

## **Catalytic Asymmetric Direct Mannich Reactions of Carbonyl Compounds with $\alpha$ -Imino Esters\*\***

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### **Experimental Section**

**General Methods.** The  $^1\text{H}$  NMR and  $^{13}\text{C}$  NMR spectra were recorded at 400 MHz and 100 MHz, respectively. The chemical shifts are reported in ppm down field to TMS ( $\delta=0$ ) for  $^1\text{H}$  NMR and relative to the central  $\text{CDCl}_3$  resonance ( $\delta=77.3$ ) for  $^{13}\text{C}$  NMR. Solvents were dried according to standard procedures. Purification of reactions products was carried out by flash chromatography (FC) using Merck silica gel 60 (230-400 mesh). Optical rotations were measured on a Perkin-Elmer 241 polarimeter. The enantiomeric excess (ee) of the products were determined by HPLC using a Daicel Chiralpak AD column.

### **Materials.**

2,2'-isopropylidenebis[(4S)-4-tert-butyl-2-oxazoline], methylenebis[(4R,5S)-4,5-diphenyl-2-oxazoline], 2,2'-isopropylidenebis[(4R)-4-phenyl-2-oxazoline],  $\text{Cu}(\text{OTf})_2$ ,

2-oxo-butyric acid, ethyl pyruvate **1a**, ethyl 2-oxo-4-phenylbutyrate **1c**, di-*tert*-butyldicarbonate, *N,N*-dimethyl-4-aminopyridine and Mg-powder were purchased from Aldrich and used as received. Ethyl bromopyruvate **1d** was purchased from Acros in 80% pure form and used as received. (*R*)-Tol-BINAP was purchased from Lancaster and used as received. *N*-tosyl- $\alpha$ -ester **2**<sup>[1]</sup> and CuPF<sub>6</sub><sup>[2]</sup> were prepared by literature procedures. Ethyl 2-oxo-butyrate **1b** was prepared by refluxing 2-oxo-butyric acid in ethanol in the presence of a catalytic amount of HCl followed by destillation.

**General Procedure for Catalytic Asymmetric Mannich-type reaction:** In an oven dried Schlenk tube equipped with a magnetic stirrer bar, Cu(OTf)<sub>2</sub> (9 mg, 0.025 mmol) and 2,2-isopropylidenebis[(4*R*)-4-phenyl-2-oxazoline] (9 mg, 0.027 mmol) were added. The mixture was stirred under vacuum for 2h and filled with Ar. Dry CH<sub>2</sub>Cl<sub>2</sub> (1 mL) was added and the solution was stirred for 2h. 1.5 mL of a 0.25 M CH<sub>2</sub>Cl<sub>2</sub> solution of the imine (0.375 mmol) were added followed by the addition of 0.25 mmol of the ethyl pyruvate derivative. After 40h the reaction mixture was filtered through a plug of silica using 30% EtOAc in CH<sub>2</sub>Cl<sub>2</sub> as the eluent. Evaporation of the solvents *in vacuo* afforded the crude product, which was purified by FC to give the diethyl-*N*-tosyl-4-oxo-glutamic acid ester.

**Compound 3a:**  $[\alpha]^{rt}_{D} = -29.6^{\circ}$  ( $c = 0.01$  g/mL,  $\text{CH}_2\text{Cl}_2$ );  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  7.74 (d,  $J = 8.2$ , 2H, ArH), 7.29 (d,  $J = 8.2$  Hz, 2H, ArH), 5.53 (d,  $J = 7.4$  Hz, 1H, NH), 4.42 (dt,  $J = 7.4$ , 4.8 Hz, 1H, CH), 4.31 (q,  $J = 7.1$  Hz, 2H,  $\text{OCH}_2$ ), 4.04 (q,  $J = 7.1$ , 2H,  $\text{OCH}_2$ ), 3.43 (d,  $J = 4.8$  Hz, 2H,  $\text{CH}_2$ ), 2.41 (s, 3H), 1.36 (t,  $J = 7.1$ , 3H,  $\text{CH}_3$ ), 1.11 (t,  $J = 7.1$ , 3H,  $\text{CH}_3$ );  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$  191.1, 169.8, 159.9, 144.1, 136.6, 130.0, 127.5, 63.2, 62.7, 51.4, 43.2, 21.8, 14.2, 14.0; HRMS  $\text{C}_{16}\text{H}_{21}\text{NO}_7\text{S}$   $[\text{M}+\text{Na}]^+$  calculated 394.0936; found 394.0940.

