



Supporting Information

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**Construction of All-Carbon Quaternary Stereocenter by
Broadly Effective Enantioselective and Diastereoselective
Conjugate Addition of α -Substituted β -Ketoesters to α ,
 β -Unsaturated Ketones with a Chiral Organic Catalyst**

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General Information

^1H and ^{13}C NMR spectra were recorded on a Varian instrument (400 MHz and 100 MHz, respectively) and internally referenced to tetramethylsilane signal or residual proton solvent signals. Data for ^1H NMR are recorded as follows: chemical shift(δ , ppm), multiplicity (s, singlet; d, doublet; t, triplet; q, quartet; m, multiplet), integration, coupling constant(Hz). Data for ^{13}C NMR are reported in terms of chemical shift(δ , ppm). Infrared spectra were recorded on a Perkin Elmer FT-IR Spectrometer and are reported in frequency of absorption. Low resolution mass spectra for all the new compounds done by either 20 eV, CH_4/CI or NH_3/CI were recorded on a Hewlett-Packard 5989A GC/MS, and exact mass spectra on a VG 7070 high resolution mass spectrometer. Specific rotations were measured on a Jasco Digital Polarimeter.

High performance liquid chromatography(HPLC) analysis was performed on a Hewlett-Packard 1100 Series instrument equipped with a quaternary pump, using a Daicel Chiralcel OJ, OD Column(250 x 4.6 mm); Chiralpak AD, AS Column (250 x 4.6 mm); or REGIS(R,R)Whelk-O 1, UV detection was monitored at 220 nm or at 215 nm.

Materials

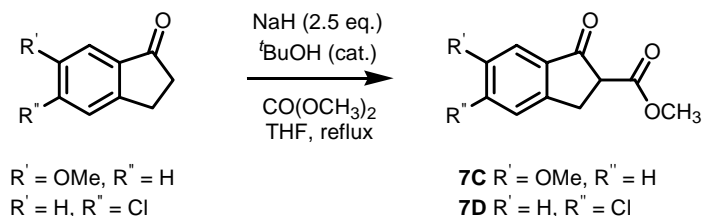
α,β -unsaturated ketone **3a**, **5a**, **5b** were purchased from Aldrich Inc. **3b** was purchased from Acros. **3a**, **3b** were used without further purifications. **5a**, **5b** were freshly

distilled before use. CH_2Cl_2 was freshly distilled over CaH_2 prior to use.

Preparation of β -ketone esters 2A-2H

β -ketone esters **2A**¹, **2B**¹, **2E**² were prepared according to literature procedures.

β -ketone esters **2C**, **2D** were prepared with a modified literature procedure as described here:¹



An oven-dried three-neck flask was charged with NaH (60% suspension in mineral oil, 100 mg, 2.5 mmol) and dry THF (5.0 mL) under N_2 . The suspension was stirred at room temperature for 5 min and stood for another 5 min. The liquid phase was removed by a syringe and the residue was subjected to vacuum for 15 min. The resulting fine white powder was then suspended in THF (5.0 mL). To this suspension dimethyl carbonate (900 mg, 10.0 mmol) was added via a syringe. The resulting mixture was heated at reflux while a solution of indanone (1.0 mmol) in THF (5.0 mL) was introduced dropwise through a dropping funnel over 30 min. The resulting brown mixture was heated at reflux for an additional 15 min. The resulting green mixture was cooled to 0°C , to which acetic acid (2 mL) was added dropwise via a syringe. The resulting mixture was further acidified by addition of an aqueous solution of HCl (1.0 N, 2.0 mL). The mixture was extracted with EtOAc (10.0 mL \times 3). The combined organic phase was washed with water, 5% NaHCO_3 (aq.), brine, dried over Na_2SO_4 and concentrated. The residue was subjected to silica gel chromatography (hexanes/EtOAc, 20/1) to give β -keto methyl esters **7**.

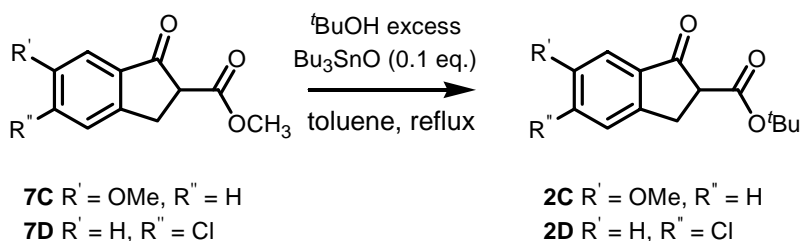
Methyl 6-methoxy-1-oxo-2-indanecarboxylate (**7C**) was obtained in 90% yield as a white solid.

^1H NMR (CDCl_3 , 400 MHz) (90% of keto form): δ ppm 7.39 (d, $J = 8.4$ Hz, 1H), 7.23 (dd, $J = 8.4, 2.8$ Hz, 1H), 7.19 (d, $J = 2.8$ Hz, 1H), 3.84 (s, 3H), 3.80 (s, 3H), 3.77 (dd, $J = 8.4, 4.0$ Hz, 1H), 3.48 (dd, $J = 16.8, 4.0$ Hz, 1H), 3.31 (dd, $J = 16.8, 4.0$ Hz, 1H). ^1H NMR (CDCl_3 , 400 MHz) (10% of enol form): δ ppm

10.40(br s, 1H), 7.35(d, J = 8.4 Hz, 1H), 7.16(d, J = 2.0 Hz, 1H), 7.00(d, J = 8.4, 2.0 Hz, 1H), 3.862(s, 3H), 3.859(s, 3H), 3.45(s, 2H).

Methyl 5-chloro-1-oxo-2-indanecarboxylate (**7D**) was obtained in 95% yield as a white solid.

^1H NMR (CDCl_3 , 400 MHz) (67% of keto form): δ ppm 7.71(d, J = 8.0 Hz, 1H), 7.51(s, 1H), 7.38(d, J = 8.0 Hz, 1H), 3.81(s, 3H), 3.76(dd, J = 8.4, 4.0 Hz, 1H), 3.56(dd, J = 17.6, 4.0 Hz, 1H), 3.36(dd, J = 17.6, 8.4 Hz, 1H). ^1H NMR (CDCl_3 , 400 MHz) (33% of enol form): δ ppm 10.35(br s, 1H), 7.56(d, J = 8.0 Hz, 1H), 7.46(s, 1H), 7.37(d, J = 8.0 Hz, 1H), 3.86(s, 3H), 3.51(s, 2H).



An oven-dried flask was charged with β -keto methyl ester **7** (1.0 mmol), Bu_2SnO (26.0 mg, 0.1 mmol), $t\text{BuOH}$ (5.0 mL, 52.0 mmol) and toluene (15 mL). The resulting mixture was heated at reflux in a flask connected to a Dean-Star trap. Methanol and t -butanol collected in the Dean-Star trap was released every hour, after which a portion of $t\text{BuOH}$ (2.0 mL) was added. The mixture was heated at reflux for a total of 4 hours. The resulting yellow solution was concentrated. The residue was subjected to silica gel chromatography (hexanes/ EtOAc , 20/1) to give the desired β -keto t butylesters **2**.

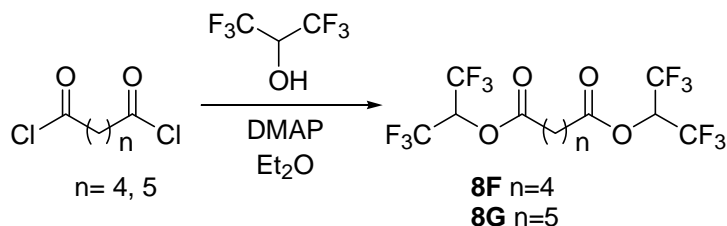
2C was obtained in 80% yield as a white solid.

^1H NMR (CDCl_3 , 400 MHz) (90% keto ester form): δ ppm 7.38(d, J = 8.0 Hz, 1H), 7.20(m, 2H), 3.83(s, 3H), 3.64(dd, J = 8.0, 3.6 Hz, 1H), 3.40(dd, J = 16.8, 3.6 Hz, 1H), 3.26(dd, J = 16.8, 8.0 Hz, 1H), 1.49(s, 9H). (10 % enol form): δ ppm 10.52(br s, 1H), 7.32(d, J = 8.4 Hz, 1H), 7.13(d, J = 2.0 Hz, 1H), 6.96(dd, J = 8.4, 2.0 Hz, 1H), 3.85(s, 3H), 3.40(s, 2H), 1.57(s, 9H). ^{13}C NMR (CDCl_3 , 100 MHz) (keto and enol forms): δ ppm 199.94, 168.32, 159.53, 146.53, 136.56, 127.11, 124.64, 105.48, 81.92, 55.53, 55.04, 29.62, 28.41, 27.94. IR ν : 2980(m), 1709(s, with a shoulder at 1740), 1645(m), 1494(m), 1277(m), 1149(s), 1028cm^{-1} .

2D was obtained in 84% yield as a purple solid.

^1H NMR (CDCl_3 , 400 MHz) (85% keto ester form): δ ppm 7.68(d, $J = 8.0$ Hz, 1H), 7.49(s, 1H), 7.36(d, $J = 8.0$ Hz, 1H), 3.63(dd, $J = 8.0, 4.0$ Hz, 1H), 3.48(dd, $J = 17.2, 4.0$ Hz, 1H), 3.31(dd, $J = 17.2, 4.0$ Hz, 1H), 1.49(s, 9H). (15 % enol form): δ ppm 10.25(br s, 1H), 7.53(d, $J = 7.6$ Hz, 1H), 7.42(s, 1H), 7.35(d, $J = 7.6$ Hz, 1H), 3.46(s, 2H), 1.57(s, 9H). ^{13}C NMR (CDCl_3 , 100 MHz) (keto and enol forms): δ ppm 198.46, 167.85, 155.07, 141.77, 133.88, 128.50, 127.18, 126.70, 125.59, 125.01, 121.38, 82.28, 54.39, 32.71, 29.99, 28.42, 27.96. IR ν 2980(m), 2933(w), 1716(s, with a shoulder at 1745), 1649(m), 1601(m), 1369(m), 1260(m), 1154(s) cm^{-1} .

β -ketone esters **2F**, **2G** were prepared by a modified literature procedure as described here:^{2, 3}



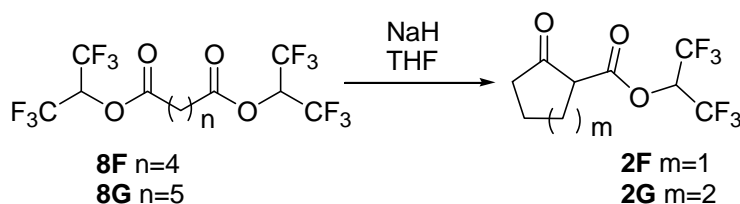
A solution of bisacid chloride (32.6 mmol) in anhydrous diethyl ether (5.0 mL) was added dropwise over 5 min to a stirred mixture of *N,N*-dimethylaniline (13.0 mL, 102.5 mmol) and hexafluoroisopropanol (11.0 mL, 106.0 mmol) in anhydrous ether (5.0 mL) at 0°C . The resulting mixture was stirred vigorously for an additional 20 h at room temperature, after which it was diluted with aqueous NaCl (10% w/v, 100 mL). The product was isolated by extraction with ether (100 mL x 2). The organic layer was washed with aqueous HCl (2 N)-brine solution (3:1 v/v, 100 mL x 3), aqueous NaOH (1 N)-brine solution (3:1 v/v, 100 mL x 2), and brine (100 mL). The organic layer was dried over Na_2SO_4 and concentrated. The residue was subjected to silica gel chromatography (hexanes/EtOAc, 10/1) to afford the desired diester **8**.

8F was obtained in 82% yield as a colorless oil.

^1H NMR (400 MHz, CDCl_3) δ 5.82–5.73(m, 2H), 2.60–2.55(m, 4H), 1.81–1.74(m, 4H).

8G was obtained in 82% yield as a colorless oil.

^1H NMR (400 MHz, CDCl_3) δ 5.82–5.72(m, 2H), 2.54(t, $J = 7.2$ Hz, 4H), 1.78–1.70(m, 4H), 1.46–1.38(m, 2H).



