-Free Perovskites	34
1.8	Enhancing Efficiency of Low-Cost Tandem Solar Cells	39
1.9	Space Applications of the Perovskite Solar Cells	42
1.10	Conclusion and Perspectives	44
	References	45
<b>2</b>	<b>Halide Perovskite Materials, Structural Dimensionality, and Synthesis</b>	<b>61</b>
	<i>Yuko Takeoka and David B. Mitzi</i>	
2.1	Three-Dimensional and Low-Dimensional Semiconductors: Organic-Inorganic Perovskites	61
2.2	Perovskite-Type Metal Halide Compounds	62
2.3	Preparation of Two- to Three-Dimensional Lead Halide-Based Perovskite Compounds	66
2.3.1	Spin-Coating Method for Synthesis	67
2.3.2	Vacuum Evaporation Method	70

- 2.3.3 Two-Step Deposition Method 72
- 2.3.4 Self-Intercalation Method 73
- 2.3.5 Layer-by-Layer Self-Assembly Method 74
- 2.3.6 Langmuir–Blodgett Method 75
- 2.4 Conclusion 75
- References 76

### **3 Microstructures and Grain Boundaries of Halide Perovskite Thin Films 81**

*Yuanyuan Zhou and Nitin P. Padture*

- 3.1 Introduction 81
- 3.2 Microstructure Characteristics 82
  - 3.2.1 The Nature of Grain Boundaries (GBs) 82
  - 3.2.2 Grain Size and Distribution 86
  - 3.2.3 Crystallographic Texture 87
- 3.3 Microstructural Evolution in HP Thin Films 88
  - 3.3.1 Genesis of Microstructure 88
  - 3.3.2 Grain Growth 89
- 3.4 Influence of Microstructures and GBs on Performance and Stability 92
  - 3.4.1 Grain Size Effects 92
  - 3.4.2 Effects of the Nature of GBs 95
  - 3.4.3 Crystallographic Texture Effects 98
- 3.5 Outlook 99
- Acknowledgments 101
- References 101

### **4 Defect Properties of Halide Perovskites for Photovoltaic Applications 107**

*Zewen Xiao and Yanfa Yan*

- 4.1 Introduction 107
- 4.2 Defect Properties of  $ABX_3$  Halide Perovskites 108
  - 4.2.1 Pb-Based Halide Perovskites 108
    - 4.2.1.1 Point Defects 108
    - 4.2.1.2 Ideal Grain Boundaries 111
    - 4.2.1.3 Ideal Surfaces 113
    - 4.2.1.4 Surfaces and Boundaries in Real Thin Films 114
  - 4.2.2 Sn-Based Halide Perovskites 115
  - 4.2.3 Ge-Based Halide Perovskites 116
- 4.3 Defect Properties of Halide Perovskites Beyond  $ABX_3$  117
  - 4.3.1  $A_2BX_6$  Halide Perovskite Derivatives 117
  - 4.3.2  $A_3B_2X_9$  Layered Halide Perovskites 118
  - 4.3.3  $A_2B(I)B(III)X_6$  Halide Double Perovskites 120
- 4.4 Conclusion 123
- References 123

