

## Contents

### Preface XI

## 1 Introduction 1

- 1.1 Quasineutrality and Debye Shielding 2
- 1.2 Degree of Ionization 6
  - 1.2.1 The Saha Equation 6
  - 1.2.2 Thomson Cross Section and Rate Equation 10
  - 1.2.3 The Corona Formula 12
- 1.3 Characteristic Parameters 14
  - 1.3.1 Typical Parameters of (Magnetic) Fusion Plasmas 17
  - 1.3.2 Parameters of the Sun 19
- 1.4 Individual and Collective Effects 20
  - 1.4.1 The Plasma Frequency 21
  - 1.4.2 General Remark on Individual Collisions 22
  - 1.4.3 Collision Frequencies for Momentum and Energy Transfer 24
  - 1.4.4 Friction Force in Thermal Plasmas 27
- 1.5 Fusion Processes 32
  - 1.5.1 Fusion Processes in Burning Stars 34

## 2 Single Particle Motion 41

- 2.1 Heuristic Approaches to Guiding Center Motion 41
- 2.2 Systematic Averaging 48
  - 2.2.1 Systematic Averaging over Fast Gyro-Motion 48
  - 2.2.2 Pseudocanonical Transformations 52
  - 2.2.3 Magnetic Moment as the First Adiabatic Invariant 58
  - 2.2.4 On the Second Adiabatic Invariant 60
  - 2.2.5 On the Third Adiabatic Invariant 63
  - 2.2.6 Selected Applications 64
- 2.3 Motion of a Single Particle (Electron) in an Electromagnetic Wave 67
- 2.4 Lagevin Approach 74

## 3 Plasma in Thermodynamic Equilibrium 83

- 3.1 Basic Approach 83
- 3.2 A Heuristic Derivation of the Modified Equation of State 88

3.3	The Holtsmark Distribution for Electric Microfields	90
<b>4</b>	<b>Kinetic Description of Nonequilibrium Plasmas</b>	95
4.1	Historical Remarks on Well-Known Kinetic Equations	95
4.1.1	The Fokker–Planck Equation	95
4.1.2	The Boltzmann Equation	97
4.1.3	The Boltzmann H-Theorem	102
4.1.4	The Boltzmann Entropy	103
4.1.5	Transition to Equilibrium and Maxwellian	104
4.2	BBGKY Hierarchy	105
4.3	Vlasov Equation and Landau Damping	112
4.4	Z-Function and Dispersive Properties of a Collisionless and Unmagnetized Plasma	124
4.5	Landau–Fokker–Planck Equation	133
4.6	Kinetic Description of Strongly Magnetized Plasmas	145
4.6.1	The Drift-Kinetic Equation	145
4.6.2	The Gyrokinetic Approach	147
<b>5</b>	<b>Fluid Description</b>	149
5.1	Moments and Hierarchy of Moment Equations	149
5.2	Truncation of the Corresponding Hierarchy in the Case of the Boltzmann Equation	154
5.2.1	Hierarchical Form of the First Moment Equations for the Boltzmann Equation	154
5.2.2	Truncation of the Hierarchy, Transport Coefficients, and the Euler Equation	155
5.2.3	Equation of State	156
5.2.4	Sound Wave Dispersion from the Euler Equations	156
5.2.5	Next Order Approximation and Navier–Stokes Equations	157
5.2.6	Simplified Solution with a Krook Collision Term	158
5.3	General Outline and Models for Plasmas	160
5.3.1	General Starting Point	160
5.3.2	Simple Two-Fluid Model in Unmagnetized Plasma	162
5.3.3	Drift Model	166
5.3.4	Braginskii Equations	168
5.4	MHD Model	169
5.4.1	MHD Ordering	171
5.5	Simple MHD Applications	177
5.5.1	Frozen-in Magnetic Field Lines	177
5.5.2	MHD Equilibria	177
5.5.3	Alfvén Waves	182
5.5.4	Energy Conservation in Ideal MHD	184
<b>6</b>	<b>Principles of Linear and Stochastic Transport</b>	185
6.1	Moments in Linear Transport Theory	186
6.2	The Hydrodynamic Regime in Linear Transport Theory	194