**Compound 3b:**  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  7.70 (d,  $J = 8.0$ , 2H, ArH), 7.30 (d,  $J = 8.0$  Hz, 2H, ArH), 5.55 (d,  $J = 8.8$  Hz, 1H, NH), 4.60 (dd,  $J = 8.8$ , 5.6 Hz, 1H, CHN), 4.36 (dq,  $J = 7.2$ , 2.0 Hz, 2H,  $\text{OCH}_2$ ), 4.00 (dq,  $J = 7.2$ , 2.0, 2H,  $\text{OCH}_2$ ), 3.69 (dq,  $J = 6.4$ , 5.6, 1H, CHMe), 2.42 (s, 3H), 1.40 (t,  $J = 7.2$ , 3H,  $\text{CH}_3$ ), 1.14 (d,  $J = 6.4$ , 3H,  $\text{CH}_3$ ), 1.09 (t,  $J = 7.2$ , 3H,  $\text{CH}_3$ );  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$  192.7, 169.8, 160.3, 144.1, 136.5, 130.4, 128.0, 63.0, 62.7, 56.5, 45.4, 21.8, 14.2, 14.1, 11.1; HRMS  $\text{C}_{17}\text{H}_{23}\text{NO}_7\text{S}$   $[\text{M}+\text{Na}]^+$  calculated 408.1093; found 408.1094.

**Compound 3c:**  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  7.51 (d,  $J = 8.0$ , 2H, ArH), 7.20 (m, 5H, ArH), 7.08 (d,  $J = 8.0$ , 2H, ArH), 5.40 (d,  $J = 7.9$  Hz, 1H, NH), 4.27 (dd,  $J = 7.9$ , 5.2 Hz, 1H, CH), 4.18 (m, 2H,  $\text{CH}_2$ ), 4.00 (m, 1H, CH), 3.88 (m, 2H,  $\text{CH}_2$ ), 3.08 (dd,  $J = 14.0$ , 8.2 Hz, 1H, BnCH), 2.85

(dd,  $J = 14.0, 6.9$  Hz, 1H, BnCH), 2.32 (s, 3H), 1.24 (dt,  $J = 7.1, 0.9$ , 3H, CH<sub>3</sub>), 1.03 (dt,  $J = 7.1, 0.9$ , 3H, CH<sub>3</sub>); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  192.4, 169.7, 160.1, 144.1, 137.6, 136.1, 129.9, 129.5, 128.8, 127.6, 127.0, 63.0, 62.8, 55.7, 53.1, 21.8, 14.1, 14.0; HRMS C<sub>23</sub>H<sub>27</sub>NO<sub>7</sub>S [M+Na]<sup>+</sup> calculated 484.1406; found 484.1404.

**Compound 3d:** HRMS C<sub>16</sub>H<sub>20</sub>BrNO<sub>7</sub>S [M+Na]<sup>+</sup> calculated 472.0042; found 472.0042. The enantiomeric excess of **3b** was determined by reduction of the compound to **3a**. Compound **3a** was formed by reaction of **3d** with MgI<sub>2</sub>, followed by reduction with NaHSO<sub>3</sub>.

**Representative Procedure for Enantio- and Diastereoselective Formation of N-tosyl-4-amino-5-oxo-tetrahydro-furan-2-carboxylic acid ethyl esters:** In an oven dried Schlenk tube equipped with a magnetic stirrer bar, Cu(OTf)<sub>2</sub> (41 mg, 0.1125 mmol) and 2,2-isopropylidenebis[(4R)-4-phenyl-2-oxazoline] (40 mg, 0.118 mmol) were added. The mixture was stirred under vacuum for 2h and filled with Ar. Dry CH<sub>2</sub>Cl<sub>2</sub> (4 mL) was added and the solution was stirred for 2h. 430 mg of the imine (1.68 mmol) was added followed by the addition of 143 mL (1.125 mmol) of ethyl 2-oxo-butanoic acid ester. After 40h the reaction mixture was filtered through a plug of silica using 30% EtOAc in CH<sub>2</sub>Cl<sub>2</sub> as the eluent. Evaporation of the solvents *in-vacuo* afforded the crude product. The crude product from the Mannich reaction was

added to a Schlenk tube equipped with a magnetic stirrer bar. The Schlenk tube was evacuated and filled with Ar. Dry THF (5 mL) was added, and the solution was cooled to -78°C. 1.3 mL of a 1 M THF solution of L-Selectride was added, and the solution was stirred for 90 minutes. The reaction mixture was then poured into 20 mL of 1 N HCl and extracted with CH<sub>2</sub>Cl<sub>2</sub>. Evaporation of the solvents *in-vacuo* afforded the crude alcohol, which after treatment with PTSA (cat) in toluene at 70°C for 4h gave the lactone, which was purified by FC.

**Compound 8b:**  $[\alpha]^{rt}_{D} = -19.9^{\circ}$  ( $c = 0.01$  g/mL, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.80 (d,  $J = 8.4$ , 2H, ArH), 7.33 (d,  $J = 8.4$ , 2H, ArH), 5.08 (d,  $J = 7.3$  Hz, 1H, NH), 4.38 (d,  $J = 9.5$  Hz, 1H, CHCOOEt), 4.28 (q,  $J = 7.1$  Hz, 2H, OCH<sub>2</sub>), 3.75 (dd,  $J = 7.3$ , 10.5 Hz, 1H, CHN), 2.45 (m, 1H, CHMe), 2.43 (s, 3H, CH<sub>3</sub>), 1.40 (d,  $J = 6.6$ , 3H, CH<sub>3</sub>), 1.31 (t,  $J = 7.1$ , 3H, CH<sub>3</sub>); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  172.4, 168.3, 144.2, 137.1, 130.0, 127.5, 79.1, 62.6, 58.9, 42.4, 21.8, 15.0, 14.3. HRMS C<sub>15</sub>H<sub>19</sub>NO<sub>6</sub>S[M+Na]<sup>+</sup> calculated 364.0831; found 364.0895.