<b>5</b>	<b>Physics of Perovskite Solar Cells: Efficiency, Open-Circuit Voltage, and Recombination</b>	<b>127</b>
	<i>Wolfgang Tress</i>	
5.1	Theory	127
5.1.1	Power-Conversion Efficiency of a Solar Cell	127
5.1.2	The Ideal Solar Cell: Shockley–Queisser Limit	129
5.1.3	Radiative Limit, Reciprocity, and Detailed Balance	132
5.1.4	Non-radiative Recombination and Role of Contacts	135
5.2	Determining Efficiency and Characterizing Recombination	137
5.2.1	The Current Density–Voltage ( $J$ – $V$ ) Curve	137
5.2.2	Determination of the Bandgap and the “Voltage Deficit”	138
5.2.3	Electroluminescence	142
5.2.4	Photoluminescence	142
5.2.5	Transient Photoluminescence	144
5.2.6	Electrochemical Impedance Spectroscopy	146
5.2.7	Transient Photovoltage Decay and IMVS	148
5.2.8	The Ideality Factor	150
5.2.9	Space Charge-Limited Currents	152
5.3	Recombination in Perovskite Solar Cells: What We Know	152
5.3.1	Intrinsic Properties of the Perovskite Crystal	153
5.3.1.1	Relatively High Absorption and Fast Radiative Recombination	153
5.3.1.2	Shallow Defects and Defect Tolerance	153
5.3.1.3	High Dielectric Constant	154
5.3.1.4	Low-Frequency Lattice Phonons	154
5.3.1.5	Further Explanations for Reduced Recombination	155
5.3.2	Impurities	156
5.3.3	Grain Boundaries	156
5.3.4	Interfaces: Between Alignment and Passivation	157
5.3.5	Mobile Ions	159
5.4	Summary and Outlook	160
	Acknowledgments	161
	References	161
<b>6</b>	<b>Ionic/Electronic Conduction and Capacitance of Halide Perovskite Materials</b>	<b>173</b>
	<i>Juan Bisquert, Germà Garcia-Belmonte, and Antonio Guerrero</i>	
6.1	Introduction	173
6.2	Overview	174
6.3	Carrier Transport	176
6.3.1	General Determination of Transport Coefficients, Diffusion Coefficient, and Mobility	176
6.3.2	Mixed Ionic/Electronic Conduction and Time Constants	176
6.3.3	Measurement of Ionic Conductivity by Galvanostatic Transient Method	177
6.3.4	Measurement of Ionic Diffusion by Impedance Spectroscopy	179

6.3.5	Ionic Drift Causes Suppression of Luminescence	181
6.4	Interpretation of Capacitances in Semiconductor Devices	183
6.4.1	Dielectric Relaxation	184
6.4.2	Chemical Capacitance	184
6.4.3	Electrode Polarization	185
6.4.4	Depletion Capacitance at the Schottky Barrier	185
6.4.5	Capacitance Associated to Defect Levels	186
6.5	Surface Polarization and Capacitances of MHP	186
6.5.1	General Properties of the Capacitance of MHP	186
6.5.2	Complexity of Mott–Schottky Analysis	191
6.5.3	Measurement of Trap Density	192
6.6	Impedance Spectroscopy and the Equivalent Circuit Model	194
6.6.1	Interpretation of Equivalent Circuits	194
6.6.2	Negative Capacitance Phenomena	197
6.6.3	Application of IS Model to Understanding of Memory Effects	199
6.7	Intensity-Modulated Photocurrent Spectroscopy	200
6.8	Dynamic Response in Time Transient Methods	203
6.8.1	Time Transients of Photovoltage and Charge–Discharge Methods	203
6.8.2	Charge–Discharge Methods	205
6.8.3	Significance of Surface Charging in MHP	205
6.9	Conclusions	207
	References	207
<b>7</b>	<b>Hysteresis of <math>I</math>–<math>V</math> Performance: Its Origin and Engineering for Elimination</b>	<b>215</b>
	<i>Seul-Gi Kim and Nam-Gyu Park</i>	
7.1	Introduction	215
7.2	Hysteresis in Current–Voltage Performance	216
7.3	Material and Structure Design to Reduce Hysteresis	219
7.3.1	Grain Boundary Engineering	219
7.3.2	Interfacial Engineering	220
7.3.3	Defect Engineering	221
7.4	Effect of Alkali Cation Doping	223
7.4.1	Reduction in Hysteresis by KI Doping: A Universal Approach	223
7.4.2	Passivation Effect of Excess KI	225
7.4.3	Location of Potassium Ion in Perovskite	226
7.4.4	In situ Photoluminescence (PL) as a Tool to Measure Ion Migration Kinetics	227
7.5	Summary	229
	References	230