A solution of **8** (8.7 mmol) in THF (10.0 mL) was added to a suspension of NaH (60% in mineral oil, 750 mg, 18.7 mmol) in THF (10 mL). The resulting mixture was heated to 50°C (oil bath temperature) and kept at that temperature for 18 hours, and then cooled to 0°C. To the reaction mixture, HCl (2.0 N aq.) was added dropwise until pH=1. The resulting mixture was extracted with EtOAc (30 mL x 3). The organic layer was collected, washed with brine, dried over Na₂SO₄ and concentrated. The residue was subjected to silica gel chromatography (hexanes/EtOAc, 50/1) to furnish **2**.

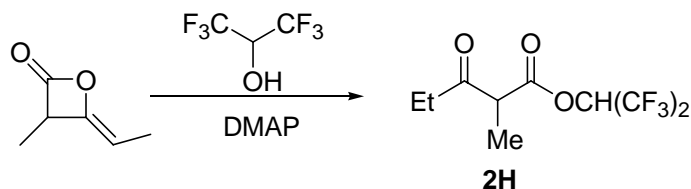
2F was obtained in 65% yield as a colorless oil.

¹H NMR (400 MHz, CDCl₃) (14% of it was in the enol form and 86% was in the ketone form) δ 9.80 (s, 0.14H, enol-H), 5.90-5.84 (m, 0.14H), 5.83-5.74 (m, 0.86H), 3.39-3.34 (m, 0.86H), 2.63-1.89 (m, 6H); ¹³C NMR (100 MHz, CDCl₃) δ 209.3, 166.4, 120.2 (q, ¹J_{C,F} = 277.1 Hz), 98.2, 66.9 (hept, ²J_{C,F} = 34.2 Hz), 65.6 (hept, ²J_{C,F} = 34.9 Hz), 53.8, 37.7, 33.0, 27.2, 26.3, 20.8, 19.1.

2G was obtained in 25% yield as a colorless oil.

¹H NMR (400 MHz, CDCl₃) (80% of it was in the enol form and 20% ketone was in the ketone form) δ 11.41 (s, 0.8H, enol-H), 5.91-5.79 (m, 1H), 3.62-3.59 (m, 0.2H), 2.57-1.63 (m, 8H); ¹³C NMR (100 MHz, CDCl₃) δ 176.8, 168.5, 148.9, 140.0, 120.5 (q, ¹J_{C,F} = 283.9 Hz), 96.0, 65.9 (hept, ²J_{C,F} = 34.9 Hz), 61.7, 56.7, 41.5, 29.5, 27.0, 22.0, 21.8, 21.5.

β-ketone ester **2H** was prepared by a modified literature procedure:⁴



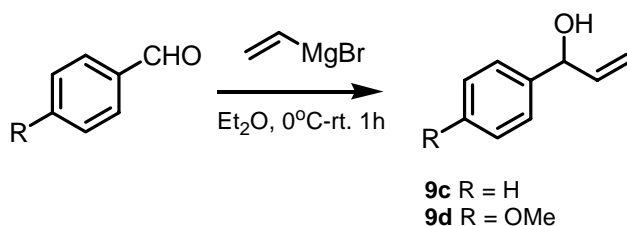
4-[(Z)-Ethylidene]-3-methyloxetan-2-one (448 mg, 4 mmol) was dissolved in hexafluoroisopropanol (1.0 mL), then DMAP (61 mg, 0.5 mmol) was added in one portion at 0°C. The

resulting mixture was allowed to stand at room temperature overnight, after which the whole mixture was subjected silica gel chromatography(hexanes/EtOAc, 10/1) to afford **2H** as a colorless oil (10 g, 90% yield). ^1H NMR (400 MHz, CDCl_3) δ 5.81-5.75 (m, 1H), 3.73(q, J = 7.2 Hz, 1H), 2.65-2.52(m, 2H), 1.44(d, J = 7.2 Hz, 3H), 1.11(t, J = 7.8 Hz, 3H).

Preparation of enones 3c-d, 5c

5c was prepared according to a literature procedure.⁶

Preparation of vinyl aryl ketones **3c** and **3d**:



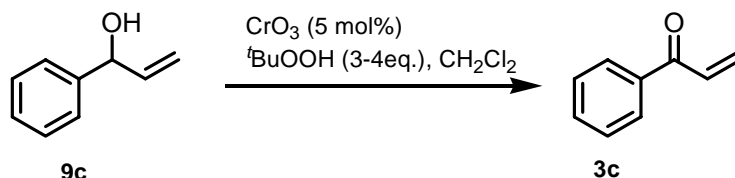
An oven-dried three-neck flask was charged with aldehyde(30.0 mmol) and anhydrous ether (100 mL) under the protection N_2 . Vinylmagnesium bromide(1.0 M in THF, 45 mL, 45.0 mmol) was added into the aldehyde solution through a dropping funnel over 30 min at 0°C . The resulting white suspension was stirred at 0°C for 1 hour and then allowed to warm up to room temperature, afterwhich it was stirred for another 30 min. The reaction was quenched with slow addition of an aqueous solution of HCl(1 N, 50 mL) at 0°C . The organic layer was collected and the aqueous layer was extracted with ether(100 mL x 2). The combined organic phases were washed with water, brine, dried over Na_2SO_4 and concentrated. The residue was subjected to silica gel chromatography(hexanes/EtOAc, 10/1) to give allylic alcohol **9**.

9c was obtained in 87% yield as a light yellow oil.

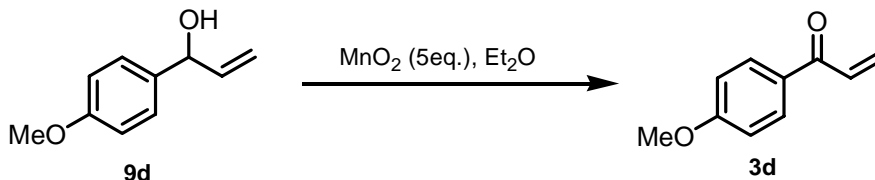
^1H NMR(CDCl_3 , 400 MHz): δ ppm 7.30-7.40(m, 5H), 6.04(ddd, J = 17.2, 10.4, 6.8 Hz, 1H), 5.34(dd, J = 17.2, 1.6 Hz, 1H), 5.18(d, J = 9.6 Hz, 1H), 5.18(brs, 1H), 4.66(s, 1H).

9d was obtained in 95% yield as a light yellow oil.

^1H NMR(CDCl_3 , 400 MHz): δ ppm 7.30(d, J = 8.8 Hz, 2H), 6.89(d, J = 8.8 Hz, 2H), 6.05(ddd, J = 17.2, 10.4, 6.0 Hz, 1H), 5.34(dt, J = 17.2, 1.2 Hz, 1H), 5.19(dt, J = 10.4, 1.2 Hz, 1H), 5.17(brs, 1H), 3.81(s, 3H).



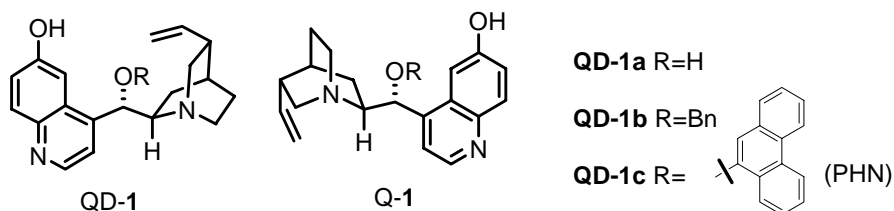
To a solution of allylic alcohol **9c** (3.0 g, 22.4 mmol) in CH_2Cl_2 (50 mL) was added CrO_3 (112 mg, 1.12 mmol, 5 mol%). $t\text{BuOOH}$ (70%, 7.2 M in H_2O , 10.0 mL, 3.2 eq.) was added via a dropping funnel at 0°C over 1 h. The resulting brown mixture was stirred at room temperature overnight. The resulting yellow suspension was cooled to 0°C , at which $\text{Na}_2\text{S}_2\text{O}_3$ (20 g) was added in portions. The resulting mixture was vigorously stirred at room temperature for 30 min. The solvent CH_2Cl_2 was removed by vacuum, the residue was extracted with ether (30 mL \times 3). The combined extracts were washed with water (30 mL), brine (30 mL) and dried over Na_2SO_4 and concentrated. The residue was subjected to silica gel chromatography (hexanes/ EtOAc , 20/1) to give **3c** (1.6 g, 55% yield) as a light yellow oil. ^1H NMR (CDCl_3 , 400 MHz): δ ppm 7.93 (dd, J = 8.0, 1.0 Hz, 2H), 7.42–7.46 (m, 3H), 7.14 (dd, J = 17.2, 10.4 Hz, 1H), 6.43 (dd, J = 17.2, 1.0 Hz, 1H), 5.92 (dd, J = 10.4, 1.0 Hz, 1H).



To a solution of allylic alcohol **9d** (1.0 g, 6.1 mmol) in Et_2O (20.0 mL) was added MnO_2 (activated, 3.5 g, 40.2 mmol, 6.6 eq.) in portions at room temperature over 1 h. The reaction mixture was vigorously stirred at room temperature for 30 min. The resulting black suspension was concentrated to ca. 5 mL of liquid left and directly subjected to silica gel chromatography (pure hexanes and subsequently hexanes/ EtOAc , 10/1) to afford **3d** as a colorless oil (0.40 g, 41% yield). The allylic alcohol **9d** was recycled (0.57 g, 57% yield). ^1H NMR (CDCl_3 , 400 MHz): δ ppm 7.97 (d, J = 9.2 Hz, 2H), 7.18 (dd, J = 17.2, 10.4 Hz, 1H), 6.96 (d, J = 9.2 Hz, 2H), 6.43 (dd, J = 17.2, 2.0 Hz, 1H), 5.88 (dd, J = 10.4, 2.0 Hz, 1H), 3.88 (s, 3H).

Structure of the catalysts

6'-OH cinchona alkaloid catalysts **Q-1** and **QD-1** were prepared according to literature.⁵

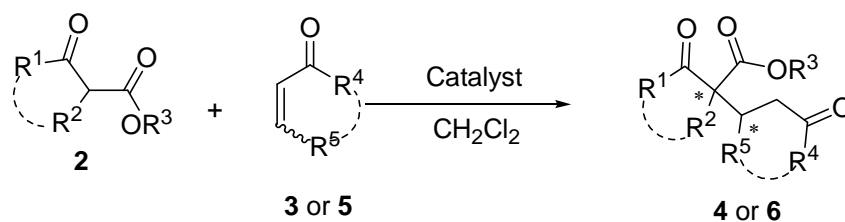


Preparation of Racemic 1, 4-adducts 4 and 6

To a solution of β -ketone ester **2** (0.3 mmol) in CH_2Cl_2 (0.6 mL) was added DABCO (3 mg, 0.03 mmol) at room temperature. Then **3** or **5** (0.75 mmol, 2.5 eq.) was added to the resulting solution dropwise. After the reaction went to completion, as indicated by TLC or ^1H NMR analysis, the reaction mixture was directly subjected to silica gel chromatography (hexanes/EtOAc, 10/1) to afford the racemic 1,4-adduct **4** or **6**.

General procedure for enantioselective/diastereoselective Michael addition

General procedure for 1-catalyzed Michael addition of β -ketone esters **2** to α,β -unsaturated ketone **3** or **5** with catalyst recovery:



Modified 6'-OH cinchona alkaloid catalyst **1** (0.03-0.06 mmol) was added to the solution of β -ketone ester **2** (0.3 mmol) in CH_2Cl_2 (0.6 mL). To the resulting solution, α,β -unsaturated ketone **3** or **5** (0.75 mmol) was added dropwise at the temperature indicated in tables 2 and 3. The reaction was monitored by TLC or ^1H NMR analysis. After **2** was consumed, the reaction mixture was directly subjected to silica gel chromatography (Hexanes/EtOAc, 10/1) to afford the desired 1, 4-adduct **4** or **6**.

After the 1, 4-adduct was collected, the column was washed with methanol to allow the recovery of 6'-OH cinchona alkaloid catalyst **1** in NMR pure form (>95% yield).