**Compound 8c:**  $[\alpha]^{rt}_{D} = -48.7^{\circ}$  ( $c = 0.01$  g/mL, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.73 (d,  $J = 8.0$ , 2H, ArH), 7.18-7.27 (m, 7H, ArH), 5.40 (d,  $J = 6.6$  Hz, 1H, NH), 4.41 (d,  $J = 8.4$  Hz, 1H, CHCOOEt), 4.04 (m, 1H, CHN), 3.92 (m, 2H, CH<sub>2</sub>), 3.14 (m, 1H, CHBn), 2.84 (m, 2H, CH<sub>2</sub>Ph), 2.34 (s, 3H), 1.12 (t,  $J = 7.1$ , 3H, CH<sub>3</sub>); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)

$\delta$  172.2, 168.7, 144.3, 137.0, 135.8, 130.1, 130.0, 129.0, 127.6, 127.5, 77.2, 62.7, 55.8, 47.5, 34.6, 21.8, 14.1. HRMS  $C_{21}H_{23}NO_6S[M+Na]^+$  calculated 440.1144; found 440.1149.

**Boc-protection of lactone 8b.** 50 mg (0.15 mmol) of lactone **8b** is placed in a flask which evacuated and filled with Ar. 5 mL HPLC-grade MeCN is added followed by 0.2 equiv DMAP and 1.5 equivalent di-*tert*-butyldicarbonate. The solution is stirred at room temperature over night. The solvent is removed *in-vacuo*. The crude is redissolved in ether and extracted twice with 0.2 M citric acid and once with saturated  $NaHCO_3$ . The crude is filtered through a plug of silica and transferred to a Schlenk flask after removal of the solvent *in-vacuo*. Lactone **9** is obtained as white crystals in 98% yield (63 mg) after FC.

**Compound 9:**  $[\alpha]^{rt}_D = -61.6^\circ$  ( $c = 0.01$  g/mL,  $CH_2Cl_2$ );  $^1H$  NMR (400 MHz,  $CDCl_3$ )  $\delta$  7.85 (d,  $J = 8.0$ , 2H, ArH), d = 7.27 (d,  $J = 8.0$ , 2H, ArH), 4.93 (d,  $J = 10.8$  Hz, 1H, CHN), 4.41 (d,  $J = 9.6$  Hz, 1H,  $CHCOOEt$ ), 4.24 (q,  $J = 7.2$  Hz, 2H,  $CH_2$ ), 2.98 (m, 1H, CHMe), 2.37 (s, 3H,  $CH_3$ ), 1.41 (d,  $J = 6.4$ , 3H,  $CH_3$ ), 1.26 (t,  $J = 7.2$ , 3H,  $CH_3$ ), 1.24 (s, 9H,  $C(CH_3)_3$ );  $^{13}C$  NMR (100 MHz,  $CDCl_3$ )  $\delta$  170.7, 168.0, 149.2, 145.0, 136.3, 130.2, 129.3, 128.6, 128.1, 86.8, 79.6, 79.0, 62.4, 38.8, 27.9, 21.3, 15.8, 14.4. HRMS  $C_{20}H_{27}NO_8S[M+Na]^+$  calculated 464.1355; found 464.1367.

**Detosylation of aminolactone 9.** 90 mg (0.2 mmol) of lactone **9** is transferred to an oven dried Schlenk tube equipped with a magnetic stirrer bar. The Schlenk flask is evacuated and filled with Ar and then charged with 1.5 mL of dry MeOH followed by the addition of 5 equiv of Mg. The reaction mixture is sonicated for 45 min and then poured into 1M HCl and extracted with ether. The organic phase is washed with saturated NaHCO<sub>3</sub> and brine. The solvent is removed *in-vacuo* and 41 mg of **10** (71%) is obtained after FC.

Compound **10**:  $[\alpha]^{25}_{D} = -9.1$  ( $c = 0.01$  g/mL, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  5.16 (d,  $J = 8.0$ , 1H, NH), 4.44 (d,  $J = 10.0$ , 1H, CHCOOEt), 4.21 (dd,  $J = 8.0$ , 10.8 Hz, 1H, CHN), 2.45 (m, 1H, CHMe), 3.81 (s, 3H, OCH<sub>3</sub>), 1.34 (d,  $J = 6.8$ , 3H, CH<sub>3</sub>), 1.43 (s, 9H, C(CH<sub>3</sub>)<sub>3</sub>); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  173.2, 168.9, 155.7, 81.0, 79.2, 56.9, 53.2, 42.7, 28.5, 15.4. HRMS C<sub>12</sub>H<sub>19</sub>NO<sub>6</sub> [M+Na]<sup>+</sup> calculated 296.1110; found 296.1115.

#### References.

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