<b>8</b>	<b>High-Efficiency Solar Cells with Polyelemental, Multicomponent Perovskite Materials</b>	<b>233</b>
	<i>Somayeh Gholipour, Yaser Abdi, and Michael Saliba</i>	
8.1	Introduction	233
8.2	Polyelemental, Multicomponent Engineering	235
8.2.1	Single-Cation Perovskites	236
8.2.2	Double-Cation Perovskites: Stabilizing the Black Phase	237
8.2.3	Triple-Cation Perovskites: Stable and Reproducible Devices	238
8.2.4	Quadruple-Cation Perovskite: Improvement of Long-Term Device Stability	239
8.2.5	Methylammonium-Free Perovskite: Staying in the Black Phase with Fewer Components	240
8.3	Conclusions	242
	References	243
<b>9</b>	<b>All-Inorganic Perovskite Photovoltaics</b>	<b>247</b>
	<i>Ajay K. Jena, Zhanglin Guo, and Tsutomu Miyasaka</i>	
9.1	Introduction	247
9.2	All-Inorganic Lead Halide Perovskites	249
9.2.1	Cesium Lead Iodide (CsPbI <sub>3</sub> ): Black-Phase Stabilization	249
9.2.1.1	Additive Approach	251
9.2.1.2	Quantum Dot-Induced Black-Phase Stabilization	252
9.2.1.3	Stabilization by Surface Treatment	253
9.2.1.4	B-Site Doping	253
9.2.2	Cesium Lead Bromide (CsPbBr <sub>3</sub> )	256
9.2.3	Cesium Lead Mixed-Halide Perovskites (CsPbI <sub>3-x</sub> Br <sub>x</sub> )	257
9.3	All-Inorganic Tin Halide Perovskites	267
9.3.1	CsSnX <sub>3</sub> (X = I, Br, Cl)	267
9.3.2	Cs <sub>2</sub> SnX <sub>6</sub> (X = I, Br)	269
9.4	All-Inorganic Silver-Bismuth Halides	270
9.4.1	Cs <sub>2</sub> M <sub>1</sub> (I)M <sub>2</sub> (III)X <sub>6</sub> Double Perovskite	271
9.4.2	Ag <sub>a</sub> Bi <sub>b</sub> X <sub>a+3b</sub> Rudorffites	275
9.5	Summary and Outlook	279
	Acknowledgments	280
	References	280
<b>10</b>	<b>Sn-Based Halide Perovskite Solar Cells</b>	<b>293</b>
	<i>Shuzi Hayase</i>	
10.1	Introduction	293
10.2	Sn-Pb Perovskite Solar Cells	293
10.2.1	Background	293
10.2.2	Stabilization of Sn(II) Ions	295
10.2.3	Efficiency Enhancement	296
10.2.4	Interfacial Engineering and Device Architecture	298
10.3	Pb-free Sn Perovskite Solar Cells	304

10.3.1	Background	304
10.3.2	Ge-Doped Sn Perovskites	307
10.3.3	Efficiency Enhancement by Grain Boundary Passivation	309
10.4	Conclusion	314
	References	315

## **11 Quantum Dots of Halide Perovskite** 321

*Yaohong Zhang, Guohua Wu, and Qing Shen*

11.1	Introduction	321
11.2	The Synthesis of Halide Perovskite QDs	321
11.2.1	Ligand-Assisted Reprecipitation Method	322
11.2.2	Hot Injection Method	322
11.2.3	Ion Exchange Reactions	325
11.3	The Photophysics of Halide Perovskite QDs	326
11.3.1	Tunable Bandgap	326
11.3.2	Multiple Exciton Generation	327
11.3.3	Hot Electron Extraction	327
11.4	Surface Passivation of Halide Perovskite QDs	329
11.4.1	Surface Ligand Engineering	329
11.4.2	Post-Synthetic Treatment	331
11.4.3	Surface Coating	332
11.5	Applications of Halide Perovskite QDs	334
11.5.1	Light-Emitting Diode (LED)	334
11.5.2	Solar Cells	337
11.6	Conclusion and Outlook	340
	References	340