General procedure for **1**-catalyzed Michael addition of β -ketone esters **2** to α,β -unsaturated ketone **3** with 1.0 mol% catalyst:

A vial was charged with β -keto ester **2** (0.2 mmol) and a solution of 6'-OH cinchona alkaloid catalyst **1** (5.0 mM in CH_2Cl_2 , 0.4 mL). The resulting mixture was shaken at room temperature for 1 min, then vinyl ketone **3** (0.5 mmol) was added to this solution via a syringe. The resulting mixture was occasionally shaken at room temperature until reaction went to completion. The reaction mixture was directly subjected to silica gel chromatography (hexanes/EtOAc, 10/1) to afford the desired 1, 4-adduct **4**.

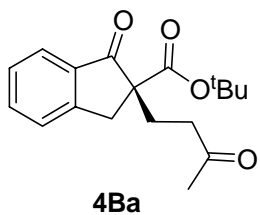
1-catalyzed Michael addition of β -ketone ester **2D** to α,β -unsaturated ketone **3d**:

6'-OH cinchona alkaloid catalyst **1** (0.02 mmol) was added to a solution of β -ketone ester **2D** (53.3 mg, 0.2 mmol) in CH_2Cl_2 (0.4 mL). A solution of α,β -unsaturated ketone **3d** (81 mg, 0.5 mmol) in CH_2Cl_2 (0.4 mL) was added via a syringe pump (0.07 mL/h) at -27°C . After the addition of **3d** was completed, the reaction was monitored by TLC analysis. After **2** was consumed, the reaction mixture was directly subjected to silica gel chromatography (hexanes/EtOAc, 10/1) to afford **4Dd**. The reaction time in table 2 included the time of slow addition of **3d**. The catalyst was recycled by washed down from the column using methanol with a recycling yield above 95% in NMR pure form.

1-catalyzed Michael addition of β -ketone ester **2B** to α,β -unsaturated ketone **3a** on gramm scale:

Modified 6'-OH cinchona alkaloid catalyst QD-**1c** (24 mg, 0.05 mmol) was added to the solution of β -ketone ester **2B** (1.16 g, 5.0 mmol) in CH_2Cl_2 (10.0 mL). To the resulting solution, α,β -unsaturated ketone **3a** (1.02 mL, 12.5 mmol) was added dropwise at room temperature. The resulting clear solution was stirred at room temperature for 3 hours until **2B** was consumed as monitored by TLC analysis. The reaction mixture was concentrated under vacuum and subjected to silica gel chromatography (Hexanes/EtOAc, 10/1). The 1, 4-adduct **4Ba** (1.51 g, quantitative yield) was obtained as a colorless oil in 97% ee. After the 1, 4-adduct was collected, the column was washed with methanol to allow the

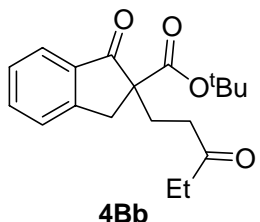
recovery of 6'-OH cinchona alkaloid catalyst **QD-1c** in NMR pure form (23 mg, 96% yield).



(R)-(+)-tert-Butyl 1-oxo-2-(3-oxobutyl) 2-indancarboxylate 4Ba This product was obtained as a colorless oil in 96% yield and 96% ee from a reaction catalyzed by **Q-1c** (1.0 mol%) at room temperature for 3 hour. The enantiomer excess was determined by HPLC analysis [Daicel chiralcel OJ, Hexanes:IPA, 90:10, 1.00 ml/min, λ 220 nm, t_r (major)=33.4 min, t_r (minor)= 29.4 min]. $[\alpha]_D^{25} = +30.9^\circ$ (c 1.50, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 7.76(d, $J = 8.0$ Hz, 1H), 7.62(td, $J = 7.2$ Hz, $J = 1.2$ Hz, 1H), 7.47(d, $J = 8.0$ Hz, 1H), 7.40(t, $J = 7.2$ Hz, 1H), 3.61(d, $J = 17.2$ Hz, 1H), 3.01(d, $J = 17.2$ Hz, 1H), 2.68-2.47(m, 2H), 2.21-2.16(m, 2H), 2.13(s, 3H), 1.39(s, 9H); ^{13}C NMR(100 MHz, CDCl_3) δ 207.6, 202.7, 170.1, 152.6, 135.2, 127.7, 126.3, 124.6, 81.9, 59.8, 38.8, 37.9, 29.8, 28.3, 27.2; IR(CHCl_3) ν 2978, 2932, 1733, 1715, 1607, 1368, 1153 cm^{-1} .

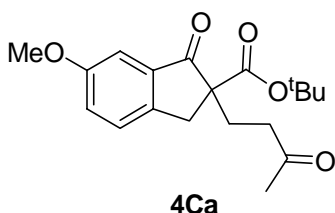
The absolute configuration of (+)-4Ba was determined to be **R** isomer by comparing the specific optical rotation with literature value.¹ $[\alpha]_D^{25} = +43.2^\circ$ (c 2.50, benzene) for 96% ee. Lit. $[\alpha]_D^{25} = +44.7^\circ$ (c 1.23, benzene) for 84% ee.

(S)-(-)-tert-Butyl 1-oxo-2-(3-oxobutyl) 2-indancarboxylate 4Ba (gramm scale) This product was obtained as a colorless oil in 100% yield and 97% ee from a reaction catalyzed by **QD-1c** (1 mol%) at r.t. for 3h.



(+)-tert-Butyl 1-oxo-2-(3-oxopentyl) 2-indancarboxylate 4Bb. This product was obtained as a colorless oil in 94% yield after flash chromatography and 94% ee from a reaction catalyzed by **Q-1c** (1 mol%) at room temperature for 5 hours. The enantiomer excess was determined by HPLC analysis [Daicel chiralcel OJ, hexanes:IPA, 90:10, 1.00 ml/min, λ 220 nm, t_r (major) = 9.5 min, t_r (minor) = 7.2 min]. $[\alpha]_D^{25} = +35.7^\circ$ (c 1.46, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 7.76(d, $J = 7.6$ Hz, 1H), 7.62(td, $J = 7.2$ Hz, $J = 1.2$ Hz, 1H), 7.47(d, $J = 8.0$ Hz, 1H), 7.40(t, $J = 7.6$ Hz, 1H), 3.61(d, $J = 17.6$ Hz, 1H),

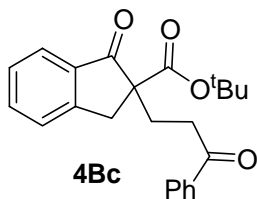
3.01(d, J = 16.8 Hz, 1H), 2.63–2.56(m, 1H), 2.51–2.38(m, 2H), 2.26–2.13(m, 3H), 1.39(s, 9H), 1.03(t, J = 7.6 Hz, 3H); ^{13}C NMR (100 MHz, CDCl_3) δ 210.3, 202.7, 170.1, 152.6, 135.3, 135.2, 127.7, 126.3, 124.6, 81.9, 60.0, 37.8, 37.5, 35.8, 28.5, 27.8, 7.7; IR(CHCl_3) ν 2977, 2936, 1733, 1713, 1608, 1368, 1153 cm^{-1} ; HRMS(CI) m/z calcd. for($\text{C}_{19}\text{H}_{24}\text{O}_4$ + H^+): 317.1753, found 317.1756.



(+)-4Ca This product was obtained as a colorless oil in 98% yield and 96% ee from a reaction catalyzed by **Q-1c** (1 mol%) at room temperature for 5 hours. The enantiomer excess was determined by HPLC analysis [Daicel chiralcel OJ,

hexanes:IPA, 90:10, 1.00 ml/min, λ 220 nm, t_r (major)=31.3 min, t_r (minor)=10.3 min]. $[\alpha]_D^{25}$ = +35.4° (c 0.63, CHCl_3); ^1H NMR(CDCl_3 , 400 MHz): δ ppm 7.35(d, J = 8.0 Hz, 1H), 7.21(dd, J = 8.0, 2.0 Hz, 7.18(d, J = 2.0 Hz, 1H), 3.84(s, 3H), 3.51(d, J = 16.8 Hz, 1H), 2.92(d, J = 16.8 Hz, 1H), 2.44–2.66(m, 2H), 2.18(m, 2H), 2.13(s, 3H), 1.40(s, 9H); ^{13}C NMR(CDCl_3 , 100 MHz): δ ppm 207.67, 202.69, 170.20, 159.61, 145.45, 136.48, 126.97, 124.68, 105.62, 81.92, 60.60, 55.54, 38.82, 37.28, 29.87, 28.40, 27.78; IR(CHCl_3) 2978, 2935, 1750, 1712, 1618, 1494, 1279, 1155, 1027, 847, 766 cm^{-1} ; HRMS(CI) m/z calcd. for($\text{C}_{19}\text{H}_{24}\text{O}_5$ + H^+): 333.1701, found 333.1694.

(-)-4Ca This product was obtained as a colorless oil in 99% yield and 96% ee from a reaction catalyzed by **QD-1c** (1 mol%) at r.t. for 5h.

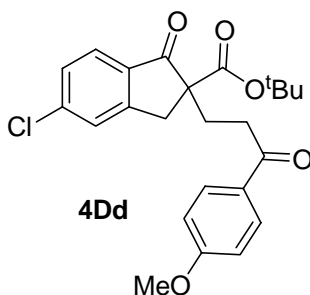


(+)-4Bc This product was obtained as a white solid in 94% yield and 96% ee from a reaction catalyzed by **Q-1c** (10 mol%) at -24°C for 30 min. The enantiomer excess was determined by HPLC analysis [Daicel chiralpak AS, hexanes:IPA, 90:10, 1.00

ml/min, λ 220 nm, t_r (major)= 15.8 min, t_r (minor)= 19.4 min]. $[\alpha]_D^{25}$ = +4.2° (c 1.14, CHCl_3); ^1H NMR(400 MHz, CDCl_3) δ 7.96–7.94(m, 2H), 7.78(d, J = 7.2 Hz, 1H), 7.63(td, J = 7.6 Hz, J = 1.2 Hz, 1H), 7.57–7.53(m, 1H), 7.49–7.39(m, 4H), 3.67(d, J = 17.6 Hz, 1H), 3.01(d, J = 17.6 Hz, 1H), 3.23–3.02(m, 2H), 2.43–2.27(m, 2H), 1.41(s, 9H); ^{13}C NMR(100 MHz, CDCl_3) δ 202.7, 199.3, 170.1, 152.7, 136.6, 135.3, 135.2, 133.0, 128.5, 128.1, 127.7, 126.3, 124.7, 82.0, 60.2, 37.9, 34.1, 29.2, 27.8; IR(CHCl_3) ν 2978, 2932, 1736, 1710, 1686,

1607, 1449, 1368, 1255, 1212, 1152 cm^{-1} ; HRMS(CI) m/z calcd. for($\text{C}_{23}\text{H}_{24}\text{O}_4 + \text{H}^+$):365.1752, found 365.1759.

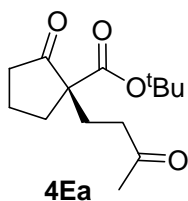
(-)-4Bc This product was obtained as a colorless oil in 94% yield and 93% ee from a reaction catalyzed by **QD-1c**(10 mol%) at -24°C for 30 min.



(-)-4Dd This product was obtained as a white solid in 94% yield and 96% ee from a reaction catalyzed by **Q-1c** (10 mol%) at -24°C for 8h with slow addition of **3d**. The enantiomer excess was determined by HPLC analysis[Daicel chiralcel OD, hexanes:IPA, 90:10, 1.00 ml/min, λ 220 nm, t_r (major)= 12.2 min, t_r (minor)= 19.2 min]. $[\alpha]_D^{25} = -$

10.6° (c 0.70, CHCl_3); ^1H NMR(400 MHz, CDCl_3) δ 7.93(d, $J = 8.4$ Hz, 2H), 7.71(d, $J = 8.0$ Hz, 1H), 7.46(s, 1H), 7.39(dd, $J = 8.0$ Hz, $J = 1.2$ Hz, 1H), 6.92(d, $J = 9.2$ Hz, 2H), 3.87(s, 3H), 3.64(d, $J = 18.0$ Hz, 1H), 3.07(d, $J = 17.6$ Hz, 1H), 3.14-2.93(m, 2H), 2.43-2.26(m, 2H), 1.41(s, 9H); ^{13}C NMR(100 MHz, CDCl_3) δ 201.2, 197.7, 169.7, 163.5, 154.2, 141.8, 133.8, 130.4, 129.7, 128.6, 126.6, 125.8, 113.7, 82.3, 60.6, 55.4, 37.5, 33.6, 29.3, 27.8; IR(CHCl_3) ν 2978, 1737, 1712, 1600, 1258, 1170, 1153 cm^{-1} ; HRMS(CI) m/z calcd. for($\text{C}_{24}\text{H}_{25}\text{ClO}_5 + \text{H}^+$): 429.1468, found 429.1475.