6.3	Summary of Linear Transport Coefficients	197
6.4	Nonlinear Transport Phenomenology	202
6.4.1	Fluctuation Spectra and Transport	203
6.5	Simple Models in Stochastic Transport Theory	206
6.5.1	Description of Stochastic (Magnetic) Fields	209
6.5.2	Symplectic Mappings	215
6.5.3	The Standard Map as a Simple Example for Stochastic Field Line Dynamics	217
6.5.4	Tokamap as a Twist Map with Polar Axis	226
6.6	Basic Statistics for Magnetic Field Lines and Perpendicular Particle Diffusion	233
6.6.1	Correlation Functions for Magnetic Field Fluctuations	236
6.6.2	Elementary Estimates of the Kolmogorov Length $L_K$	244
6.7	Phenomenology of Stochastic Particle Diffusion Theory in Perpendicular Direction	246
6.7.1	Perpendicular Particle Diffusion	248
6.7.2	Test of the Diffusion Predictions with the Standard Map	255
6.7.3	Trapping and Percolation ( $K > 1$ )	258
6.8	Stochastic Theory of the Parallel Test Particle Diffusion Coefficient	265
6.8.1	Fundamental Relations for the Parallel Diffusion Coefficient	265
6.8.2	Pitch Angle Diffusion	272
<b>7</b>	<b>Linear Waves and Instabilities</b>	283
7.1	Waves and Instabilities in the Homogeneous Vlasov Description	283
7.1.1	The Penrose Criterion and Its Cognate Formulations	283
7.1.2	Dispersion in Homogeneous, Magnetized Vlasov Systems	289
7.1.3	Instabilities in Homogeneous Vlasov Systems	298
7.2	Waves and Instabilities in Inhomogeneous Vlasov Systems	305
7.2.1	Stationary Solutions and a Liapunov Stability Criterion	305
7.2.2	Instabilities in Inhomogeneous Vlasov Systems	310
7.3	Waves and Instabilities in the Magnetohydrodynamic Description	316
7.3.1	Hydromagnetic Variational Principle	316
7.3.2	Kink and Sausage Instability	322
7.3.3	Interchange Instability	331
<b>8</b>	<b>General Theory of Nonlinear Waves and Solitons</b>	339
8.1	Historical Remarks	339
8.1.1	The Water-Wave Paradigm	342
8.2	The Generalized KdV Equation for Ion-Acoustic Solitons	351
8.3	Envelope Solitons	362
8.3.1	Modulational Instability	369
8.3.2	Historical Remark on Envelope Water Solitons	371
8.3.3	Nonlinear Dispersion Relation and Schrödinger Equation	374
8.4	Nonlinear Langmuir Waves	375
8.5	Longitudinal Stability of Generalized Langmuir Solitons	386
8.6	Transverse Instabilities	391

8.6.1	Transverse Instabilities of KdV Solitons	391
8.6.2	Transverse Instability of Envelope Solitons (NLS)	399
8.7	The Collapse Phenomenon and the Existence of Stable 3D Solitons	407
8.7.1	The Collapse Phenomenon	408
8.7.2	Stable Three-Dimensional Envelope Solitons	409
<b>9</b>	<b>Nonlinear Wave Aspects in Laser–Matter Interaction</b>	<b>413</b>
9.1	History and Perspectives of Laser–Plasma Interaction	413
9.1.1	Areas of Relativistic Optics	415
9.2	Time- and Space-Dependent Maxwell Fluid Models	422
9.2.1	Fully Relativistic Maxwell Electron Fluid Model	422
9.2.2	Fully Relativistic Maxwell Two-Fluid Model	423
9.2.3	1D Propagation in Space-Direction $x$	424
9.2.4	The Weakly Relativistic Limit	426
9.2.5	The Weakly Relativistic 1D Maxwell Two-Fluid Model	432
9.2.6	The Nonrelativistic Limit	435
9.2.7	One-Field Models	435
9.3	Stationary Wave Solutions and Their Stability	437
9.3.1	Fully Relativistic Maxwell Fluid Systems	437
9.3.2	Hamiltonian Formulation for Linearly Polarized Waves	442
9.3.3	Plasma Motion in Linearly Polarized Waves	444
9.3.4	Influence of Mobile Ions on Stationary Wave Solutions	447
9.3.5	Electron–Positron Plasmas	451
9.3.6	Wave Solutions in Weakly Relativistic Two-Field Models	454
9.3.7	Instability of Stationary Wave Solutions	456
9.4	Parametric Instabilities in the Relativistic Regime	459
9.4.1	Stimulated Raman and Brillouin Scattering in the Classical Regime	460
9.4.2	Stimulated Scattering Instabilities in the Relativistic Regime	464
9.5	Solitary Envelope Solutions and Their Stability	465
9.5.1	The Farina–Bulanov Model for Circularly Polarized Solitons	466
9.5.2	Linearly Polarized Solitons of the Maxwell Fluid System	471
9.5.3	Longitudinal Stability of Solitary Envelope Solutions	474
9.5.4	Solitary Envelope Solutions in Higher Dimensions	484
9.6	Wake Field Excitation	489
9.6.1	Excitation of Quasistationary Wake Fields	489
9.6.2	Strongly Relativistic Nonlinear Electrostatic Wake Fields	496
9.7	Breaking of Wake Fields	498
9.7.1	Classical, Nonrelativistic Wave-Breaking Analysis	499
9.7.2	Relativistic Wave-Breaking Analysis	502
9.7.3	Numerical Results for Wave-Breaking	510

**Appendix A Units** 513

**Appendix B Fourier and Laplace Transforms for Pedestrians** 517

**Appendix C The Inverse Scattering Transform (IST) for Nonlinear Waves** 523

**Appendix D Lie Transform Techniques for Eliminating Fast Variations** 541

**Appendix E Choices of Low-Dimensional Basis Systems** 553

E.1 Galerkin Approximation 553

E.2 Karhunen–Loève Expansion 554

E.3 Determination of the Basis Functions in Practice 559

**Appendix F Center Manifold Theory** 561

**Appendix G Newell–Whitehead Procedure** 571

**Appendix H Liapunov Stability** 579

**Appendix I Variational Principles** 589

**Appendix J Self-Adjointness of the Operator  $f$**

Appearing in Hydromagnetic Variational Principles 599

**References** 607

**Index** 625