## **12 Perovskite Light-Emitting Diode Technologies** 345

*Kangyu Ji, Miguel Anaya, and Samuel D. Stranks*

12.1	Introduction	345
12.2	Physics Behind Operation of Perovskite-Based LEDs	346
12.2.1	Photon Generation by Electrostimulation	347
12.2.2	Charge Balance in PeLEDs	348
12.2.3	Non-radiative Losses in PeLEDs	349
12.2.4	Photon Recycling in PeLEDs	350
12.3	Progress on Perovskite-Based LEDs	350
12.3.1	Literature Review	356
12.3.1.1	Near-Infrared PeLEDs	356
12.3.1.2	Red PeLEDs	359
12.3.1.3	Green PeLEDs	361
12.3.1.4	Blue PeLEDs	365
12.4	Challenges and Outlook	367
12.5	Conclusions	370
	Acknowledgments	370
	References	371

<b>13</b>	<b>Perovskites Enabled Highly Sensitive and Fast Photodetectors</b>	<b>383</b>
	<i>Nicholas Lauersdorf and Jinsong Huang</i>	
13.1	Introduction	383
13.2	Why Perovskites for Photodetectors	383
13.3	Types of Perovskite Photodetectors	386
13.3.1	Photodiodes	386
13.3.1.1	Broadband Photodiodes	387
13.3.1.2	Narrowband Photodiodes	392
13.3.2	Photoconductors	394
13.3.2.1	Vertical Photoconductors	396
13.3.2.2	Lateral Photoconductors	397
13.3.3	Phototransistor	400
13.4	Conclusion	402
	Acknowledgment	402
	Disclaimer	402
	References	403
<b>14</b>	<b>Metal Halide Perovskites for Sensitive X-ray Detectors</b>	<b>411</b>
	<i>Jingjing Zhao, Liang Zhao, and Jinsong Huang</i>	
14.1	Introduction	411
14.2	Working Mechanism of X-ray Detectors	412
14.3	Material Properties of Ideal X-ray Detectors	413
14.4	Conventional X-ray Detectors	415
14.5	Perovskite X-ray Detectors	416
14.5.1	Direct Perovskite X-ray Detectors	416
14.5.2	Perovskite X-ray Scintillators	419
14.6	Characterization of X-ray Flat Panels	422
14.6.1	Sensitivity	422
14.6.2	DQE	422
14.6.3	MTF	423
14.6.4	Pixel-to-Pixel Uniformity	424
14.6.5	Imaging Lag	425
14.6.6	Ghosting	425
14.7	Summary and Outlook	426
	Acknowledgement	427
	References	427
<b>15</b>	<b>Perovskite-Based Multijunction Solar Cells</b>	<b>433</b>
	<i>J�r�mie Werner, Caleb C. Boyd, and Michael D. McGehee</i>	
15.1	Introduction	433
15.2	Why Perovskites?	435
15.3	How to Make an Efficient Perovskite-Based Tandem?	435
15.3.1	Low Bandgap Solar Cell	436
15.3.1.1	Silicon	436

- 15.3.1.2 Chalcopyrites: CIGS and CIS 437
- 15.3.1.3 Sn/Pb Low Bandgap Perovskites 437
- 15.3.2 Recombination Junction 438
  - 15.3.2.1 Nanocrystalline Silicon Junction 439
  - 15.3.2.2 Recombination Layer for All-Perovskite Tandems 440
- 15.3.3 Wide-Bandgap Perovskite Solar Cell 440
- 15.3.4 Mitigating Optical Losses 442
  - 15.3.4.1 Parasitic Absorption Losses 442
  - 15.3.4.2 Reflection Losses: Front, Middle, and Back 443
  - 15.3.4.3 Textured Substrates 445
  - 15.3.4.4 Current Matching Versus Power Matching 447
- 15.4 Toward Commercialization 447
  - 15.4.1 Energy Yield 447
  - 15.4.2 Cost 448
  - 15.4.3 Market Choice 448
- 15.5 Beyond Tandems: Triple? 449
- 15.6 Concluding Remarks 450
- References 450

**Index** 455