(+)-4Dd. This product was obtained as a colorless oil in 93% yield and 93% ee from a reaction catalyzed by **QD-1c**(10 mol%) at -24°C for 8h with slow addition of **3d**.



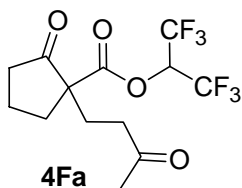
(S)-(-)-tert-Butyl 2-oxo-1-(3-oxobutyl)cyclopentanecarboxylate 4Ea

This product was obtained as a colorless oil in 95% yield and 96% ee from a reaction catalyzed by **Q-1c** (10 mol%) at room temperature for 84 hr. The enantiomer excess was determined by HPLC analysis [Daicel chiralpak

AS, hexanes:IPA, 99:1, 0.44 ml/min, λ 215 nm, t_r (major)= 47.6 min, t_r (minor)= 40.4 min]. $[\alpha]_D^{25} = -5.9^\circ$ (c 0.53, CHCl_3); ^1H NMR(400 MHz, CDCl_3) δ 2.78-2.71(m, 1H), 2.50-2.35(m, 3H), 2.31-2.22(m, 1H), 2.14(s, 3H), 2.07-1.81(m, 5H), 1.44(s, 9H); ^{13}C NMR(100 MHz, CDCl_3) δ 215.3, 208.1, 170.7, 82.0, 59.4, 38.9, 38.0, 34.6, 29.9, 27.9, 27.0, 19.6; IR(CHCl_3) ν 2975, 1747, 1716, 1368, 1146 cm^{-1} .

The absolute configuration of **(-)-4Ea** was determined to be **S** isomer by comparing the specific optical rotation with

literature value.⁷ Lit. $[\alpha]_D^{25} = +8.7^\circ$ (c 0.41, CHCl₃) (92% ee for *R* isomer).



Hexafluoroisopropyl 2-oxo-1-(3-oxobutyl) cyclopentane carboxylate 4Fa

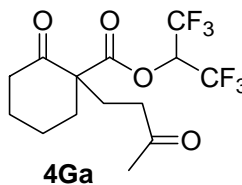
This product was obtained as a colorless oil in 93% yield and 96% ee from a reaction catalyzed by **Q-1c** (10 mol%) at room temperature for 30 min. The enantiomer excess was determined by HPLC analysis [REGIS(R,R) Whelk-O1, hexanes:IPA, 99:1, 1.00 ml/min, λ 215 nm, t_r (major) = 11.5 min, t_r (minor) = 13.6 min]. ¹H NMR(400 MHz, CDCl₃) δ 5.81-5.72(m, 1H), 2.75-2.67(m, 1H), 2.52-2.41(m, 4H), 2.23-1.98(m, 5H), 2.14(s, 3H); ¹³C NMR(100 MHz, CDCl₃) δ 212.1, 207.1, 168.5, 120.1(q, $^1J_{C,F}$ = 280.8 Hz), 66.7(hept, $^2J_{C,F}$ = 34.2 Hz), 58.6, 38.2, 37.6, 34.1, 29.8, 26.6, 19.5; IR(CHCl₃) ν 2971, 1779, 1742, 1716, 1386, 1359, 1289, 1234, 1201, 1167, 906cm⁻¹. HRMS(CI) *m/z* calcd. for(C₁₃H₁₄F₆O₄ + H⁺): 349.0875, found 349.0880.

Hexafluoroisopropyl 2-oxo-1-(3-oxobutyl) cyclopentane carboxylate 4Fa

This product was obtained as a colorless oil in 90% yield and 95% ee from a reaction catalyzed by **QD-1c**(10 mol%) at r.t. for 30 min.

(+)-Hexafluoroisopropyl 2-oxo-1-(3-oxobutyl) cyclopentane carboxylate (+)-4Fa

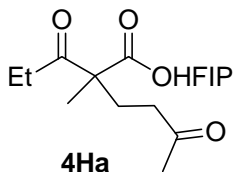
This product was obtained as a colorless oil in 92% yield and 94% ee from a reaction catalyzed by **Q-1c**(1 mol%) at r.t. for 24h.



(-)-Hexafluoroisopropyl 2-oxo-1-(3-oxobutyl) cyclopentane carboxylate (-)-4Ga

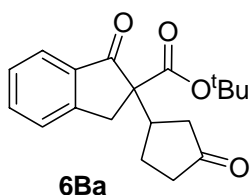
This product was obtained as a colorless oil in 89% yield and 98% ee from a reaction catalyzed by **Q-1c** (10 mol%) at room temperature for 24h. The enantiomer excess was determined by HPLC analysis[REGIS(R,R) Whelk-O1, hexanes:IPA, 99:1, 0.90 ml/min, λ 215 nm, t_r (major)= 5.6 min, t_r (minor)= 14.3 min]. $[\alpha]_D^{25} = -46.1^\circ$ (c 3.67, CHCl₃); ¹H NMR(400 MHz, CDCl₃) δ 5.87-5.78(m, 1H), 2.61-2.42(m, 4H), 2.38-2.30 (m, 1H), 2.26-2.18(m, 1H), 2.05-1.94(m, 2H), 1.86-1.61(m, 4H), 2.13(s, 3H); ¹³C NMR(100 MHz, CDCl₃) δ 206.7, 250.7, 169.4, 120.2(q, $^1J_{C,F}$ = 280.8 Hz), 66.7(hept, $^2J_{C,F}$ = 34.2 Hz), 60.2, 40.4, 38.2, 36.4, 29.8, 27.8, 27.2, 21.9; IR(CHCl₃) ν 2953, 2872, 1770, 1716, 1721, 1386, 1359, 1288, 1233, 1202, 1110, 906cm⁻¹. HRMS(CI) *m/z* calcd. for(C₁₄H₁₆F₆O₄ + H⁺): 363.1031, found 363.1028.

(+)-Hexafluoroisopropyl 2-oxo-1-(3-oxobutyl) cyclopentane carboxylate (+)-4Ga This product was obtained as a colorless oil in 86% yield and 96 % ee from a reaction catalyzed by **QD-1c** (10 mol%) at r.t. for 24h. $[\alpha]_D^{25} = +44.4^\circ$ (c 3.45, CHCl_3).

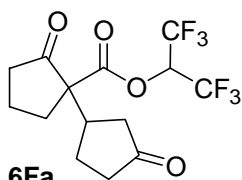


(+)-Hexafluoroisopropyl 2-propionyl-2-Methyl-5-oxohexanoate (+)-4Ha This product was obtained as a colorless oil in 82% yield and 90% ee from a reaction catalyzed by **Q-1c** (10 mol%) at -24°C for 20 hours. The enantiomer excess was determined by HPLC analysis [Daicel chiralcel OJ, hexanes:IPA, 99:1, 1.00 ml/min, λ 215 nm, t_r (major) = 15.8 min, t_r (minor) = 8.5 min]. $[\alpha]_D^{25} = +4.3^\circ$ (c 0.67, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 5.84–5.75 (m, 1H), 2.52–2.40 (m, 4H), 2.26–2.10 (m, 2H), 2.15 (s, 3H), 1.44 (s, 3H), 1.07 (t, $J = 6.4$ Hz, 3H); ^{13}C NMR (100 MHz, CDCl_3) δ 206.8, 206.4, 170.2, 120.4 (q, $^1J_{\text{C},\text{F}} = 280.9$ Hz), 67.0 (hept, $^2J_{\text{C},\text{F}} = 34.9$ Hz), 58.9, 38.3, 31.8, 30.1, 28.5, 19.5, 8.0; IR (CHCl_3) ν 2974, 1771, 1717, 1386, 1288, 1233, 1110, 907cm^{-1} . HRMS (CI) m/z calcd. for ($\text{C}_{13}\text{H}_{16}\text{F}_6\text{O}_4 + \text{H}^+$): 351.1031, found 351.1036.

(-)-Hexafluoroisopropyl 2-propionyl-2-Methyl-5-oxohexanoate (-)-4Ha This product was obtained as a colorless oil in 85% yield after flash chromatography and 90% ee from a reaction catalyzed by **QD-1c** (10 mol%) at -24°C for 40 hours.

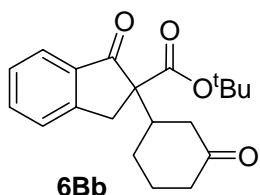


6Ba This product was obtained as a white solid in 99% yield and 96:4 diastereomer ratio (dr) from a reaction catalyzed by **QD-1b** (10 mol%) at room temperature for 12 hours. dr was determined by ^1H NMR analysis of the crude product. ee of the major diastereomer was determined to be 98% by HPLC analysis [Daicel chiralpak AS, hexanes:IPA, 95:5, 1.00 ml/min, λ 220 nm, major isomer: t_r (major) = 29.8 min, t_r (minor) = 58.3 min]. ^1H NMR (400 MHz, CDCl_3) (major isomer) δ 7.76 (d, $J = 7.6$ Hz, 1H), 7.63 (t, $J = 7.2$ Hz, 1H), 7.49 (d, $J = 8.0$ Hz, 1H), 7.40 (t, $J = 7.6$ Hz, 1H), 3.67 (d, $J = 17.2$ Hz, 1H), 3.24–3.15 (m, 1H), 3.08 (d, $J = 18.0$ Hz, 1H), 2.36–2.16 (m, 4H), 1.94–1.86 (m, 1H), 1.68–1.57 (m, 1H), 1.41 (s, 9H); ^{13}C NMR (100 MHz, CDCl_3) (major isomer) δ 217.3, 201.8, 169.2, 152.8, 135.6, 135.4, 127.9, 126.2, 124.7, 82.4, 62.9, 40.7, 39.6, 38.3, 34.0, 27.8, 25.3; IR (CHCl_3) ν 2976, 2933, 1739, 1706, 1606, 1276, 1149cm^{-1} . HRMS (CI) m/z calcd. for ($\text{C}_{19}\text{H}_{22}\text{O}_4 + \text{H}^+$): 315.1596, found 315.1594.



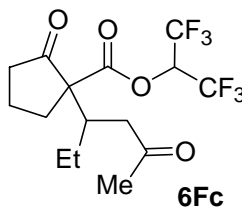
6Fa

6Fa This product was obtained as colorless oil in 95% yield and 93:7 diastereomer ratio from a reaction catalyzed by **Q-1c** (20 mol%) at room temperature for 2 hours. dr was determined by HPLC analysis. ee of the major diastereomer was determined to be 95% by HPLC analysis [Daicel chiralcel OD, hexanes:IPA, 90:10, 1.00 ml/min, λ 215 nm, major isomer: t_r (major) = 11.3 min, t_r (minor) = 13.3 min; minor isomer: t_r (major) = 15.0 min, t_r (minor) = 10.5 min]. ^1H NMR (400 MHz, CDCl_3) δ 5.84–5.75(m, 1H), 3.02–2.93(m, 1H), 2.58–2.49(m, 2H), 2.42–2.19(m, 5H), 2.13–2.04(m, 4H), 1.67–1.55(m, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ 216.0, 211.4, 167.6, 120.1(q, $^1J_{\text{C}, \text{F}}$ = 281.6 Hz), 66.8(hept, $^2J_{\text{C}, \text{F}}$ = 34.9 Hz), 61.2, 40.0, 39.5, 38.3, 38.1, 30.3, 24.9, 19.5; IR(CHCl_3) ν 2969, 1787, 1741, 1732, 1403, 1378, 1358, 1294, 1195, 1110, 906 cm^{-1} . HRMS(CI) m/z calcd. for ($\text{C}_{14}\text{H}_{14}\text{F}_6\text{O}_4 + \text{H}^+$): 361.0875, found 361.0875.



