

Contents

Preface *xi*

1	Packaging and Test of Photonic Integrated Circuits (PICs)	1
	<i>Stéphane Bernabé, Tolga Tekin, Bogdan Sirbu, Jean Charbonnier, Philippe Grosse, and Moritz Seyfried</i>	
1.1	Introduction	1
1.2	Challenges and Specificities of PIC Packaging and Test	2
1.2.1	Optical Interconnects	3
1.2.2	Coupling Structures	5
1.2.2.1	Edge Coupler	5
1.2.2.2	Vertical Grating Coupler (VGC)	6
1.2.2.3	Evanescent Coupling	7
1.2.3	Wafer-level Test	7
1.2.4	Module Packaging	10
1.2.5	Fiber Optic Assembly (Pigtailing)	12
1.2.5.1	PIC Alignment to a Lensed Fiber	12
1.2.5.2	PIC Butt Coupling to a Standard Cleaved Single-mode Fiber	12
1.2.5.3	Lens Coupling Scheme	13
1.2.5.4	Optical Waveguide Interposer Coupling	14
1.2.6	Emerging Trends for Module Mass Manufacturing	15
1.3	Advances in Optical Coupling Strategies	18
1.3.1	Toward Passive Alignment Strategies	19
1.3.2	Advanced Technologies for Vision-Assisted Technologies	20
1.3.2.1	Open-Loop Alignment	20
1.3.2.2	Closed-Loop Alignment	20
1.3.3	Advanced Technologies for Self-alignment Strategies	21
1.3.3.1	Self-alignment of Fiber to PIC Through an Silicon Optical Bench Using Flip-Chip	22
1.3.3.2	Self-alignment-assisted Microlenses Assembly	22
1.3.3.3	Self-alignment of Polymer Waveguides	22
1.3.3.4	Self-alignment of Optical Plug	23
1.3.4	Laser/PIC Coupling	23
1.4	Electronic/Photonic Convergence	25

1.4.1	Flip-chip Interconnects	26
1.4.1.1	Standard Die-to-die interconnects	26
1.4.1.2	Advanced Interconnects for Future Needs	27
1.4.2	Intra-connections (Through Silicon Vias and Through Glass Vias)	29
1.4.2.1	TSV Last Process	29
1.4.2.2	TSV Middle Process	30
1.4.2.3	Through Glass Via (TGV)	31
1.4.3	Fan-out Wafer-level Packaging (FOWLP)	31
1.4.4	Interposers Integration Approach	32
1.4.4.1	Interposers for Electronic Integrated Circuits (CMOS)	33
1.4.4.2	Photonic Interposer and Photonic Systems on Chip	34
1.5	Toward an Ecosystem in Test and Assembly of PICs	36
1.5.1	Design Rules for Packaging and Test	36
1.5.1.1	3D Packaging	38
1.5.1.2	Design Rules for Testing	39
1.5.2	Advanced Techniques for Wafer-level Test	39
1.5.3	Recent Achievements and Future Aspects in Assembly Machines	40
1.6	Conclusion	45
	Acknowledgments	46
	References	46

2 The Last Mile Technology of Silicon Photonics Toward Productions and Emerging Applications 53

Bo Li, Shawn Yohanes Siew, Feng Gao, Shawn Wu Xie, Qiang Li, Chao Li, Xianshu Luo, Guo-Qiang Lo, and Junfeng Song

2.1	Introduction	53
2.2	Fiber-to-Chip Assembly	55
2.3	Hybrid Integration of Light Source	59
2.4	Electronic and Photonic Co-Packaging	63
2.5	Outlook	65
2.5.1	Silicon Photonics Emerging Applications	65
2.5.2	Opportunities and Challenges	68
	References	70

3 Integrated Nonlinear Photonics and Emerging Applications 75

Yang Yue, Wenpu Geng, Yuxi Fang, and Yingning Wang

3.1	Introduction	75
3.2	Supercontinuum	77
3.2.1	Applications	77
3.2.2	History of SCG in Integrated Waveguides	79
3.2.3	Representative Works	83
3.3	Optical Frequency Comb	90
3.3.1	Microresonator-Based OFC	91
3.3.2	SC-Based OFC	99