6Bb

6Bb This product was obtained as a white solid in 87% yield and 93:7 diastereomer ratio from a reaction catalyzed by **QD-1b** (20 mol%) at room temperature for 5 days. dr was determined by HPLC analysis. ee of the major diastereomer was determined to be 85% by HPLC analysis [Daicel chiralcel OD, hexanes:IPA, 95:5, 1.00 ml/min, λ 220 nm, major isomer: t_r (major) = 21.5 min, t_r (minor) = 15.1 min; minor isomer: t_r (major) = 12.0 min, t_r (minor) = 10.9 min]. ^1H NMR (400 MHz, CDCl_3) (major isomer) δ 7.73(d, J = 8.0 Hz, 1H), 7.62(td, J = 7.2 Hz, J = 1.2 Hz, 1H), 7.49(d, J = 8.0 Hz, 1H), 7.38(t, J = 7.2 Hz, 1H), 3.71(d, J = 18.0 Hz, 1H), 3.16(d, J = 17.6 Hz, 1H), 2.91–2.83(m, 1H), 2.41–2.37(m, 1H), 2.25–2.17(m, 2H), 2.12–2.04(m, 2H), 1.95–1.90(m, 1H), 1.74–1.62(m, 1H), 1.47–1.37(m, 1H), 1.41(s, 9H); ^{13}C NMR (100 MHz, CDCl_3) (major isomer) δ 209.5, 201.3, 168.6, 152.9, 135.6, 135.3, 127.7, 126.0, 124.4, 82.2, 64.7, 42.4, 42.3, 41.0, 33.3, 27.7, 26.7, 24.6; IR(CHCl_3) ν 2935, 1734, 1709, 1368, 1152 cm^{-1} . HRMS(CI) m/z calcd. for ($\text{C}_{20}\text{H}_{24}\text{O}_4 + \text{H}^+$): 329.1753, found 329.1755.



6Fc

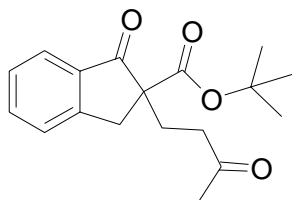
6Fc This product was obtained as a colorless oil in 83% yield and 86:14 diastereomer ratio from a reaction catalyst **Q-1c** (20 mol%) at room temperature for 20 hours. dr was determined by HPLC analysis. Methanol-deactivated silica gel was used in

chromatography. ee of the major diastereomer was determined to be 99% and ee of the minor diastereomer was determined to be 94% ee by HPLC analysis[REGIS, (R, R) Whelk-O1+ Daicel chiralpak AD, hexanes:IPA, 95:5, 0.80 mL/min, λ 215 nm, major isomer: t_r (major) = 11.1 min, t_r (minor) = 12.1 min; minor isomer: t_r (major) = 23.2 min, t_r (minor) = 27.1 min]. ^1H NMR(400 MHz, CDCl_3) δ 5.78-5.69(m, 1H), 3.13-3.07(m, 1H), 2.61-2.50(m, 2H), 2.40-2.34(m, 4H), 2.19-1.92(m, 2H), 2.14(s, 3H), 1.49-1.27(m, 2H), 0.88(t, J =7.2 Hz, 3H); ^{13}C NMR (100 MHz, CDCl_3) δ 212.0, 207.8, 167.4, 120.2(q, $^1J_{\text{C}, \text{F}}$ = 281.6Hz), 66.6(hept, $^2J_{\text{C}, \text{F}}$ = 34.9 Hz), 63.4, 43.8, 38.9, 38.2, 32.4, 29.9, 24.3, 19.2, 12.6; IR (CHCl_3) ν 2970, 1782, 1742, 1716, 1386, 1359, 1288, 1234, 1198, 1110, 908 cm^{-1} . HRMS(CI) m/z calcd. For($\text{C}_{15}\text{H}_{18}\text{F}_6\text{O}_4 + \text{H}^+$): 377.1187, found 377.1176.

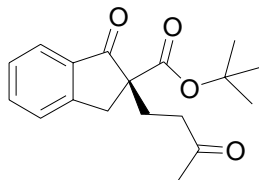
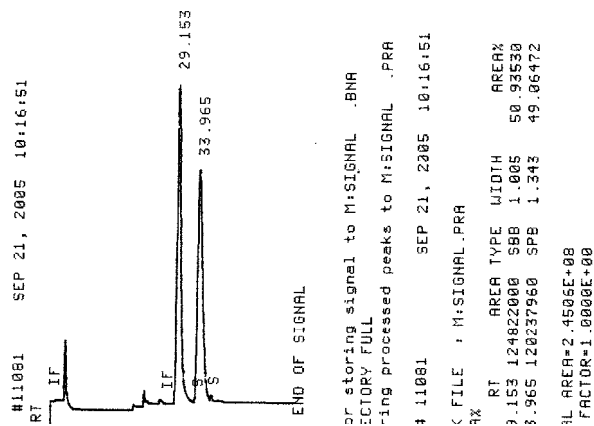
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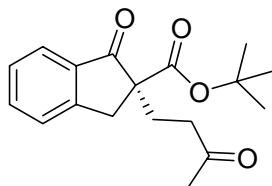
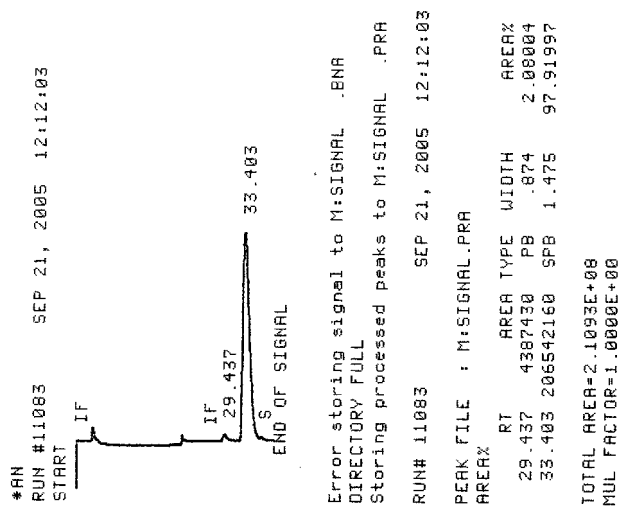
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1.00 mL/min, λ 220 nm



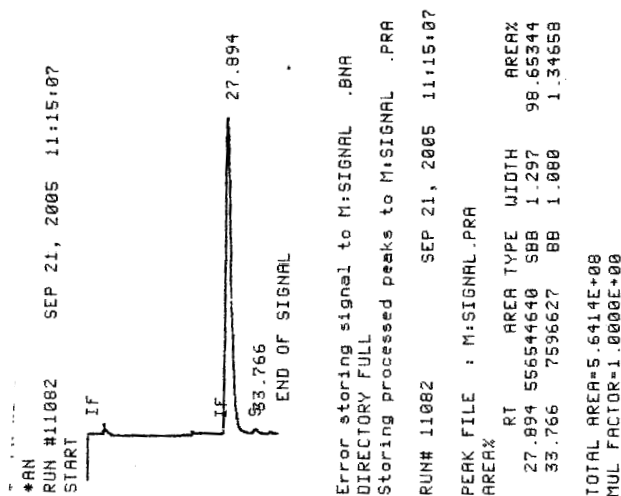
Racemic 4Ba



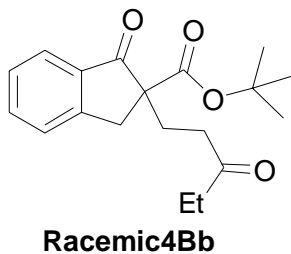
Asymmetric R-(+)- 4Ba, 96% ee,
reaction catalyzed
by Q-PHN-OH(Q-1c)(1 mol%)



Asymmetric 4Ba, 97% ee,
reaction catalyzed
by QD-PHN-OH(QD-1c)(1 mol%)
5 mmol scale



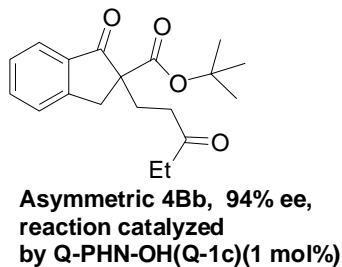
HPLC Conditions: Daicel chiralcel OJ, Hexane:IPA, 90:10,
1.00 mL/min, λ 220 nm



```
* RUN # 649    MAR 6, 2005 19:46:21
START
      IF
      IF
      IF
      STOP
      7.216
      9.660

Closing signal file M:SIGNAL .BNC
Storing processed peaks to M:\0596A8E.PRO
DIRECTORY FULL

RUN# 649    MAR 6, 2005 19:46:21
SIGNAL FILE: M:SIGNAL.BNC
PEAK FILE : M:\0596A8E.PRO
AREA% RT AREA TYPE WIDTH AREA%
7.216 52422400 SHB .477 50.29450
9.660 51808512 ISPP .793 49.70552
TOTAL AREA=1.0423E+08
MUL FACTOR=1.0000E+00
```

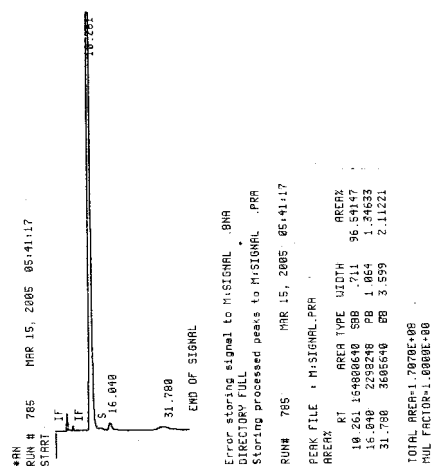
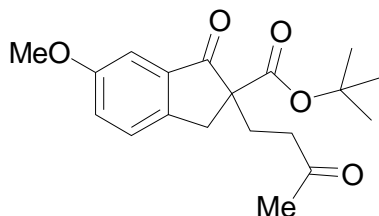
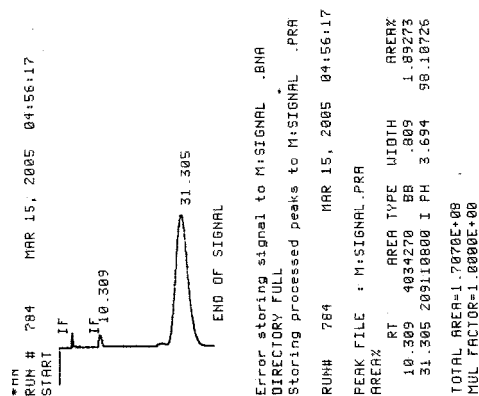
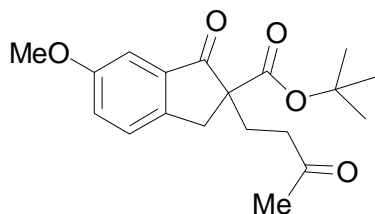
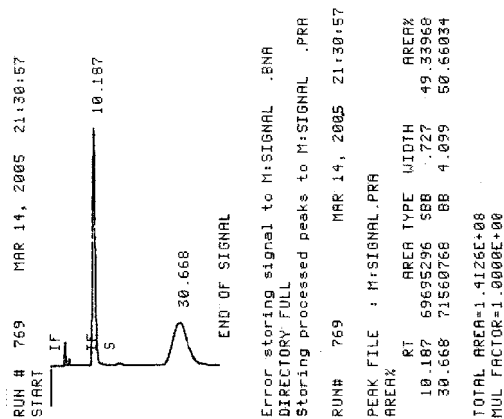
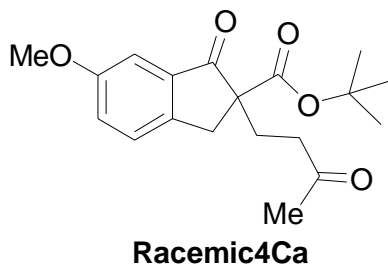


```
*AN RUN # 646    MAR 6, 2005 18:53:11
START
      IF
      IF
      IF
      END OF SIGNAL
      9.525

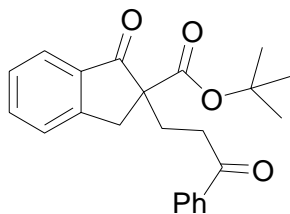
Error storing signal to M:SIGNAL .BNA
DIRECTORY FULL
Storing processed peaks to M:SIGNAL .PRA

RUN# 646    MAR 6, 2005 18:53:11
PEAK FILE : M:SIGNAL.PRA
AREA% RT AREA TYPE WIDTH AREA%
7.208 5405808 PU .450 3.05333
9.525 171640560 ISPH .816 96.94669
TOTAL AREA=1.7705E+08
MUL FACTOR=1.0000E+00
```

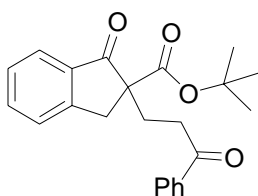
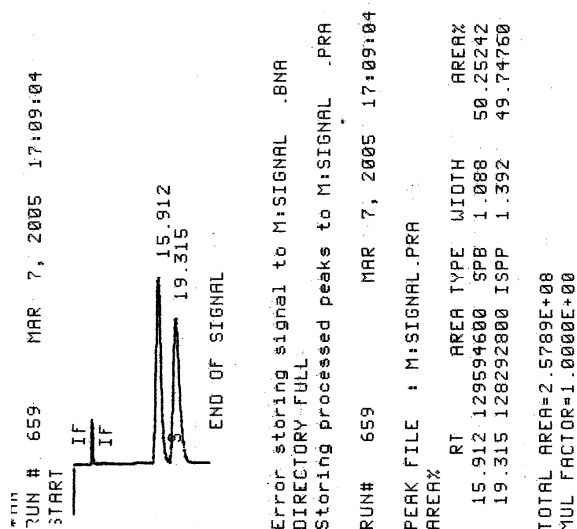
HPLC Conditions: Daicel chiralcel OJ, Hexane:IPA, 90:10,
1.00 mL/min, λ 220 nm



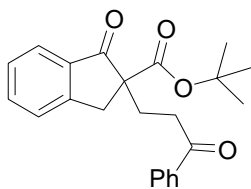
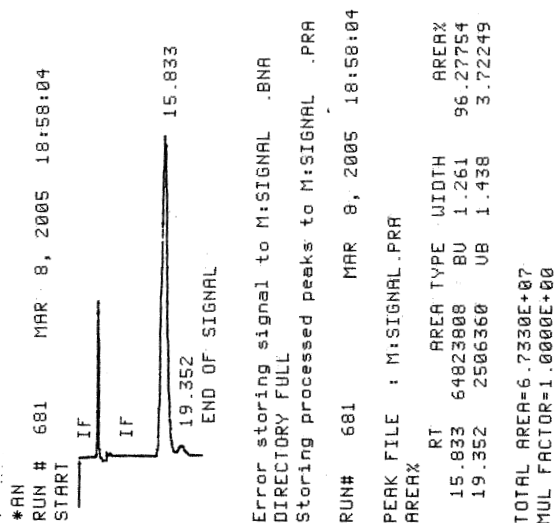
HPLC Conditions: Daicel chiralpak AS, Hexane:IPA, 98: 2,
1.00 mL/min, λ 220 nm



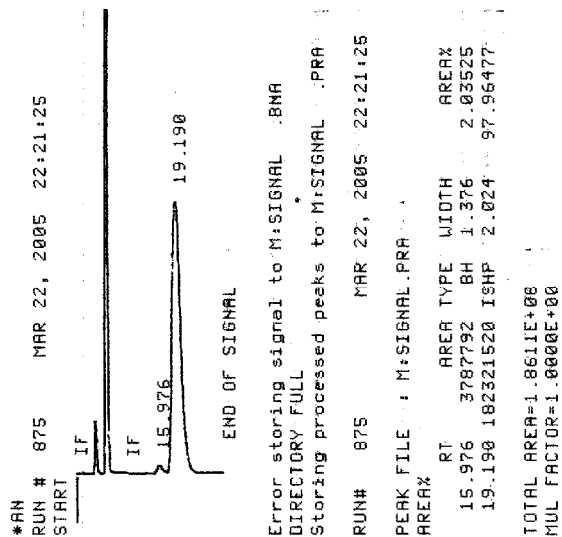
Racemic4Bc



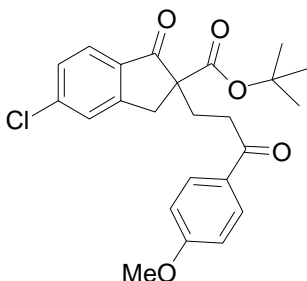
**Asymmetric 4Bc, 96% ee,
reaction catalyzed
by Q-PHN-OH(Q-1c)(10 mol%)**



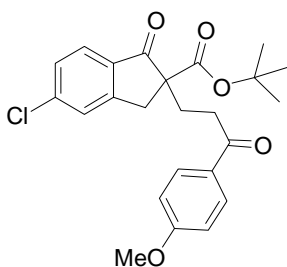
**Asymmetric 4Bc, 93% ee,
reaction catalyzed
by QD-PHN-OH(QD-1c)(10 mol%)**



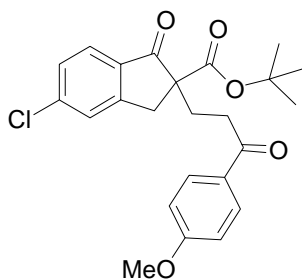
HPLC Conditions: Daicel chiralcel OD, Hexane:IPA, 90:10,
1.00 mL/min, λ 220 nm



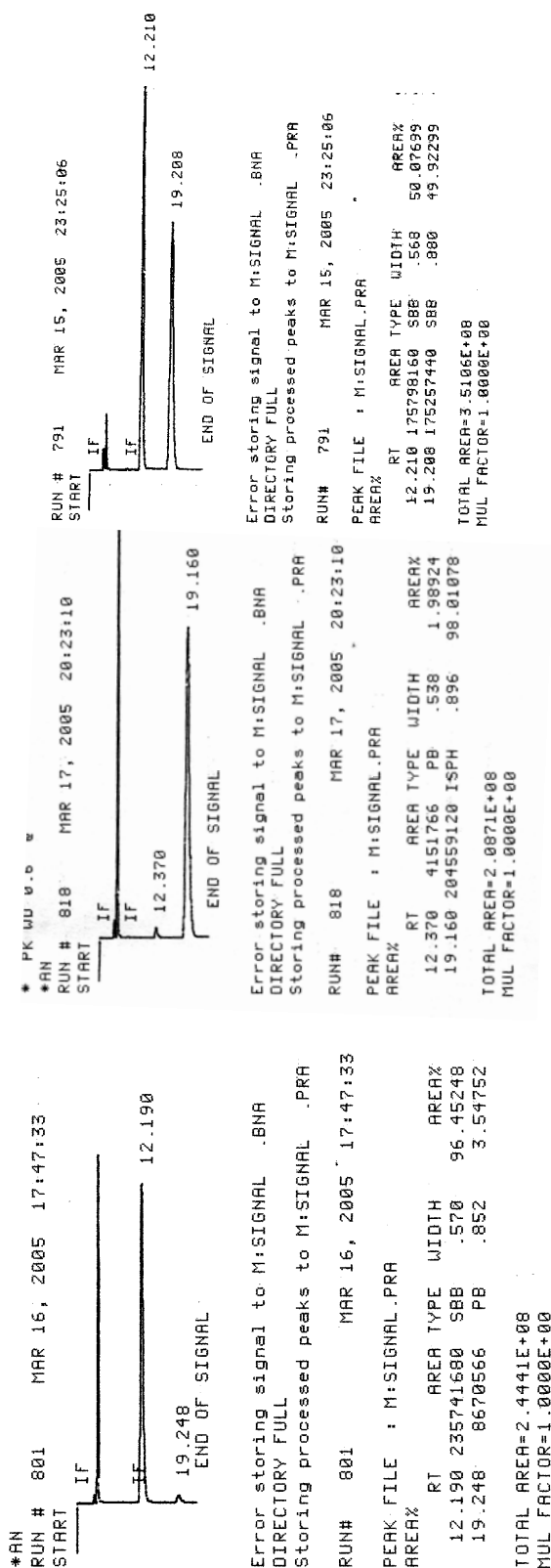
Racemic 4Dd



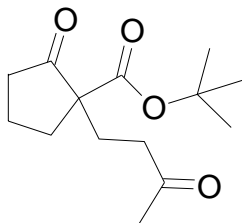
**Asymmetric 4Dd, 96% ee,
reaction catalyzed
by Q-PHN-OH(Q-1c)(10 mol%)**



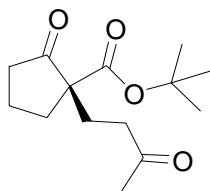
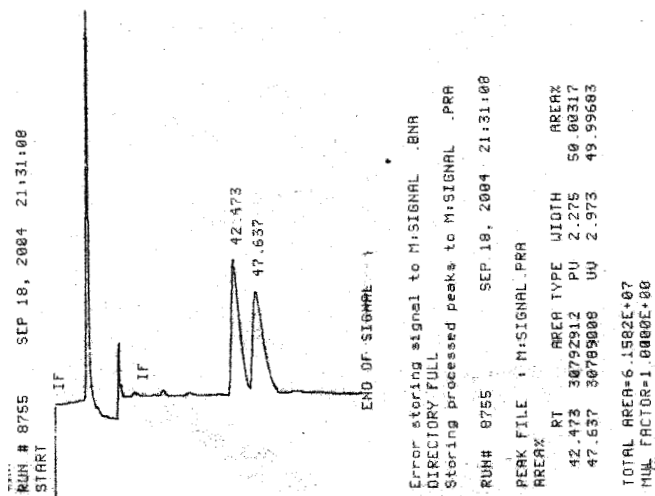
**Asymmetric 4Dd, 93% ee,
reaction catalyzed
by QD-PHN-OH(QD-1c)(10 mol%)**



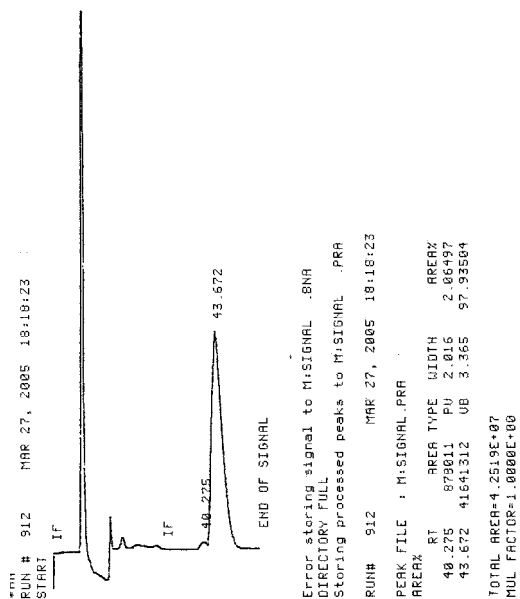
HPLC Conditions: Daicel chiralpak AS, Hexane:IPA, 99: 1,
0.44 mL/min, λ 215 nm



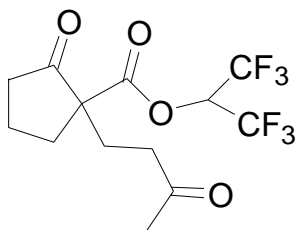
Racemic4Ea



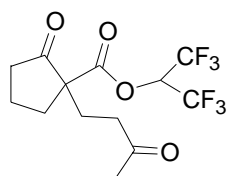
**Asymmetric 4Ea, 96% ee,
reaction catalyzed
by Q-PHN-OH(Q-1c)(10
mol%)**



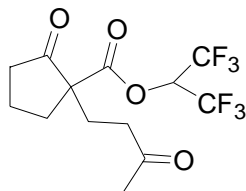
HPLC Conditions: REGIS, (R, R) Whelk-O1, Hexane:IPA, 99: 1,
1.00 mL/min, λ 215 nm