3.3.3	EO-Based OFC	99
3.3.4	MLL-Based OFC	99
3.3.5	Applications	101
3.4	Nonlinear Wave Mixing	102
3.4.1	Introduction	102
3.4.2	Nonlinear Optical Signal Processing in Integrated Waveguides	105
3.4.3	Representative Works	108
3.5	Conclusion and Perspectives	116
	References	117
4	Excitation, Generation, Positioning, and Modulation for Quantum Light Sources Integrated on Chip	135
	<i>Cuo Wu, Cuiping Ma, and Zhiming Wang</i>	
4.1	Introduction	135
4.2	Excitation and Orientation of Quantum Emitters	136
4.3	Chip-Scale Integration Based on Quantum Emitters	141
4.3.1	Solution-Based Colloidal and Self-Assembled Quantum Dots	141
4.3.2	Strain-Induced Emitter Sites of Two-Dimensional Materials	144
4.3.3	Color Centers in Nanodiamond	148
4.4	Deterministically Positioning of Quantum Emitter	154
4.5	Quantum Light Interaction with Metasurface for Modulation	156
4.6	Conclusion	159
	References	160
5	Quantum Light Sources in Two-Dimensional Materials	167
	<i>Yanan Wang and Philip X.-L. Feng</i>	
5.1	Introduction	167
5.2	Theory of Quantum Light Sources	168
5.2.1	Photon Statistics	168
5.2.1.1	Thermal Light	169
5.2.1.2	Coherent Light	170
5.2.1.3	Squeezed Light	170
5.2.2	Characteristics of Quantum Light Sources	172
5.2.2.1	Wavelength	172
5.2.2.2	Lifetime, Emission Rate, and Brightness	172
5.2.2.3	Emission Linewidth	173
5.2.2.4	Zero-Phonon Line (ZPL) and Debye–Waller Factor	173
5.2.2.5	Photon Polarization and Dipole Orientation	173
5.2.2.6	Optically Addressable Spin State	174
5.2.2.7	Indistinguishability	174
5.3	Quantum Light Sources in 2D Materials	175
5.3.1	Localized Excitons in Transition Metal Dichalcogenides	176
5.3.2	Defect Centers in Hexagonal Boron Nitride	179
5.3.3	Graphene Quantum Dots	183

5.3.4	Quantum Light-Emitting Diodes	186
5.4	Integration with On-Chip Components	189
5.4.1	Theory of SPE-Cavity Coupling	190
5.4.1.1	Strong Coupling Regime	190
5.4.1.2	Weak Coupling Regime	191
5.4.2	Integration with Dielectric Waveguides and Cavities	191
5.4.2.1	Transferring 2D SPEs onto Predefined Structures	192
5.4.2.2	Transferring or Fabricating Photonic Structures on 2D Materials	194
5.4.2.3	Monolithic Integration	195
5.4.3	Integration with Plasmonic Waveguides and Cavities	197
5.5	Integration with Off-Chip Components	199
5.5.1	Flip-chip Integration	199
5.5.2	Integration with Optic Fibers	200
5.6	Summary and Outlook	202
	Acknowledgments	203
	References	204
6	Inverse Design for Integrated Photonics Using Deep Neural Network	209
	<i>Keisuke Kojima, Toshiaki Koike-Akino, Yingheng Tang, and Ye Wang</i>	
6.1	Introduction	209
6.2	Deep Neural Network (DNN) Models	210
6.2.1	Forward Modeling	211
6.2.2	Inverse Modeling	212
6.2.3	Generative Modeling	212
6.3	Deep Learning for Forward Modeling to Predict Optical Response	212
6.4	Deep Learning for Inverse Modeling to Construct Device Topology	217
6.5	Deep Learning for Generative Modeling to Produce Device Topology Candidates	220
6.6	Physics-informed Neural Networks	225
6.7	Nanophotonic Power Splitter Design Using Generative Modeling	227
6.7.1	Device Structure	228
6.7.2	Device Simulation Procedure	229
6.7.3	Network Architecture	230
6.7.4	Network Training Procedure	231
6.7.5	Device Generation Performance	232
6.7.6	Hyperparameters	234
6.7.7	Adjoint Method vs. Deep Learning	234
6.8	Deep Learning Techniques	235
6.8.1	Convolutional Neural Networks	235
6.8.2	Transfer Learning and Fine Tuning	235
6.8.3	AutoML: Meta Learning, Learning to Learn, Network Architecture Search	236
6.9	Conclusion	237
	References	237