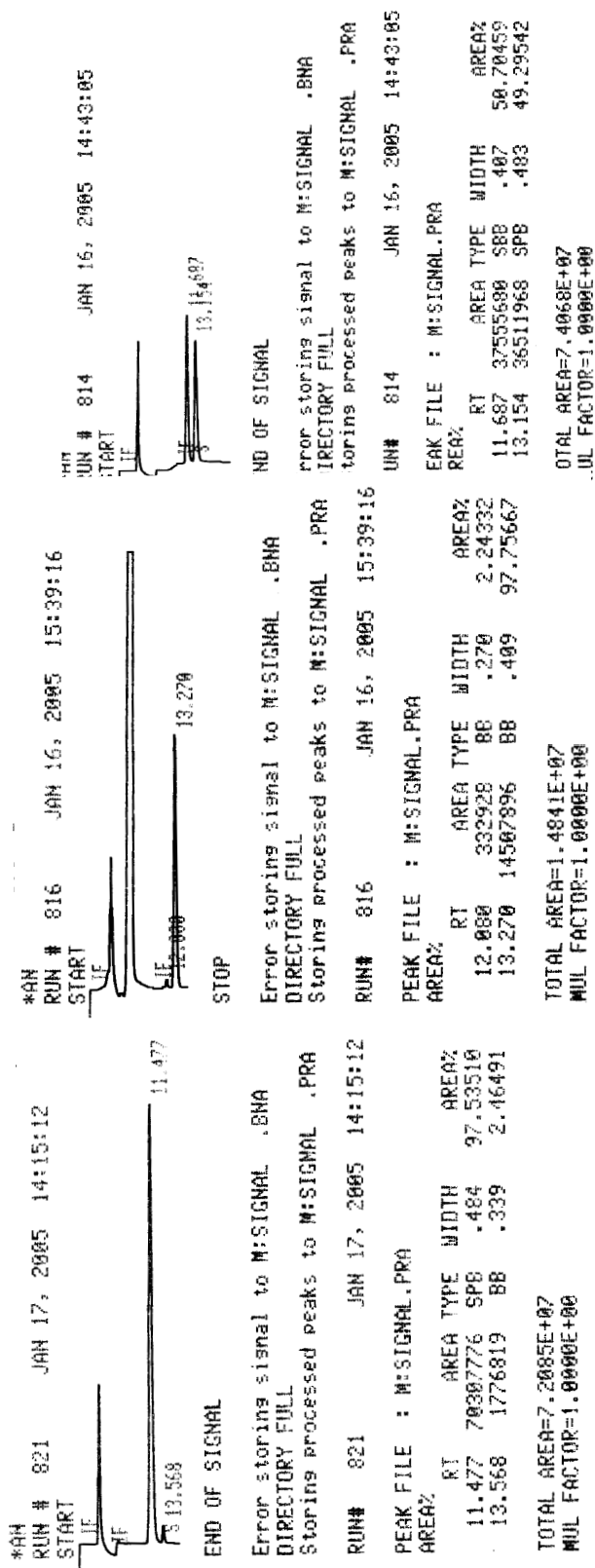
Racemic 4Fa



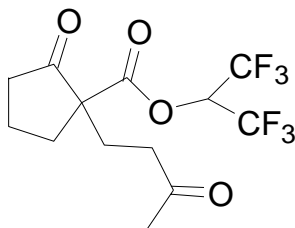
**Asymmetric 4Fa, 96% ee,
reaction catalyzed
by Q-PHN-OH(Q-1c)(10 mol%)**



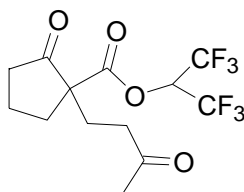
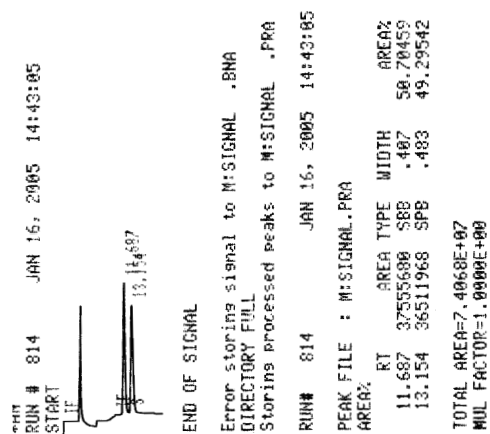
**Asymmetric 4Fa, 95% ee,
reaction catalyzed
by QD-PHN-OH(QD-1c)(10 mol%)**



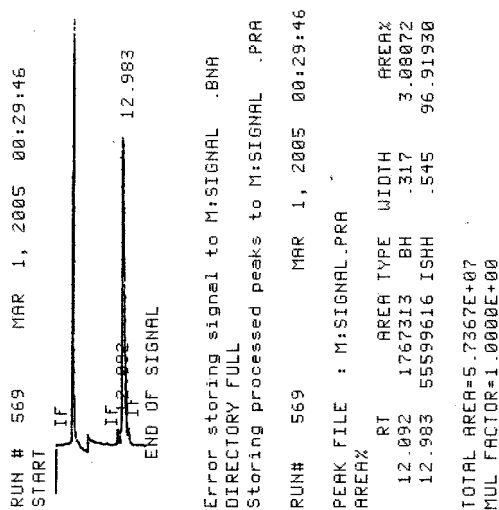
HPLC Conditions: REGIS, (R, R) Whelk-O1, Hexane:IPA, 99: 1,
1.00 mL/min, λ 215 nm



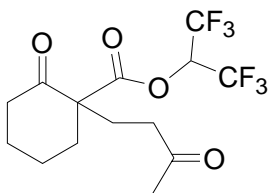
Racemic 4Fa



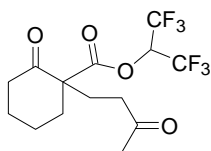
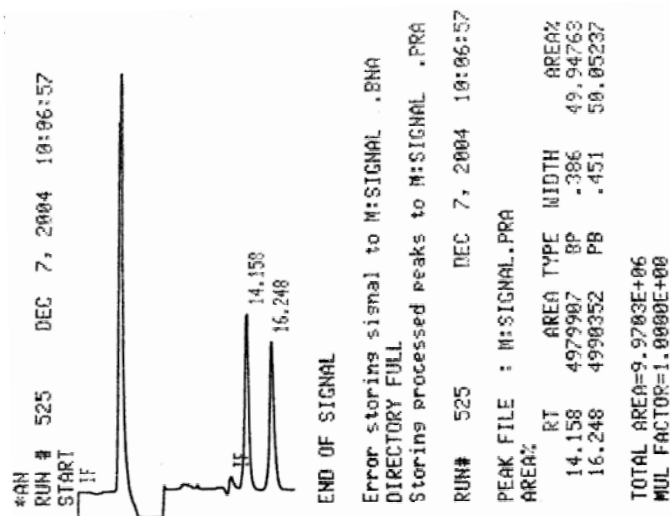
**Asymmetric 4Fa, 94% ee,
reaction catalyzed
by 1 mol% Q-PHN-OH(Q-1c)**



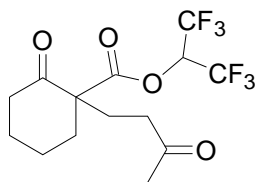
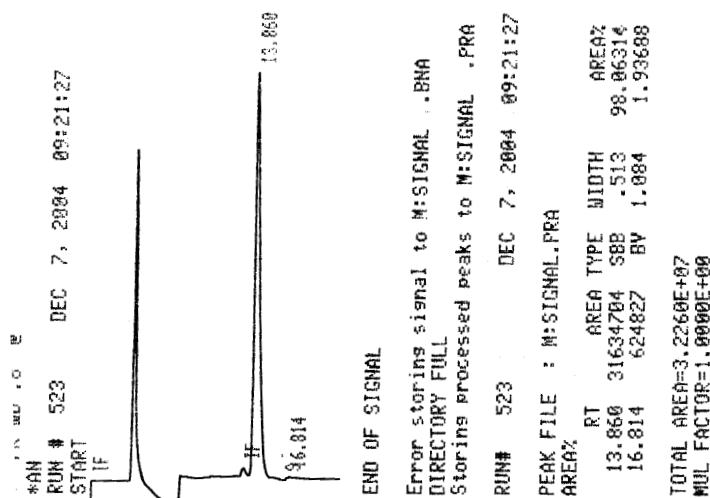
HPLC Conditions: REGIS, (R, R) Whelk-O1, Hexane:IPA, 99: 1,
0.90 mL/min, λ 215 nm



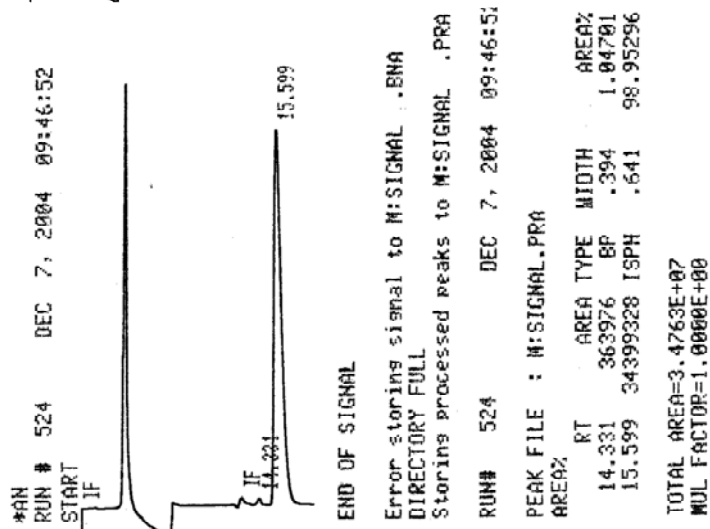
Racemic4Ga



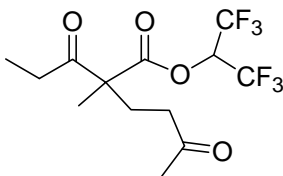
**Asymmetric 4Ga, 98% ee,
reaction catalyzed
by Q-PHN-OH(Q-1c)(10
mol%)**



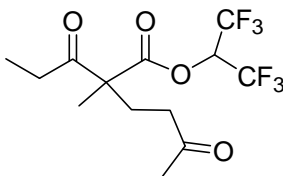
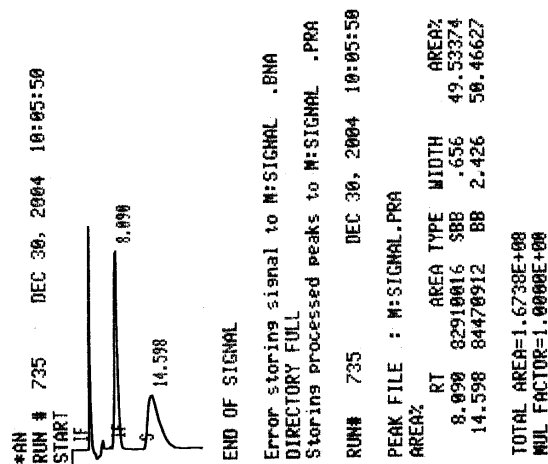
**Asymmetric 4Ga, 96% ee,
reaction catalyzed
by QD-PHN-OH(QD-1c)(10
mol%)**



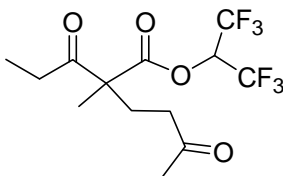
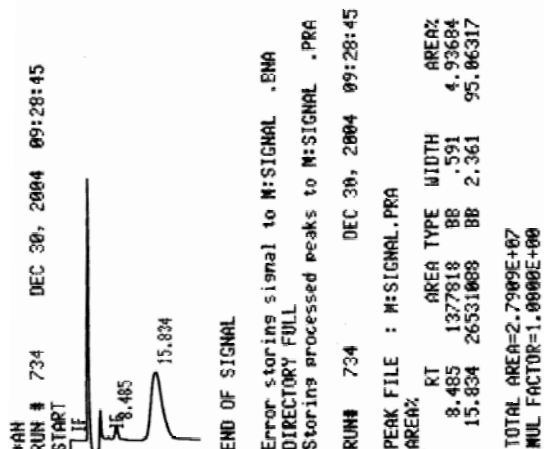
HPLC Conditions: Daicel chiralcel OJ, Hexane:IPA, 99:1,
1.00 mL/min, λ 215 nm