7	Deep Learning Driven Data Processing, Modeling, and Inverse Design for Nanophotonics	245
	<i>Peter R. Wiecha, Nicholas J. Dinsdale, and Otto L. Muskens</i>	
7.1	Introduction	245
7.2	Artificial Neural Networks and Deep Learning	245
7.2.1	Artificial Neurons and Neural Networks	246
7.2.2	Training of Artificial Neural Networks	247
7.3	Ultrafast Physics Predictions	248
7.3.1	Specialized Physics Predictors: Fully Connected vs. Convolutional ANNs	249
7.3.2	Generalized Nanophotonics Predictor Network	252
7.4	Photonics Inverse Design	255
7.4.1	Predictor Network as a Surrogate Model for Optimization	256
7.4.1.1	Example: Polarization Conversion Maximization	257
7.4.1.2	Example: Maximize Magnetic Near-Field	258
7.4.2	Direct Inverse Design Networks	259
7.4.3	Optimizing Inverse Design Performance	260
7.4.3.1	Optimizing the Network Layout	262
7.4.3.2	Quality of the Initial Dataset	262
7.4.3.3	Iterative Training	264
7.4.3.4	Postprocessing	265
7.5	Advanced Data Processing for Photonics Applications	265
7.5.1	Optical Data Storage below the Diffraction Limit	265
7.5.2	Speckle Reconstruction for Real-time Hyperspectral Imaging	267
7.6	Conclusion and Outlook	269
	References	270
8	Optical Waveguide of Lithium Niobate Nanophotonics	277
	<i>Yarub Al-Douri</i>	
8.1	Introduction	277
8.2	Photonics Lithium Niobate	278
8.3	Nanophotonic Lithium Niobate-Based Optical Waveguide	286
8.4	Optical Studies of Nanophotonic Lithium Niobate-Based Optical Waveguide	287
8.5	Nanophotonic LiNbO_3 Under Stirrer Time Effect	295
8.6	Nanophotonic Studies of LiNbO_3 Under Stirrer Time Effect	297
8.7	Conclusions	304
	References	305
9	Active, Tunable, and Reconfigurable Nanophotonics	313
	<i>Trevon Badloe, Jaehyuck Jang, Heonyeong Jeong, Minsu Jeong, Inki Kim, Byoungsu Ko, Jihae Lee, Taejun Lee, Seong-Won Moon, Dong Kyo Oh, Younghwan Yang, Gwanho Yoon, and Junsuk Rho</i>	
9.1	Introduction	313
9.2	Liquid Crystal-Integrated Tunable Devices	314

9.2.1	Devices that Modulate Polarization	314
9.2.2	Devices that Modulate Effective Refractive Index	316
9.3	Optically Tunable Devices	318
9.3.1	Devices that Are Dependent on the Direction of Incident Light	318
9.3.2	Devices that Depend on Wavelength	319
9.3.3	Devices that Depend on Polarization (Spin)	321
9.3.4	Orbital Angular Momentum-dependent Devices	323
9.4	Phase Change Materials-Based Reconfigurable Devices	324
9.4.1	Switchable Absorbers	324
9.4.2	Thermochromic Smart Windows	327
9.5	Mechanically Tunable Photonic Devices	329
9.5.1	Tunable Devices that Use Micro-electro-mechanical Systems	329
9.5.2	Photonic Devices that Are Tuned Using Strain	331
9.6	Tunable Photonic Devices with Material Engineering	335
9.6.1	Bandgap Engineering for Tunable Solid-state Devices	335
9.6.2	Biomaterials for Tunable Biophotonic Devices	339
9.7	Electrically Tunable Photonic Devices	341
	Acknowledgments	346
	References	346
	Index	359