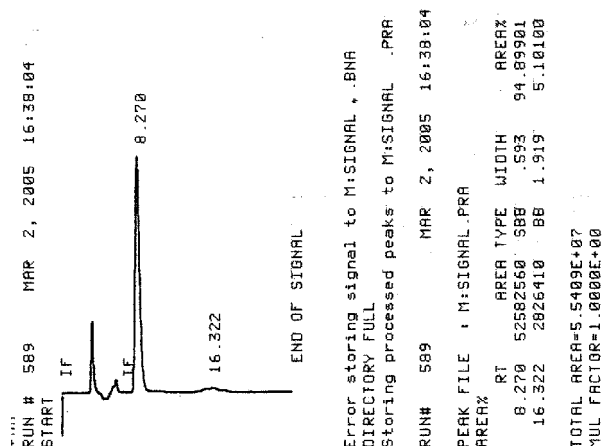
Racemic 4Ha



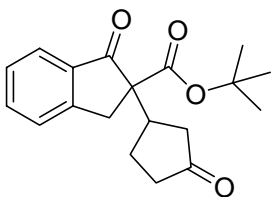
Asymmetric 4Ha, 90% ee,
reaction catalyzed
by Q-PHN-OH(Q-1c)



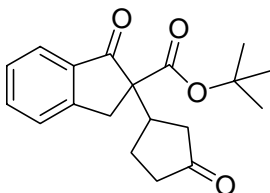
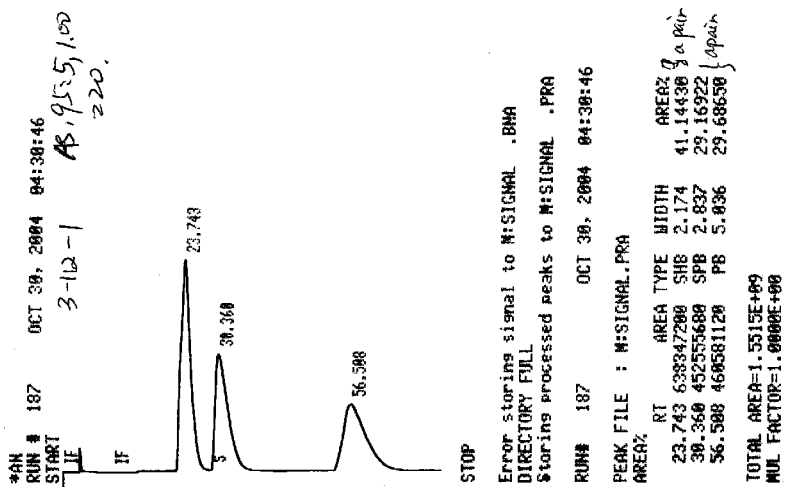
Asymmetric 4Ha, 90% ee,
reaction catalyzed
by QD-PHN-OH(QD-1c)



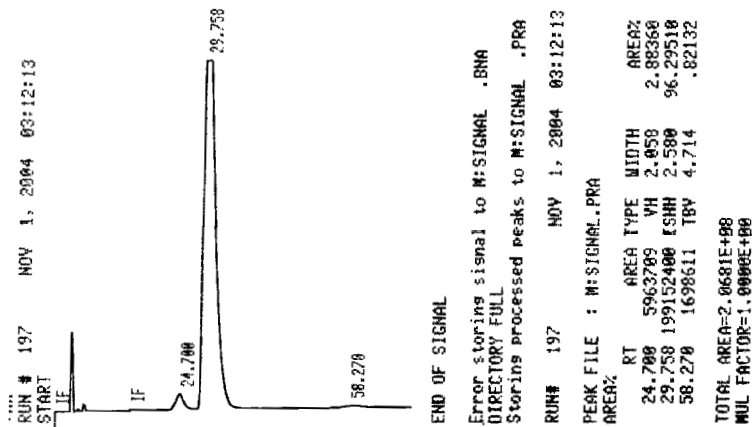
HPLC Conditions: Daicel chiralpak AS, Hexane:IPA, 95: 5,
1.00 mL/min, λ 220 nm



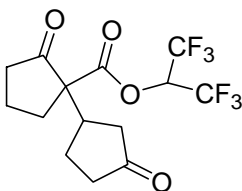
Recamic 6Ba



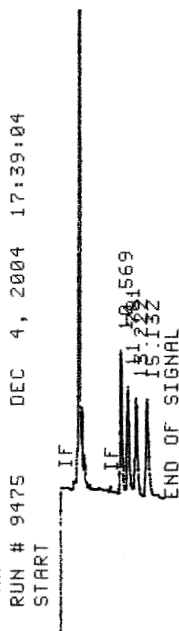
Asymmetric 6Ba, dr=96:4
98% ee of major isomer,
reaction catalyzed
by QD-1b



HPLC Conditions: Daicel chiralcel OD, Hexane:IPA, 90:10,
1.00 mL/min, λ 215 nm



Recamic 6Fa



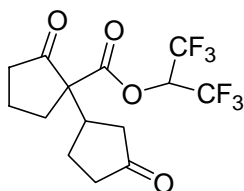
Error storing signal to M:SIGNAL .BNA
DIRECTORY FULL
Storing processed peaks to M:SIGNAL .PRA

RUN# 9475 DEC 4, 2004 17:39:04

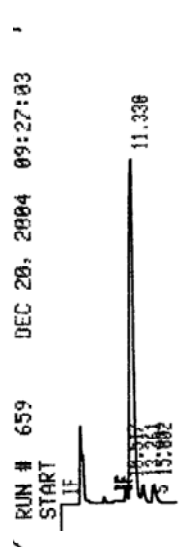
PEAK FILE : M:SIGNAL.PRA

AREA%	RT	AREA	TYPE	WIDTH	AREA%
10.569	2104346	PU	.391	26.36291	
11.781	1895688	UU	.466	23.74890	
13.222	1912064	UP	.521	23.95405	
15.132	2070118	PB	.569	25.93413	

TOTAL AREA=7982214
MUL FACTOR=1.0000E+00



Asymmetric 6Fa, dr=93:7
95% ee of major isomer,
reaction catalyzed
by Q-1c



END OF SIGNAL

Error storing signal to M:SIGNAL .BNA
DIRECTORY FULL
Storing processed peaks to M:SIGNAL .PRA

RUN# 659 DEC 20, 2004 09:27:03

PEAK FILE : M:SIGNAL.PRA

AREA%	RT	AREA	TYPE	WIDTH	AREA%
10.517	3208456	BH	.366	2.20145	
11.330	132018360	SHB	.667	90.58301	
13.261	3696384	TBB	.458	2.53624	
15.002	6819805	BB	.647	4.67934	

TOTAL AREA=1.4574E+08
MUL FACTOR=1.0000E+00

The chemical structure shows a benzotriazole ring system. The 2-position of the benzotriazole is substituted with a 2-oxo-1-phenyl-2-(2-oxocyclohexyl)-2-propoxyethyl group. This group consists of a central carbon atom bonded to a phenyl ring, a 2-oxocyclohexyl ring, and a propoxy group (-OCH₂CH₂CH₃). The central carbon is also bonded to a methylene group (-CH₂-) which is part of the ethyl chain connecting to the benzotriazole ring.

```

*AN
RUN # 9340      NOV 21, 2004   15:57:54
START
IF
IF
15.136
11.990
23.510
END OF SIGNAL

Error storing signal to M:SIGNAL.BNA
DIRECTORY FULL
Storing processed peaks to M:SIGNAL.PRA

RUN# 9340      NOV 21, 2004   15:57:54

PEAK FILE : M:SIGNAL.PRA
AREA%
RT      AREA TYPE WIDTH      AREA%
11.020  12121888  UU    .492   11.18899
11.990  14196288  UU    .600   13.10374
15.136  40738272  PB    .809   37.60306
23.510  41281216  PB    1.150  38.10422

TOTAL AREA=1.0834E+08
MUL FACTOR=1.0000E+00

```

```

RUN # 9136      OCT 26, 2004   14:22:21
START
IF
10.893 12.004
15.136
21.595
END OF SIGNAL

Error storing signal to M:SIGNAL.DNA
DIRECTORY FULL
Storing processed peaks to M:SIGNAL.PRA

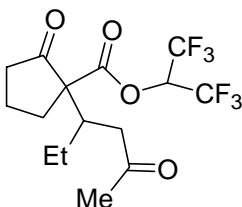
RUN# 9136      OCT 26, 2004   14:22:21

PEAK FILE : M:SIGNAL.PRA
AREA%
  RT      AREA TYPE  WIDTH      AREA%
  10.893   1349675    BU      .458   1.08653
  12.004   7768816    UB      .950   6.13901
  15.136   8903955    PB      .755   7.03601
  21.595  108525960    ISPH   1.076   85.75846

TOTAL AREA=1.2655E+08
MUL FACTOR=1.0000E+00

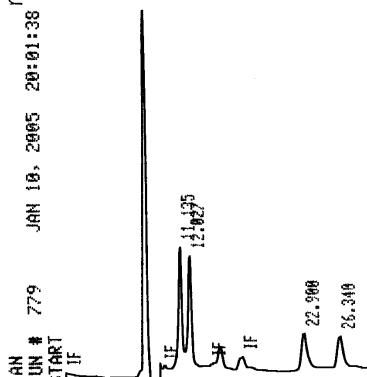
```

HPLC Conditions: REGIS, (R, R) Whelk-O1 + Daicel chiralpak AD, Hexane:IPA, 95:5, 0.80 mL/min, λ 215 nm



Recamic 6Fc

AN UN # 779 JAN 10, 2005 20:01:38
TART IF



STOP

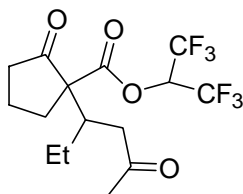
Error storing signal to M:SIGNAL .BNA
DIRECTORY FULL
Storing processed peaks to M:SIGNAL .PRA

RUN# 779 JAN 10, 2005 20:01:38

PEAK FILE : M:SIGNAL.PRA

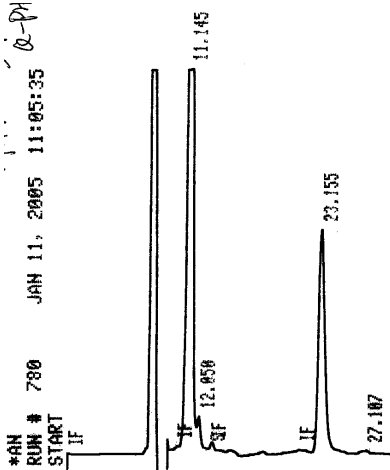
AREA%	RT	AREA	TYPE	WIDTH	AREA%
11.135	7461232	BY	.370	33.85923	
12.027	7892909	VB	.384	32.18778	
22.900	3893368	BB	.643	17.66819	
26.340	3588522	BB	.677	16.28480	

TOTAL AREA=2.2036E+07
MUL FACTOR=1.0000E+00



Asymmetric 6Fc, dr=86:14
99% ee of major isomer, 94% ee
of minor isomer
reaction catalyzed
by Q-1c

*AN UN # 780 JAN 11, 2005 11:05:35
TART IF



STOP

Error storing signal to M:SIGNAL .BNA
DIRECTORY FULL
Storing processed peaks to M:SIGNAL .PRA

RUN# 780 JAN 11, 2005 11:05:35

PEAK FILE : M:SIGNAL.PRA

AREA%	RT	AREA	TYPE	WIDTH	AREA%
11.145	33456128	SPB	.352	85.15120	
12.050	179163	TBB	.256	.45600	
23.155	5480288	PB	.613	13.94800	
27.107	174762	PP	1.028	.44480	

TOTAL AREA=3.9290E+07
MUL FACTOR=1.0000E+00